

Reporting Functions

ELECTRONIC CHATS PAYMENT INSTRUCTION REPORT

- Report ID** : CSEPI03
- Report Name** : *Electronic CHATS Payment Instruction Report*
- Purpose** : The *Electronic CHATS Payment Instruction Report* contains CHATS Payment instruction(s) ('CPIs') of CCASS participants who have authorised HKSCC to release the payment instructions to the designated bank and have instructed CCASS to settle specified transactions via HKICL's Clearing House Automated Transfer System (CHATS). Designated bank should effect CHATS payment ASAP based on the report.
- Special case** : If there is no CPIs for the bank, the report is still generated but has the message '**NO DATA AVAILABLE FOR THIS REPORT**' in it.
- Time available** : shortly after 9:30 a.m., 10:30 a.m., 11:00 a.m., 12:00 noon, and 2:00 p.m.
- Frequency** : five times daily (Mondays to Fridays, except public holidays)

Field Description :

| X-Ref | Field Name | Description |
|-------|--------------|--|
| (1) | CSEPI03 | Report ID for <i>Electronic CHATS Payment Instruction Report</i> |
| (2) | (variable) | Designated bank's code |
| (3) | (variable) | Designated bank name |
| (4) | RUN DATE | Date of the report |
| (5) | RUN TIME | Time of the report |
| (6) | DATE | Date to which the report details refer |
| (7) | PAYMENT CODE | Payment code for CCASS related CHATS payment instruction |

Section 4.3
Report Description

| X-Ref | Field Name | Description |
|-------|------------|--|
| (8) | DEAL CODE | <p>Deal Code for the CHATS payment instruction, where the prefix represent different CCASS transactions with various payment completion time:</p> <p>(i) Payment to be completed by 12:00 p.m. “J” – A-shares CNS Payment <i>[Designated Banks should effect CHATS payment instructions with deal code starting with “J” before 12:00 p.m. for CNS money obligation of CCASS Participants](ii)</i></p> <p>(ii) Payment to be completed by 12:30 p.m. “K” – A-shares CNS Receivables <i>[Paying Banks should effect CHATS payment instructions with deal code starting with “K” before 12:30 p.m.]</i></p> <p>(iii) Payment to be completed by 1:00 p.m., “C”- Subscription monies <i>[Designated Banks should effect CHATS payment instructions with deal code starting with “C” before 1:00 p.m. CCASS may not give effect to any such instructions if fund received after 1:00 p.m.]</i></p> <p>(iv) Payment to be completed by 2:00 p.m., “D”- Intra-day Marks and Intra-day Margin payment “L”- Intra-day Mainland Settlement Deposit payment <i>[Designated Banks should effect CHATS payment instructions with deal codes starting with “D” and “L” before 2:00 p.m. However, CCASS will continue to process such instructions between 2:00 p.m. and 3:30 p.m.]</i></p> <p>(v) Payment to be completed by 3:00 p.m., “G” – TSF FX Transaction Payment “H” – Stock Release Request Payment <i>[Designated Banks should effect CHATS payment instructions with deal code starting with “G” and H” before 3:00 p.m. CCASS may not give effect to any such instructions if fund received after 3:00 p.m.]</i></p> <p>(vi) Payment to be completed by 3:30 p.m., “ A” – Settlement Instruction Transactions “B” – Investor Settlement Instructions Transactions “E” – Cash Pre-payment for Releasing Buy-in Shares “F” – Cash Pre-payment for Releasing Allocated Shares “ I” – Specific Cash Collateral Instruction Transactions <i>[Designated Banks should effect CHATS payment instructions before 3:30 p.m. CHATS processing window for CCASS Payment will be closed by 3:30 p.m. and CCASS will reject any CHATS payment instructions effected after 3:30 p.m.]</i></p> |

| X-Ref | Field Name | Description |
|-------|--|---|
| (9) | PAYING PART ID / STATUS | <p>Paying Participant ID for the CHATS payment instruction and the respective instruction status, as follows:</p> <p>“AUTH” Authorised, instruction is pending for designated bank to take action</p> <p>“PMVL” Payment Validated, payment has been made by the paying designated bank before the issuance of this report and such payment has been validated by CCASS pending settlement.</p> <p>“SETL” Settled, payment has been settled before the issuance of this report</p> <p>“CANL” Cancelled, instruction has been subsequently cancelled and designated bank should skip this instruction</p> <p>“EXPR” Expired, which are payment validated before CCASS prescribed deadline, but remained unsettled after CCASS prescribed deadline.</p> <p>“RVSE” Reversed, payment instructions with reversed status, which are payment validated before CCASS prescribed deadline but settled after CCASS prescribed deadline.</p> |
| (10) | PAYING BANK ACC NO. / NAME | ‘By Order Account No. and Name’ for the CHATS payment instruction |
| (11) | BENEFICIARY BANK ACC NO. / NAME | ‘Beneficiary Account No. and Name’ for the CHATS payment instruction |
| (12) | PAYMENT VALUE | Amount for the CHATS payment instruction |
| (13) | VALUE DATE | Value Date for the CHATS payment instruction |
| (14) | DEBTOR REF | Autopay authorization code of the paying participant |
| (15) | CURRENCY | Currency code of the payment instruction(s) |
| (16) | PAYMENT TO BE COMPLETED BY xx:xx | A reminder to alert designated bank to complete the respective payment by the specified time |
| (17) | TOTAL NUMBER OF PAYMENT INSTRUCTION (XXX) | Total Number of Payment Instructions generated for a specific currency to be completed by the specified time |
| (18) | SUM OF ALL PAYMENT VALUE (XXX) | Total payment amount involved in the specific currency to be completed by a specified time |