

Reporting Functions

FUNCTION NAME: REPORT DOWNLOAD

WHEN TO USE:

- To **print** report(s) (Section 4.2 and 4.3) and to **download** report data images (Section 4.4).

AVAILABLE FUNCTION TIME:

- Reports can be printed and downloaded between 7:15 a.m. to 9:30 p.m. Monday to Friday, 7:15 a.m. to 1:00 p.m. on Saturday (except public holiday).
- For availability schedule of CCASS reports, please refer to Section 4.2.

FUNCTIONAL DESCRIPTION:

- To print report(s) directly to the connected printer.
- To save report(s) and report data image(s) into specified directory.
- To download report data images into specified directory.
- To preview report text and data image (from page 1 to page 10).
- Although printing and downloading functions are operated from the same screen they must be performed separately.
- All reports displayed on the REPORT TEXT LIST / DATA IMAGE LIST screen are available for printing / downloading.
- Notification on the availability of various online reports, such as Provisional Clearing Statement / Final Clearing Statement and Settled Position Report will also be displayed via the Enquire Broadcast Message.
- Upon successful printing / downloading of reports, a Report Download Result will be displayed on screen.

Section 4.1

Downloading and Printing Reports

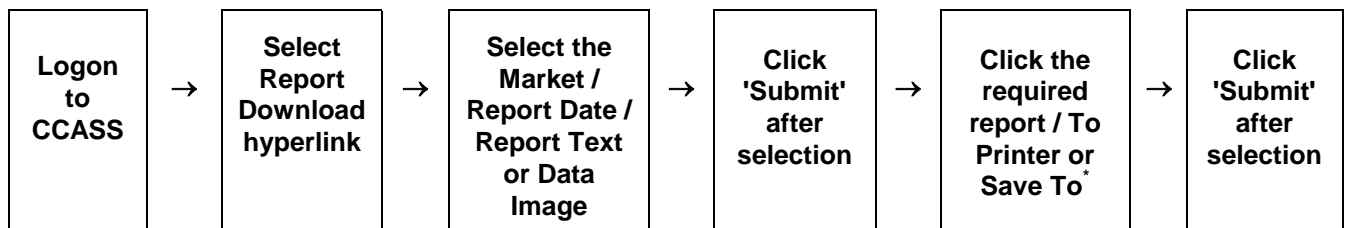
a. Print report(s):

- Report printing function is available to all participants.
- All reports related to the participants can be printed.
- Report(s) created **within last two settlement days and current settlement day** are available for printing.
- Report(s) can also be saved by specifying a designated directory.

b. Download report data:

- Report downloading function is only available to those participants, who have subscribed for the service, for downloading the report data images for their own internal processing.
- After downloading the report data images to the participants' specified disk drive, the report information can be processed according to the participants' internal requirements.
- Only some of the reports have data images available for downloading. Please see section 4.4 for details on the report data files layout.
- Report data image(s) created **within last two settlement days and current settlement day** are available for downloading.

The access path for Downloading and Printing Report(s) is:



Note : * Specify a designated directory to save the report data image(s).

REPORT DOWNLOAD - Sample Screen

Report Download

[Site Map](#)
Announcement Information

[Broadcast Message](#)
Change Password

[Report Download](#)
Print [Logout](#)

B0460301
ECQC 02
29-Apr-02 18:29

Report Text List
Participant ID : B04603

ALL

Report Date : 11-JUL-02

Select	Report Name	Report ID	Market	Creation Time	Available Time	Total Pages	Print Count
<input type="checkbox"/>	CASH PREPYMT	CSECP03	GLOBAL	2002-04-27-19.36.06.213706	19:36:06	1	0
<input type="checkbox"/>	MONEY L/G STMT	CSEMS08	GLOBAL	2002-04-27-19.35.24.650614	19:35:24	1	0
<input type="checkbox"/>	EVT RCP LST AC	CCJCC14	GLOBAL	2002-04-27-19.18.13.037340	19:18:13	1	0
<input type="checkbox"/>	RCP MASTER ACT	CCJCC13	GLOBAL	2002-04-27-19.18.00.160820	19:18:00	1	0
<input type="checkbox"/>	CORP CMN EVT L	CCJCC12	GLOBAL	2002-04-27-19.17.50.167039	19:17:50	1	0
<input type="checkbox"/>	ETLM STATEMENT	CCNPT02	HKMK	2002-04-27-19.10.11.792757	19:10:11	7	0
<input type="checkbox"/>	NOM FNC INP AC	CCNPT01	HKMK	2002-04-27-19.07.18.634215	19:07:18	1	0
<input type="checkbox"/>	WTDL_ADVICE RP	CCNDS04	HKMK	2002-04-27-19.07.02.506911	19:07:02	1	0
<input type="checkbox"/>	GEM STM INF RP	CSEOP09	HKMK	2002-04-27-19.02.19.503794	19:02:19	2	0
<input type="checkbox"/>	DUE/OVERDUE PO	CSEOP01	HKMK	2002-04-27-19.01.18.601199	19:01:18	6	0
<input type="checkbox"/>	W/O AUDIT TRAI	CCVWD04	HKMK	2002-04-27-18.50.49.058474	18:50:49	1	0
<input type="checkbox"/>	W/O STATUS RPT	CCVWD05	HKMK	2002-04-27-18.50.44.080623	18:50:44	1	0
<input type="checkbox"/>	SETTLEMENT RPT	CSESE01	HKMK	2002-04-27-18.50.18.507683	18:50:18	7	0

Internet

Report Download

[Site Map](#)
Announcement Information

[Broadcast Message](#)
Change Password

[Report Download](#)
Print [Logout](#)

ECQC 02
28-Jun-05 18:06

Report Text List
Participant ID : B03501

ALL

Report Date : 02-SEP-04

Select	Report Name	Report ID	Market	Creation Time	Available Time	Total Pages	Print Count
<input type="checkbox"/>	ID IS/IS LIST	CSESI02	HKMK	2005-06-28-17.24.13.028222	17:24:13	2	0
<input type="checkbox"/>	UNMATCH SI RPT	CCLUS01	HKMK	2005-06-28-17.13.52.511196	17:13:52	1	0
<input type="checkbox"/>	ID IS/IS LIST	CSESI02	HKMK	2005-06-28-17.12.45.312538	17:12:45	2	0
<input type="checkbox"/>	FCS	CCLTN05	HKMK	2005-06-28-16.21.12.804999	16:21:12	1	0
<input type="checkbox"/>	ID IS/IS LIST	CSESI02	HKMK	2005-06-28-15.39.07.525453	15:39:07	2	0
<input type="checkbox"/>	UNMATCH SI RPT	CCLUS01	HKMK	2005-06-28-15.31.52.628620	15:31:52	1	0
<input type="checkbox"/>	UNMATCH SI RPT	CCLUS01	HKMK	2005-06-28-15.01.44.257737	15:01:44	1	0
<input type="checkbox"/>	ID MARK COL RP	CRMIM01	GLOBAL	2005-06-28-12.37.39.747617	12:37:39	1	0
<input type="checkbox"/>	UNMATCH SI RPT	CCLUS01	HKMK	2005-06-28-11.26.20.560649	11:26:20	1	2

Select All

Display: All

Print to Dot Matrix Printer
 Save To Directory C:\C3TONLINE\RMF\

Print to LaserJet Printer

Submit
Refresh

[Back Help](#)

Section 4.1
Downloading and Printing Reports

REPORT DOWNLOAD - Sample Screen (cont'd)

Report Download [Site Map](#) [Broadcast Message](#) [Report Download](#) **B0460301**
[Announcement Information](#) [Change Password](#) [Print](#) [Logout](#) **ECOC 03**
29-Apr-02 18:31

Report Text View Participant ID : B04603

DAILY STK BAL (CSES01) [Go To List](#) [Back](#) [Help](#)

Print to Dot Matrix Printer Print to LaserJet Printer Save To File C:\C3T\ONLINE\F

From Page 1 To 5 Copies: 1

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CSES01 B04603 HKSCC - CC
FULL B04603 DAILY STOCK BAL
(MARKET - HK_HK)
REPORT DATE : 11JUL02
STK/----- DAY END -----
ISIN AC BALANCE VALUE AC BALANCE
-----
4632 02 30,000
STK : STK 4632-DSTR S(HKD) NOM PRC : 0.00000 RATE : 1.000
HKISIN004631
4634 02 150,000
STK : STK 4634-DSTR S(HKD) NOM PRC : 0.00000 RATE : 1.000
HKISIN004634
  
```

Report Download [Site Map](#) [Broadcast Message](#) [Report Download](#) **B0380201**
[Announcement Information](#) [Change Password](#) [Print](#) [Logout](#) **ECOC 03**
25-Mar-08 11:44

Data Image View Participant ID : B03802

UNMATCH SI RPT (CCLUS01) [Go To List](#) [Back](#) [Help](#)

From Page 1 To 1 Copies: 1 Save To

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CCLUS01 B03802 HKSCC - CC
TESTER FULLNAME B03802 UNMATCHED SI
(MARKET - HONG)
DATE : 25MAR08
(A) POSSIBLE MATCHING SI (3 OR BELOW UNMATCHED FIELDS)
-----
INS TYPE/ PART ID/NAME STOCK CODE/ QUANTIT
STM DATE SI INPUT NO ISIN MONEY VA
-----
*** NO DATA AVAILABLE FOR
*** END OF REP
  
```

DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
PARTICIPANT ID	- display the ID of the Participant being logon.
MARKET	- display the market code of the market assigned by HKSCC.
REPORT DATE	- click at the "selection box" to select date of the reports. - refer to the "as of " date of the reports (generally, it is same as the date when CCASS generates the reports).
REPORT TYPE	- radio button for the selection to list those reports available either in form of 'Report Text' or 'Data Image'.
SELECT	- click at the 'selection box' to select the report(s) for printing or downloading the related data image(s). - printing and downloading must be performed separately. - more than one report can be selected at a time.
SELECT ALL	- click the 'Select All' box to select all available report(s)/ data image(s) for printing or downloading.
REPORT NAME	- display the report short name. - to preview the report and report data image, click on the report name hyperlink, the report (from Page 1 to Page 10) will be displayed on screen under "Report Text View" with PRINT (with printing criteria) and SAVE TO (with designated directory) buttons for selection.
REPORT ID	- display the report ID.
CREATION TIME	- display the time when the CCASS report is being created.
AVAILABLE TIME	- display the time when the CCASS report is available for download / print
TOTAL PAGES	- display the total number of pages of the CCASS report.
PRINT COUNT	- display the number of copies of that CCASS report have already been printed. - the print count increases by one each time the report is printed. - only displayed after selecting the "REPORT TEXT" as RECORD TYPE at REPORT DOWNLOAD function. - PRINT COUNT for the report "Interest / Retention / Accommodation Fee Rates and Exchange Rate / Haircut Listing Report (CCMIR02)" display as "N/A".

Section 4.1

Downloading and Printing Reports

<u>Field</u>	<u>Description/Format</u>
DOWNLOAD COUNT	<ul style="list-style-type: none"> - display the number of times the report data image(s) have been downloaded. - the download count increases by one each time the report data image is downloaded. - only displayed after selecting the 'DATA IMAGE' as RECORD TYPE at REPORT DOWNLOAD function.
DISPLAY	<ul style="list-style-type: none"> - select respective category from the pull down menu to filter the report(s) to be displayed under the list screen. - select "ALL" option via the pull down menu for display of all available reports. - select "ONLINE" option via the pull down menu for display of all online generated reports. - select "OVERNIGHT" option via the pull down menu for display of all day end generated reports. - select "UNPRINT" option via the pull down menu for display of all not yet printed reports. - select "UNDOWNLOAD" option via the pull down menu for display of all not yet downloaded reports.
PRINT TO DOT MATRIX PRINTER	<ul style="list-style-type: none"> - click to select to print the report(s) directly to the connected dot matrix printer.
PRINT TO LASER PRINTER	<ul style="list-style-type: none"> - click to select to print the report(s) directly to the connected laser printer.
SAVE TO [#] (under Data Image list screen)	<ul style="list-style-type: none"> - click to select to save the report data images to the default directory or the specified directory. - data image files will be downloaded to the default directory or the specified directory with the following naming convention: <i>For CCASS Reports,</i> \<Market>\YYYYMMDD\<ReportID_CreationTime.txt> <i>For CCMS Reports - by Part Level,</i> \<PartID>\YYYYMMDD\<ReportID_CreationTime.txt>
SAVE TO DIRECTORY	<ul style="list-style-type: none"> - click to select to save the report(s) to the default directory or the specified directory.
SAVE TO [#] (under Report Text view screen)	<ul style="list-style-type: none"> - click to save the displayed report to the default directory or the specified directory with the specified file name. - report text files will be downloaded to the default directory or the specified directory with the following naming convention: <i>For CCASS Reports,</i> \<Market>\YYYYMMDD\<ReportID_CreationTime.txt> <i>For CCMS Reports - by Firm Level,</i> \<FirmID>\YYYYMMDD\<ReportID_CreationTime.txt> <i>For CCMS Reports - by Part Level,</i> \<PartID>\YYYYMMDD\<ReportID_CreationTime.txt> <i>For CCMS Reports - common to all participants (i.e. "CCMIR02"),</i> \YYYYMMDD\<ReportID_CreationTime.txt>

<u>Field</u>	<u>Description/Format</u>
PAGE RANGE	- select the page range for printing.
COPIES	- specify the number of copies of the report to be printed.

Note : # Where YYYYMMDD represents the CCASS date (for Global Report) or the Market Date (for Market Specific Report) of the report being saved.