

## Reporting Functions

### DDI/DCI/EPI Rejection Listing

**Report ID** : CSEMS05

**Report Name** : *DDI/DCI/EPI Rejection Listing*

**Purpose** : The *DDI/DCI/EPI Rejection Listing* provides a full summary of the DDI/DCI/EPIs rejected by the bank itself and the counterparty banks in relation to CCASS money settlement instructions of the CCASS Participants (other than Investor Participants) including (1) Direct Debit Instructions (DDIs), (2) Direct Credit Instructions (DCIs), and (3) Electronic Payment Instructions (EPIs).

For rejection listings generated after 9:30 a.m., 5:45 p.m. and 9:20 p.m., 'DDI/DCI/EPI Rejection Listing' provides a full summary of the DDI/DCI/EPIs rejected by the bank itself and/or the counterparty banks, which have been excluded from HKICL's interbank settlement runs to serve as an audit trail for payments rejected by the bank itself; and to help the bank to identify and follow-up on the rejected payments, if any for payments rejected by counterparty banks. The report also provides a breakdown of the unpaid items as shown in HKICL's "List of Unpaid Items (SCCASS/CCASS/SCASSN)".

For the rejection listing generated at around 8:00 p.m., the report shows the maintenance activities of DDI rejection performed by the bank during the second session of DDI payment confirmation from 6:00 p.m. to 8:00 p.m. to serve as an audit trail.

**Section Content:** I. REJECTED DDI / DCI / EPI (CCY)  
List of DDI/DCI/EPI of an eligible currency rejected by the bank itself.

II. REJECTED DDI / DCI / EPI BY COUNTERPARTY (CCY)  
List of DDI/DCI/EPI of an eligible currency rejected by the counterparty bank.

III. SUMMARY OF REJECTED DDI/DCI/EPI  
Summary of DDI/DCI/EPI in all currencies rejected by the bank itself and/or by counterparty bank

**Special case** : If there is no DDI/DCI/EPI is being generated for the Designated Bank, i.e. an empty DDI/DCI/EPI Listing for Designated Bank, an empty report will be generated with a message "**NO DDI/DCI/EPI BEING GENERATED**".

If all DDI/DCI/EPIs appeared in the respective DDI/DCI/EPI Listing for Designated Bank have been accepted and will be paid, an empty report will be generated with a message "**NO DDI/DCI/EPI BEING REJECTED**".

**Time available** : Shortly after 9:30 a.m., 5:45 p.m., 8:00 p.m. and 9:20 p.m.

**Frequency** : Four times daily (Mondays to Fridays, except Public Holidays and Typhoon / Rainstorm days)

## Section 4.3

### Report Description

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This key refers to the *DDI/DCI/EPI Rejection Listing* report sample.

#### Field Description:

X-Ref	Field Name	Description
(1)	CSEMS05	Report ID for <i>DDI/DCI/EPI Rejection Listing</i>
(2)	(variable)	Bank Code of the Designated Bank
(3)	(variable)	Bank Name of the Designated Bank
(4)	RUN DATE	Date of the report
(5)	RUN TIME	Time of the report
(6)	DATE	Date of the DDI/DCI/EPI being rejected
(7)	DDI/DCI/EPI NO	Reference Number assigned by CCASS for the CCASS money settlement instructions, i.e. DDI, DCI and EPI; which has been rejected by the bank itself or the counterparty banks
(8)	PART ID	Participant ID of the CCASS Participant with payment being rejected
(9)	BCH NO	Designated Bank's branch code
(10)	A/C NUMBER	Bank account number of the CCASS Participant maintained with the Designated Bank for CCASS money settlement purpose
(11)	ACCOUNT NAME	Bank account name of the CCASS Participant maintained with the Designated Bank for CCASS money settlement purpose
(12)	TC	Transaction Code of the CCASS money settlement instruction: <ul style="list-style-type: none"><li>- 26 HKSCC Net Debit (i.e. DDI)</li><li>- 27 HKSCC Net Credit (i.e. DCI)</li><li>- 28 HKSCC EPI Debit</li><li>- 29 HKSCC EPI Credit</li></ul>
(13)	DDI/ DCI/ EPI AMOUNT	Amount of the CCASS money settlement instruction, i.e. DDI, DCI or EPI
(14)	CPTY A/C NUMBER	Bank account number (including bank code, branch code and account number) of CCASS Participant's counterparty for the payment
(15)	CPTY ACCOUNT NAME	Bank account name of CCASS Participant's counterparty for the payment
(16)	INPUT BY	CCASS User ID of the user who input the DDI/DCI/EPI rejection, only applicable to those rejection initiated by the bank itself

(17)	AUTH BY	CCASS User ID of the user who authorized the DDI/DCI/EPI rejection, only applicable to those rejection initiated by the bank itself.
(18)	REJECT REASON CODE / DESCRIPTION <sup>1</sup>	Reason Code and description for the rejected DDI/DCI/EPI
(19)	CCY	Currency code of the CCASS money settlement instructions
(20)	REJECTED PAYMENT	Type of payment being rejected <ul style="list-style-type: none"> <li>- 'DDI'</li> <li>- 'DCI'</li> <li>- 'EPI DEBIT'</li> <li>- 'EPI CREDIT'</li> </ul>
(21)	NO OF REJECTION	Total number of CCASS money settlement instructions being rejected for a particular currency by the bank itself
(22)	TOTAL AMOUNT REJECTED	Total payment amount being rejected for a particular currency by the bank itself
(23)	NO OF REJECTION BY CPTY	Total number of CCASS money settlement instructions being rejected for a particular currency by the counterparty bank
(24)	TOTAL AMOUNT REJECTED BY CPTY	Total payment amount being rejected for a particular currency by the counterparty bank

<sup>1</sup> With Reference to HKICL's ECG Return Form (For HKSCC), the following reject reason codes and description will be shown:

1	No Authority	4	Refer to Drawer	7	Authority Cancelled	10	Name & Account Number Not Matched (will be displayed as 'Name & Num Not Match')
2	Exceeds Authority	5	Payment Stopped	8	Not Arranged For	11	Insufficient Detail to Identify (will be displayed as 'Insufficient Detail')
3	Insufficient Funds	6	Account Closed	9	No Account	12	Others, To be input by the designated bank