

BUY-IN NOTIFICATION REPORT

Report ID	:	CFIBI01
Report Name	:	<i>Buy-in Notification Report</i>
Purpose	:	The <i>Buy-in Notification</i> report details the buy-in notice generated for overdue CNS short positions and buy-in confirmation of which buy-in orders are executed on previous CCASS day. The 'Buy-in Request' section of the report shows the overdue CNS short positions of those stocks which are subject to buy-in, and the penalty fee that HKSCC will charge on such short positions. The 'Buy-in Confirmation' section of the report shows the details of early and compulsory confirmed buy-in orders executed. Separate reports are generated to show the buy-in details of Hong Kong market (HKMK), Shanghai market (MAMK) and Shenzhen market (SZMK).
Time available	:	after the final settlement batch run of each business day. For Hong Kong Market: around 5:00 p.m. For Shanghai Market and Shenzhen Market: around 7:15 p.m.
Frequency	:	Daily

Section 4.3

Report Description

This key refers to the *Buy-in Notification Report* sample 4.3.15.

Field Description :

X-Ref	Field Name	Description
(1)	CFIBI01	Report ID for <i>Buy-in Notification Report</i>
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	BUY-IN DATE	Date on which HKSCC executes buy-in on behalf of the Clearing Participant
(7)	SETTLEMENT DATE	Due settlement date of the overdue CNS short position
(8)	STM POS NO.	Settlement position number of the overdue CNS short position which is subject to buy-in
(9)	STOCK	Stock code and name An asterisk (*) will be printed next to stock code if the stock is subject to stock conversion at day end of current CCASS day
(10)	QUANTITY	Number of shares of the overdue CNS short position
(11)	MARKET VALUE	Market value of the stock
(12)	CCY	Currency of the Market Value of the stock
(13)	DEFAULT FEE CHARGED PER MKT VALUE (HKD)	Penalty fee charged on the overdue short positions in Hong Kong Dollars. To be calculated as a specified percentage of the Hong Kong Dollar equivalent of the position's market value.
(14)	(variable)	Section heading
(15)	BUY-IN EXECUTION DATE	Date on which HKSCC executes buy-in on behalf of the Clearing Participant.
(16)	O/S STM POS NO	Settlement position number of the overdue CNS short position
(17)	DATE	Settlement date of the overdue CNS short position.
(18)	BUY-IN TYPE & NO	Buy-in type and the buy-in sequence number C = Compulsory Buy-in E = Early Buy-in
(19)	QUANTITY	Number of shares bought in on behalf of the Clearing Participant.
(20)	O/S QTY	Outstanding number of shares of the overdue CNS short position.
(21)	UNIT PRICE	Unit price for the buy-in shares.
(22)	REMARKS	Remarks for the buy-in orders which HKSCC executes on behalf of the Clearing Participants.
(23)	BUY-IN COST	Cost involved in the buy-in transaction.
(24)	BUY-IN COST COLL DATE	Date of collecting buy-in cost.
(25)	ISI STM DATE	Settlement date of the ISI for shares delivery to Clearing Participant. (Not applicable to Shanghai market and Shenzhen market)
(26)	DELIVERY PART ID	Participant ID for the ISI delivery party (ie. HKSCC) (Not applicable to Shanghai market and Shenzhen market)
(27)	MARKET	Market Short Name assigned by HKSCC
(28)	ISIN	International Securities Identification Number