

## ELECTED QUANTITY EXCEPTION REPORT FOR STRUCTURED PRODUCTS (BEFORE ELECTION END)

<b>Report ID</b>	:	CCNPT05
<b>Report Name</b>	:	<i>Elected Quantity Exception Report For Structured Products (Before Election End)</i>
<b>Purpose</b>	:	<p>The <i>Elected Quantity Exception Report For Structured Products (Before Election End)</i> contains two sections, they are:</p> <p><u>SECTION (A): TOTAL ELECTED HOLDINGS &gt; HOLDINGS BEFORE ELECTION END</u></p> <p>Listing out those authorised election instructions of structured products, where the elected quantity is greater than the holdings, as of the report generation, i.e. from election start date till one day before election end.</p> <p><u>SECTION (B): TOTAL ELECTED HOLDINGS &gt; 0, HOLDINGS BEFORE ELECTION END = 0</u></p> <p>Listing out those authorised election instructions of structured products, where the Participant does not maintain any holding, as of the report generation, i.e. from election start date till one day before election end.</p> <p>For election of the form of payment to be received for the relevant structured products, Participants should refer to this report to verify, then rectify the relevant election instructions before election end, usually election end at 5:00 p.m. on election end date, if required.</p>
<b>Time Available</b>	:	On each business day from the time when the report download function is available
<b>Frequency</b>	:	Once daily

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This key refers to the *Elected Quantity Exception Report For Structured Products (Before Election End)* sample.

**Field Description :**

<b>X-Ref</b>	<b>Field Name</b>	<b>Description</b>
(1)	CCNPT05	Report ID for <i>Elected Quantity Exception Report For Structured Products (Before Election End)</i>
(2)	B03456	Participant ID
(3)	CCASS COMPANY	Participant full name
(4)	RUN DATE	Report generation date
(5)	RUN TIME	Report generation time
(6)	DATE	Date of exception election instructions identified
(7)	(variable)	Section heading
(8)	STOCK	Stock code and stock name of the structured products with payment option
(9)	ANCM NO.	The announcement number of the corporate announcement, i.e. auto-exercised structured products
(10)	ELECTION END DATE / TIME	Election deadline, end date and time to submit election instruction for the payment option of the structured products
(11)	SHAREHLDG DATE	Shareholdings as of date of the corporate announcement, i.e. auto-exercised structured products
(12)	INSTR NO	The reference number of the election instruction with exceptional quantity identified
(13)	HOLDINGS AS OF TODAY	Holdings as of the report generation date
(14)	TOTAL ELECTED HOLDINGS	Number of structured products being elected for the form of payment to be received
(15)	MARKET	Market short name assigned by HKSCC