

Reporting Functions

LIST OF REPORTS AND AVAILABILITY SCHEDULE

Report ID	Report Name	Market#	ONRD [®]	Freq	Time Available
CCLTN04	<i>Provisional Clearing Statement</i>	HKMK	N	Twice daily	shortly after 5:00 p.m. (for current day's Exchange Trades); shortly after 8:00 p.m. (for current day's Exchange Trades and Clearing Agency Transactions)
CCLTN05	<i>Final Clearing Statement</i>	HKMK	N	Daily	shortly after 2:00 p.m. (for previous day's Exchange Trades and Clearing Agency Transactions)
		MAMK SZMK	N	Daily	shortly after 4:00 p.m. (for current day's China Connect Securities Trades)
CCLID01	<i>Intra-day Trade File (available in data file format only)</i>	HKMK	N	Ten times a day	shortly after 9:45 a.m., 10:15 a.m., 10:45 a.m., 11:30 a.m., 12:00 noon, 1:15 p.m., 2:00 p.m., 2:45 p.m. 3:30 p.m. and 4:25 p.m. (for current day's Exchange Trades effected on or reported to the Exchange by the NCPs of a GCP)
		MAMK SZMK	N	Eight times a day	shortly after 9:45 a.m., 10:15 a.m., 10:45 a.m., 11:45 a.m., 1:15 p.m., 2:00 p.m. and 2:30 p.m. and 3:30 p.m. (for current day's China Connect Securities Trades effected via a Trading Link by the NCPs of a GCP)
CCLSI01	<i>SI Status Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's SI results)
CCLSI02	<i>SI Activity Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's SI activities)
CCLEI01	<i>SI Batch Input Control Report</i>	GLOB	N	Shortly after each SI upload	shortly after each individual SI batch validation (for status of SI batch uploads)
CCLUS01	<i>Unmatched SI Report</i>	HKMK	N	Nine times a day	around 9:45 a.m., 10:30 am, 12:00 noon, 2:00 p.m., 3:00 p.m., 3:30 p.m., 4:15 p.m., 5:30 p.m. and 6:30 p.m. (for unmatched SI results as of the completion of each SI matching run for Eligible Securities other than China Connect Securities)
		MAMK SZMK	N	Nine times a day	around 12:00 noon, 2:00 p.m., 3:15 p.m., 4:45 p.m., 5:15 p.m., 5:45 p.m., 6:30 p.m., 7:15 p.m. and 8:00 p.m. (for unmatched SI results as of the completion of each SI matching run)

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Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CIPSI01	<i>ISI Status Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for previous day's ISI results)
CIPSI02	<i>ISI Activity Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for previous day's ISI activities)
CIPEI01	<i>ISI Batch Input Control Report</i>	GLOB	N	After each ISI upload	shortly after each individual ISI batch validation (for status of ISI batch uploads)
CIPCL03	<i>Monthly ISI Counterparty List</i>	GLOB	Y	Monthly	from the time when the report retrieval function is available on the first Business Day of each month (for the latest ISI counterparty list as at end of previous month)
CSEOP01	<i>Next Settlement Day Due/Overdue Position Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for current day's due/overdue positions)
CSEOP09	<i>GEM Settlement Information Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for previous day's settlement results in relation to transactions in securities listed on the Growth Enterprise Market of the Exchange)
CSESE01	<i>Settlement Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's settlement results and change DI requirement activities)
CSESI02	<i>Intra-Day ISI/SI Full List</i>	HKMK	N	Eight times a day	around 11:30 a.m., 12:30 p.m., 2:30 p.m. and 4:15 p.m. (for details of ISI/SI due or overdue as of the completion of each Batch-settlement-run for Eligible Securities other than China Connect Securities) and around 3:00 p.m., 3:30 p.m., 5:30 p.m. and 6:30 p.m. (for details of ISI/SI due or overdue as of the completion of the fifth, sixth, eighth and final SI matching runs for Eligible Securities other than China Connect Securities)
		MAMK SZMK	N	Nine times a day	around 5:45 p.m., 6:30 p.m., 7:15 p.m. and 8:00 p.m. (for settlement results as of the completion of each Batch-settlement-run for settlement of SI stock positions in China Connect Securities) and around 12:00 noon, 2:00 p.m., 3:15 p.m., 4:45 p.m., 5:15 p.m. (for details of SI due or overdue as of the first, second, third, fourth and fifth SI matching runs for China Connect Securities)

Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CSESP01	<i>Settled Position Report</i>	HKMK	N	Daily	shortly after 6:00 p.m. (for accumulated settlement results as of current day)
		MAMK SZMK	N	Daily	shortly after 8:15 p.m. (for accumulated settlement results as of current day)
CSESP04	<i>Intra-day Settled Position Report</i>	HKMK	N	Three times a day	around 11:30 a.m., 12:30 p.m. and 2:30 p.m. (for settlement results as of the completion of first, second and third Batch-settlement-runs for Eligible Securities other than China Connect Securities as of current day)
		MAMK SZMK	N	Three times a day	around 6:00 p.m., 6:45 p.m. and 7:30 p.m. (for settlement results as of the completion of the first and final Batch-settlement-runs for settlement of CNS stock positions and the second Batch-settlement-run for settlement of Sis in China Connect Securities)
CFIBI01	<i>Buy-in Notification Report</i>	HKMK	N	Daily	after 5:00 p.m.(for Buy-in of Eligible Securities other than China Connect Securities requested & to be executed by HKSCC on the following Trading day and confirmed buy-in transactions executed on previous Trading day)
		MAMK SZMK	N	Daily	after 7:15 p.m. (for Buy-in of China Connect Securities requested & to be executed by HKSCC on the following Trading day and confirmed buy-in transactions executed on current Trading day)
CFIPM01	<i>Marks and Collateral Collection Report</i>	GLOB	Y	Daily	around 8:15 p.m. on each trading day (for current day's marks and concentration collateral obligations)
CRMIM01	<i>Intra-Day Marks and Collateral Collection Report</i>	GLOB	N	Daily/Ad hoc	around 11:45 a.m. on each trading day, upon completion of intra-day marks processing
CRMNP01	<i>NCP Marks Projection Report</i>	GLOB	N	Daily/ Ad hoc	shortly after intra-day marks process at around 11:45 a.m.
CRMNP02	<i>NCP Marks Projection Report</i>	GLOB	Y	Daily	shortly after day-end marks process at around 8:15 p.m.
CRMMG01	<i>Intra-Day Margin Payable Report</i>	GLOB	N	Ad hoc	upon completion of intra-day Margin processing
CRMMG02	<i>Margin Payable Report</i>	GLOB	Y	Daily	after day-end Margin processing for current day's Margin requirement
CRMNP03	<i>NCP Margin Projection Report</i>	GLOB	N	Ad hoc	upon completion of intra-day Margin processing
CRMNP04	<i>NCP Margin Projection Report</i>	GLOB	Y	Daily	after day-end Margin processing for current day's Margin requirement

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Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CCMPS01	<i>Maintain Preferred Single Settlement Currency Activity Report</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (for previous day's activities in relation to Preferred Single Settlement Currency)
CCMSC01	<i>Specific Cash Collateral Instruction Activity Report</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (for previous day's Specific Cash Collateral instruction activities)
GFR608	<i>Statement of Guarantee Fund Contributions</i>	GLOB	Y	Monthly/Ad hoc	around 12:00 noon after completion of the Guarantee Fund review processing
GFR616	<i>NCP(s) Guarantee Fund Contribution Projection By Position</i>	GLOB	Y	Monthly/Ad hoc	around 12:00 noon after completion of the Guarantee Fund review processing
CSECP03	<i>Cash Prepayment / TSF Payment / Intra-day Payment Instruction Maintenance A/T Report</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for previous day's maintenance activities of Cash Prepayment Instruction, Cash Prepayment Standing Instruction, TSF Payment Standing Instruction and Intra-day Payment Standing Instruction)
CSEPI01	<i>Payment Instruction Input Activity Report</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for previous day's payment instruction on-line activities)
CSEMA08/ CSEMS08	<i>Statement of Money Ledger</i>	GLOB	CSEMA 08: N CSEMS 08:Y	Daily	CSEMS08: from the time when the report retrieval function is available (for previous day's Money Ledger movements; statement for Monday will also show money ledger movements on last Saturday, if any) and CSEMA08: around 4:30 p.m. (for current day's Money Ledger movements for the evening interbank settlement)
CSEBA01	<i>ATI Batch Input Control Report</i>	GLOB	Y	After each batch validation	shortly after completion of each ATI batch validation run scheduled at around 7:30 a.m., 8:00 a.m., 9:15 a.m., 10:00 a.m., 11:30 a.m., 12:45 p.m., 3:15 p.m., 5:00 p.m., 5:30 p.m. and 8:00 p.m. (for status of ATI batch file uploads)
CSESM01	<i>Statement of Stock Movement (By Stock) Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's stock movement report for Monday will also show stock movements on last Saturday, if any sorted by stocks)

Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CSESM02	<i>Statement of Stock Movement (By Account) Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's stock movements report for Monday will also show stock movements on last Saturday, if any, sorted by accounts)
CSESB01	<i>Daily Stock Balance Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's stock balances and value in their account and total portfolio value)report for Monday will also show stock balances resulted from the movements on last Saturday, if any
CFIMC01	<i>Monthly Stock Balance Report</i>	GLOB	Y	Monthly	from the time when the report retrieval function is available on the first Business Day of each month (for previous month's Stock Account balance)
CBLBP01	<i>Stock Borrowing/Lending Position Status Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (stock borrowing / lending positions status as at the end of the previous day)
CBLBR01	<i>Stock Borrowing/Lending Activity Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for previous day's stock borrowing / lending activities)
CCJCC12	<i>Corporate Communications Event List</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for previous day's corporate communications event updated)
CCJCC13	<i>Recipient Master Maintenance Activity Report</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for previous day's Recipient master maintenance activities)
CCJCC14	<i>Corp Comm Event Recipient List Activity Report</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for previous day's corporate communications Recipient list maintenance activities)
CCJCC15	<i>Corp Comm Recipient Batch Input Control Report</i>	GLOB	N	After each batch validation	shortly after each scheduled corporate communications batch validation run, i.e. around 5:00 p.m., 6:00 p.m. and 7:00 p.m. (for status of batch file uploads, if any)
CCJCC21	<i>Monthly Recipient Master List</i>	GLOB	Y	Monthly	from the time when the report retrieval function is available on the first Business Day of each month (for latest Recipient masters as at end of previous month)

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Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CCNDS04	<i>Withdrawal Advice Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for current day's lapsed/expired securities withdrawal)
CCVWD04	<i>Withdrawal Order Audit Trail Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for previous day's withdrawal orders input activities)
CCVWD05	<i>Withdrawal Order Status Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (withdrawal order status as at the end of the previous day)
CCNAN05	<i>Corporate Announcement Master Data File (available in data file format only)</i>	HKMK MAMK SZMK	N	Three times a day	around 1:00 p.m., 3:30 p.m. and 6:00 p.m. (for active Corporate Announcements as of the file generation time)
CCNAN06	<i>Corporate Announcement Maintenance Activities Report</i>	HKMK MAMK SZMK	N	Three times a day	around 1:00 p.m., 3:30 p.m. & 6:00 p.m. (for current day's Corporate Announcements' update activities as of the report / file generation time)
CCNPT01	<i>Nominee Functions Input Activities Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's input activities of all nominee functions, Intra-day Payment Standing Instruction in relation to payments arising out of nominee services, Nominee Payment (Evening) Standing Instruction and also voting instructions input by SSA Statement Recipients)
CCNPT02	<i>Entitlement Statement</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's entitlement updates)
CCNPT03	<i>Participant Voted Quantity Exception Report - On Voting Deadline</i>	HKMK MAMK SZMK	N	Daily	shortly after the 4:15 p.m. voting validation run (for voting instructions being scaled down or cancelled for Eligible Securities)
CCNPT04	<i>Participant Voted Quantity Exception Report - Before Voting Deadline</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for potential exceptional voting instructions)
CCNPT05	<i>Elected Quantity Exception Report for Structured Products (Before Election End)</i>	HKMK	N	Daily	from the time when the report retrieval function is available (for potential exceptional election instructions for payments relating to Structured Products)

Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CCNPT06	<i>Nominee Fees Refund Report</i>	HMKK MAMK SZMK	N	Daily	from the time when the report retrieval function is available (for refund of the over-charged nominee fees arising from multi-counter stocks, i.e. scrip fee and dividend collection fee) Always blank for MAMK & SZMK
CCNPT07	<i>Participant Shareholding Category Disclosure Activity Report</i>	MAMK SZMK	N	Daily	from the time when the report retrieval function is available (for previous day's Shareholding Category Disclosure maintenance activities)
CCNPT08	<i>Participant Shareholding Category Disclosure Exception Report</i>	MAMK SZMK	N	Daily	shortly after the 4:15 p.m. voting validation run (for Shareholding Category Disclosure instructions being cancelled)
CCNVT08	<i>CRP Batch Input Control Report</i>	GLOB	N	After daily batch validation	from the time when the report retrieval function is available for each Business Day and Saturday, except public holiday (for status of previous day's CRP batch file uploads)
CEFUV01	<i>Tender Instructions Batch Input Control Report</i>	GLOB	N	After each scheduled validation	shortly after each scheduled batch validation run (for status of Tender Instructions batch file uploads)
CEPAA01	<i>New Issues Application Input Activities Report</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available (for previous day's EIPO application instruction and Tender Instruction maintenance activities)
CEPAB01	<i>New Issues Application Input Activities Report</i>	GLOB	N	Daily (including Saturday)	shortly after 11:30 a.m.(for current day's EIPO application instruction and Tender instruction maintenance activities as of the report generation time)
CEPBE01	<i>New Issues Entitlement Report</i>	GLOB	N	Daily (including Saturday)	from the time when the report retrieval function is available (for previous day's entitlement updates of EIPO application instructions and Tender instructions) *** In case of contingency (e.g. file containing the EIPO allotment and refund information is not available to HKSCC one day before the result announcement day), share registrars will transmit the reports to CCASS Participants directly via courier.***
CEPBV01	<i>EIPO Batch Input Control Report</i>	GLOB	N	After each scheduled validation	shortly after each scheduled batch validation run (for status of EIPO application instructions batch file uploads)

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Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CEPBV02	<i>EIPO Confirmation Batch Input Control Report</i>	GLOB	N	After each batch validation	shortly after each scheduled batch validation run (for status of EIPO Confirmation batch file uploads)
CCSSG01	<i>Stock Segregated Account with Statement Service Master Listing</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for the previous day's latest master information of SSA with Statement Service)
CCSSG02	<i>Stock Segregated Account with Statement Service Maintenance Activity Report</i>	GLOB	N	Daily	from the time when the report retrieval function is available (for previous day's maintenance activities of SSA with Statement Service)
CCSSU01	<i>SSA Batch Input Control Report</i>	GLOB	N	After the batch validation	shortly after the SSA batch input file validation run (for status of SSA batch uploads)
CSEAT01	<i>STI Batch Input Control Report</i>	GLOB	N	After each batch validation	shortly after completion of each STI batch validation run scheduled at around 7:30 a.m., 8:00 a.m., 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., 5:30 p.m., 7:30 p.m. and 8:00 p.m. (for status of STI batch uploads)
CSEAT02	<i>STI Activity Report</i>	HKMK	Y	Six times a day	shortly after completion of each STI batch transfer run scheduled at around 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m. and 8:00 p.m. (for accumulated STI activities as of the report generation time)
		MAMK SZMK	Y	Eleven times a day	shortly after completion of each STI batch transfer run scheduled at around 8:00 a.m., 9:15 a.m., 10:00 a.m., 12:45 p.m., 3:30 p.m., 5:00 p.m., 5:30 p.m., 6:30 p.m., 7:00 p.m., 7:30 p.m. and 8:00 p.m. (for accumulated STI activities as of the report generation time)
CSEAT03	<i>STI Status Report</i>	HKMK MAMK SZMK	Y	Daily	from the time when the report retrieval function is available (for previous day's STI results)
CEPSA01	<i>Yellow Form Share Allotment Report</i>	GLOB	N	Daily (including Saturday)	from the time when the report retrieval function is available (for allotment results of applications for New Issue Shares using yellow application forms)
CETMB02	<i>Broadcast Message Listing</i>	GLOB	N	Daily (including Saturday)	from the time when the report retrieval function is available (for previous day's broadcast messages)

Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CSEMP02	<i>CCASS Funding Projection Report for Participant</i>	GLOB	N	Four times a day	around 11:30 a.m., 12:30 p.m., 2:30 p.m. (for funding projection as of the completion of each of the first, second and third Batch-settlement-runs) and around 3:30 p.m. (for funding projection as of the completion of the sixth SI matching run for Eligible Securities other than China Connect Securities)
CSEMP04	<i>CCASS Funding Projection Report (Night Settlement) for Participant</i>	GLOB	N	Four times a day	Around 5:45 p.m., 6:30 p.m., 7:15 p.m. and 8:00 p.m. (for funding projection as of each SI Batch-settlement- run for China Connect Securities)
CSEMS28/ CSEMA28/ CSEM28	<i>DDI/DCI/EPI Summary for Participant</i>	GLOB	CSEMS 28/CSE MN28: Y CSEMA 28: N	Daily	CSEMS28: from the time when the report retrieval function is available for DDIs/DCIs/EPIs summary in relation to the morning and afternoon interbank settlement); CSEMA28: around 4:05 p.m. (for DDIs/DCIs/EPIs summary in relation to the evening interbank settlement); and CSEM28: around 8:15 p.m. (for EPIs summary in relation to the night interbank settlement)
CSEMA29/ CSEMS29/ CSEM29	<i>Settlement Related EPI Listing</i>	GLOB	CSEMA 29: N CSEMS 29/CSE MN29: Y	Daily	CSEMS29: from the time when the report retrieval function is available (for EPIs generated on the previous day for settlement on the current day in relation to money obligations of Isolated Trades, SI Transactions and ISI Transactions on a DVP basis); CSEMA29: around 4:05 p.m. (for EPIs generated on the current day for settlement on the same day in relation to money obligations of Isolated Trades and SI Transactions on a DVP basis) ; and CSEM29: around 8:15 p.m. (for EPIs generated for RMB SI in China Connect Markets on the current day for same day settlement at night
CCMPY01	<i>Posting / Collateralisation Result Report</i>	GLOB	Y	Shortly after intra-day collateralisation run	shortly after the completion of scheduled intra-day marks and/or intra-day margin batch processing runs, or ad-hoc marks batch processing run (if any)
CCMPY02	<i>Posting / Collateralisation Result Report</i>	GLOB	Y	Shortly after day end collateralisation	after the completion of day-end marks and day-end margin batch processing runs

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Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CCMCA02	<i>Collateral Account Balance Report</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (for previous day's collateral balance)
CCMMV01	<i>Participant Collateral Account Movement Report</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (for previous day's collateral movements)
CCMSU04	<i>Special-Use Collateral Balance Report</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (for previous day's special-use collateral balance)
CCMIR02	<i>Collateral Parameters Information List</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (previous day's interest rates, retention rates, accommodation fee rate, exchange rate and exchange haircut)
CCMIA02	<i>Monthly Interest & Accommodation Fee Report - Detail</i>	GLOB	Y	Monthly	from the time when the report retrieval function is available on the first Business Day of each month (for previous month's interest and accommodation fee)
CCMDS01	<i>Statement of Collateral Account</i>	GLOB	Y	Daily (including Saturday)	from the time when the report retrieval function is available on each Business Day and Saturday (for previous day's collateral account activities)
CSETF03	<i>TSF FX Transaction / Stk Rlse Activity / Status Report</i>	HKMK	Y	Daily	from the time when the report retrieval function is available (for previous Trading day's (i) Stock Release Request maintenance activities; and (ii) settlement status of TSF FX Transactions and Stock Release FX Transactions)
CSETF07	<i>TSF Confirmation Report</i>	HKMK	Y	Daily	around 6:00 p.m.(for (i) current Trading day's TSF FX Transactions and Stock Release Requests; (ii) the preceding Trading day's TSF FX Positions and Stock Release FX Transactions; (iii) a summary of the quantity of TSF Stocks to be earmarked and de-earmarked and money positions of the TSF CCASS Participants; and (iv) the applicable FX rates)
CSETF13	<i>TSF Exception Handling Fee Report</i>	GLOB	Y	Daily	from the time when the report retrieval function is available (for previous day's TSF exception handling fee)

Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CCVTF01	<i>Inter-counter Transfer/Conversion Instruction Activities Report</i>	HKMK	N	Daily	from the time when the report retrieval function is available (for previous day's processed Multi-counter Transfer Instructions and Parallel Trading Conversion Instructions)
CCVTF03	<i>Multi-counter Transfer Instruction Batch Input Control Report</i>	HKMK	N	After each batch validation	shortly after completion of each batch validation run on uploaded files containing Multi-counter Transfer Instructions scheduled at around 9:15 a.m., 10:15 a.m., 12:30 p.m. and 2:30 p.m. (for status of Multi-counter Transfer Instruction batch uploads)
CCVTF05	<i>Parallel Trading Conversion Instruction Batch Input Control Report</i>	HKMK	N	After each batch validation	shortly after completion of each batch validation run on uploaded files containing Parallel Trading Conversion Instructions scheduled at around 9:15 a.m., 10:15 a.m., 12:30 p.m. and 2:30 p.m. (for status of Parallel Trading Conversion Instruction batch uploads)
CCDPC02	<i>Monthly Portfolio Fee Collection Report of China Connect Markets</i>	MAMK	N	Monthly	from the time when the report retrieval function is available on the first Business Day of each month (for previous month's portfolio values of each calendar day for calculating portfolio fee of China Connect Securities of Shanghai and Shenzhen markets with details of the portfolio fee shown)
CRMGF01	<i>Mainland Security Deposit Payable Report (Shanghai)</i>	MAMK	Y	Monthly	from the time when the report retrieval function is available on the first Business Day of each month
CRMGF03	<i>Mainland Security Deposit Payable Report (Shenzhen)</i>	SZMK	Y	Monthly	from the time when the report retrieval function is available on the first Business Day of each month
CRMSD01	<i>Mainland Settlement Deposit Payable Report (Shanghai)</i>	MAMK	Y	Daily	shortly after completion of the final batch settlement run of Shanghai market on each trading day
CRMSD04	<i>Mainland Settlement Deposit Payable Report (Shenzhen)</i>	SZMK	Y	Daily	shortly after completion of the final batch settlement run of Shenzhen market on each trading day
CRMSD07	<i>Intra-day Mainland Settlement Deposit Payable Report (Shanghai)</i>	MAMK	N	Daily	around 11:50 a.m. on each trading day of Shanghai market, upon completion of intra-day Mainland Settlement Deposit processing
CRMSD09	<i>Intra-day Mainland Settlement Deposit Payable Report (Shenzhen)</i>	SZMK	N	Daily	around 11:50 a.m. on each trading day of Shenzhen market, upon completion of intra-day Mainland Settlement Deposit processing

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Report ID	Report Name	Market [#]	ONRD [@]	Freq	Time Available
CCMIA12	<i>Quarterly Interest Report for Mainland Settlement Deposit and Mainland Security Deposit (Shanghai)</i>	MAMK	Y	Quarterly	after 9:00 a.m. on the first Business Day of each quarter
CCMIA14	<i>Quarterly Interest Report for Mainland Settlement Deposit and Mainland Security Deposit (Shenzhen)</i>	SZMK	Y	Quarterly	after 9:00 a.m. on the first Business Day of each quarter
CCLTA01	<i>Trade File of Special Segregated Accounts (available in data file format)</i>	MAMK SZMK	N	Daily	Around 4:15 p.m. (for current day's China Connect Securities Trades effected via a Trading Link by China Connect Exchange Participant for Special Segregated Accounts)
CSEAS05	<i>Sellable Balance Adjustment Report</i>	MAMK/ SZMK	N	Daily	Around 08:30 and at the end of each business day from Monday to Friday
CSEAS07	<i>Sellable Balance Adjustment Audit Trail Report</i>	MAMK/ SZMK	N	Daily	At the end of each business day from Monday to Friday
CRMAC01	<i>Additional Cash Collateral Payable Report</i>	HKMK	N	Daily	Around 8:30 p.m. on each trading day (for current day's additional cash collateral requirement)
CCMSS05	<i>Collateral Security Batch Input Control Report</i>	GLOBAL	N	After each SSC batch validation	shortly after completion of each SSC batch validation run scheduled at around 10:00 a.m., 12:45p.m., 5:00 p.m. and 6:00 p.m. (for status of SSC batch file uploads, if any)

Remarks :

- # With the Multiple Market Infrastructure, CCASS reports will be classified into different markets. Currently there are three markets - HONG KONG market with market code as "HKMK", Shanghai market with market code as "MAMK" and Shenzhen market with market code as "SZMK". For reports that are non-market driven, they are classified as Global Report with market code as "GLOB".
- @ "Y" indicates that the report is available for downloading / printing via Overnight Report Distribution Function (ONRD)
"N" indicates that the report is NOT available for downloading / printing via Overnight Report Distribution Function, Participants should retrieve such report via Report Download Function.