

## Section 4.3

### Report / Data File Description

---

#### DAILY STOCK BALANCE REPORT

<b>Report ID</b>	:	CSESB01
<b>Report Name</b>	:	<i>Daily Stock Balance Report</i>
<b>Purpose</b>	:	<p>The <i>Daily Stock Balance Report</i> lists the day end stock account balances and value of each stock in different accounts (clearing and other segregated accounts) resulting from, settlement of CNS positions, SI and IT, deposits, withdrawals, account transfers (ATI, STI and Mass ATI/STI), entitlements, benefit claims/unclaims, stock splitting/merging, etc.</p> <p>The stock closing prices, total stock balance and market value of each stock are shown. This provides the total portfolio value held by the participants.</p> <p>In general, stock balances and values are listed by stock codes, then by stock accounts. Stocks and accounts with zero balance are not included in the statement.</p> <p>Daily stock balance of Hong Kong market (HKMK), Shanghai market (MAMK) and Shenzhen market (SZMK) are shown in separate reports.</p>
<b>Time available</b>	:	At the beginning of each business day and Saturday from 9:00 a.m.
<b>Frequency</b>	:	Daily

This key refers to the *Daily Stock Balance Report* sample 4.3.24.

**Field Description :**

X-Ref	Field Name	Description
(1)	CSESB01	Report ID for <i>Daily Stock Balance Report</i>
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	REPORT DATE	Date to which the report details refer
(7)	STK	Stock code and the currency of the stock  An asterisk (*) will be printed next to stock code if the balance shown is after stock conversion without parallel trading
(8)	A/C	Stock account number
(9)	BALANCE	Stock account balance
(10)	VALUE	Value of the stock account in stock level
(11)	STK	Stock name
(12)	REF PRC	Reference Price, display the Closing Price of the stock  Display as "N/A" if the stock reference price is not available
(13)	TOT BAL/VALUE	Total balance and value of the stock of all accounts. Total value of the stock equals to stock balance multiplied by the stock reference price.  Display as "N/A" if the stock reference price is not available.
(14)	PORTFOLIO VALUE	Summation of total value of all stocks in each account held by the participant
(15)	PORTFOLIO VALUE (HKD EQV) - MAIN BOARD	Hong Kong Dollar equivalent value of all Main Board stocks in all accounts held by the participant
(16)	PORTFOLIO VALUE (HKD EQV) - GEM BOARD	Hong Kong Dollar equivalent value of all GEM Board stocks in all accounts held by the participant
(17)	PORTFOLIO VALUE (HKD EQV) - ALL STOCK	Hong Kong Dollar equivalent value of all stocks in all accounts held by the participant
(18)	RATE	The reference exchange rate for calculation of value of the stock
(19)	CURRENCY	The currency of the stock rate for calculation of value of the stock
(20)	TOTAL (HKD EQV)	Hong Kong Dollar equivalent value of all stocks in the stock account held by the participant
(21)	MARKET	Market short name assigned by HKSCC
(22)	ISIN	International Securities Identification Number