

## Terminal Operations

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**FUNCTION NAME: REJECTED IP DDI/EPI MAINTENANCE**

**WHEN TO USE:**

- to reject investor participant's (IP) DDI/debit EPI(s) (only for those debit EPIs arising from ISIs settlement on a DVP basis with on-hold mechanism set as listed in *DDI/DCI/EPI Listing for IP Related Transactions - CIPMS03*) if (i) the participant is found to have insufficient fund after credit assessment or (ii) payment cannot be effected due to other reasons (e.g. mandate termination), and to make bulk confirmation that all remaining items have been paid.

**AVAILABLE MAINTENANCE FUNCTIONS:**

- A. Input Rejected IP DDI/EPI  
To input rejected IP DDI/debit EPI(s).
- B. Auth Rejected IP DDI/EPI  
To allow an officer of a designated bank to authorize the rejected IP DDI/debit EPI(s) entered via the INPUT REJECTED IP DDI/EPI function.
- C. Delete Rejected IP DDI/EPI  
To delete rejected IP DDI/debit EPI records which were incorrectly entered via the INPUT REJECTED IP DDI/EPI function with unauthorized status only.

**AVAILABLE FUNCTION TIME:**

- if needed, rejected IP DDI/EPI(s) maintenance must be performed between 12:00 noon and 2:30 p.m. on each business day.

**BATCH PROCESSING SCHEDULE:**

- after 2:30 p.m., the authorized rejected IP DDI/debit EPI(s) will be processed by CCASS.
- a list of rejected IP DDI/debit EPI(s) can be obtained from the *Investor Confirmation Report* around 3:00 p.m. on each business day.

**FUNCTIONAL DESCRIPTIONS:**

- INPUT REJECTED IP DDI/EPI function allows designated bank to input IP's DDI/debit EPI(s) as 'rejected' on need basis. The rest are considered as 'good' payments.
- rejected IP DDI/debit EPI(s) can only be input/delete one at a time.
- after input, all rejected IP DDI/debit EPI record(s) are then ready for authorization, which is for the designated banks to inform HKSCC of the IP DDI/debit EPI(s) being rejected for payment(s).

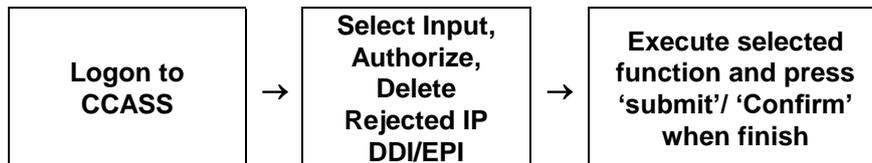
## Section 7.2

### Rejected IP DDI/EPI Maintenance

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- rejected IP DDI/debit EPI record(s) are to be authorized for **each selected currency**.
- rejected IP DDI/debit EPI record(s) can only be authorized **once** and changes cannot be made after authorization. Thus, this function should be performed after INPUT REJECTED IP DDI/EPI and DELETE REJECTED IP DDI/EPI functions are completed for the selected currency.
- after authorization, those IP DDI/debit EPI(s) in the selected currency which do not have 'rejected' status are assumed to be supported by 'good' funds and to be accepted by the designated bank.
- if all IP DDI/debit EPI(s) have been paid, designated bank does not need to perform reject IP DDI/debit EPI maintenance.
- if there is no IP DDI/debit EPI being generated for the designated bank, the designated bank does not need to perform rejected IP DDI/debit EPI maintenance.
- pending rejected IP DDI/debit EPI record(s) can be deleted through DELETE PENDING IP DDI/EPI functions.
- delete function only applicable to pending rejected IP DDI/debit EPI record(s) which have a 'rejected' status and are not yet authorized.
- after authorization, INPUT REJECTED IP DDI/EPI and DELETE REJECTED IP DDI/EPI functions are unavailable until the next business day.

The access path for rejected IP DDI/EPI maintenance functions is:



**REJECTED IP DDI/EPI MAINTENANCE – Sample Screen:**

**DESCRIPTION OF FIELDS:**

<b><u>Field</u></b>	<b><u>Description/Format</u></b>
BRANCH CODE	<ul style="list-style-type: none"> <li>- branch code of the IP's bank account</li> <li>- must be same as the branch code of the DDI/debit EPI</li> </ul>
BANK ACCOUNT NUMBER	<ul style="list-style-type: none"> <li>- IP's bank account number</li> <li>- must be same as the account number of the DDI/debit EPI</li> </ul>
DDI/EPI NUMBER	<ul style="list-style-type: none"> <li>- direct debit instruction (DDI) number or debit electronic payment instruction (EPI) number assigned upon instruction generation</li> <li>- DDI number is a nine-character code beginning with a character 'D' while EPI number is a nine-character code beginning with a character 'E'</li> <li>- after selecting the prefix of the DDI/EPI Number from the drop down box, type the remaining eight digits only</li> </ul>
DEBIT AMOUNT	<ul style="list-style-type: none"> <li>- display the money value of the DDI/debit EPI with currency code</li> <li>- display automatically when input is accepted.</li> </ul>
CURRENCY	<ul style="list-style-type: none"> <li>- currency for DDI/ debit EPI(s)</li> <li>- available currency selections are listed in the drop-down box</li> </ul>

**Section 7.2**Rejected IP DDI/EPI Maintenance

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<b><u>Field</u></b>	<b><u>Description/Format</u></b>
ITEM NUMBER	<ul style="list-style-type: none"><li>- a running number which counts the to-be-rejected DDI/debit EPIs in the selected currency</li><li>- the sequence of to-be-rejected DDI/debit EPIs in the selected currency displayed are sorted by branch code, bank account number and then by DDI/EPI number in ascending order</li></ul>
BANK ACCOUNT NAME	<ul style="list-style-type: none"><li>- bank account name of IP</li></ul>
DEBIT AMOUNT	<ul style="list-style-type: none"><li>- money value of the IP DDI/debit EPI</li></ul>
INPUT BY	<ul style="list-style-type: none"><li>- ID of the user who entered the to-be-rejected DDI/debit EPI records</li><li>- the user entering the to-be-rejected DDI/debit EPIs should be different from the officer authorizing them</li></ul>
TOTAL NUMBER OF ACCOUNTS WITH REJECTED DDI(S)	<ul style="list-style-type: none"><li>- total number of accounts for the selected currency shown on AUTHORIZE REJECTED DDI LIST screen</li></ul>
TOTAL NUMBER OF ACCOUNTS WITH REJECTED EPI(S)	<ul style="list-style-type: none"><li>- total number of accounts for the selected currency shown on AUTHORIZE REJECTED EPI LIST screen</li></ul>
TOTAL NUMBER OF REJECTED DDI(S)	<ul style="list-style-type: none"><li>- total number of rejected DDI(s) in the selected currency shown on AUTHORIZE REJECTED DDI LIST screen</li></ul>
TOTAL NUMBER OF REJECTED EPI(S)	<ul style="list-style-type: none"><li>- total number of rejected debit EPI(s) in the selected currency shown on AUTHORIZE REJECTED EPI LIST screen</li></ul>