

SECTION 4.3
REPORT SAMPLE 4.3.37c

(1) CCNPT05 (2)B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 ELECTED QUANTITY EXCEPTION REPORT FOR STRUCTURED PRODUCTS
 (15) (MARKET - HONG KONG MK) (BEFORE ELECTION END)

(4) RUN DATE : 18MAR10
 (5) RUN TIME : 19:55:01
 PAGE : 1

(6) DATE : 18MAR10

POSSIBLE EXCEPTION OCCURRED AS OF TODAY;
 TOTAL ELECTED HOLDINGS SHOULD BE MAINTAINED IN CCASS ON SHAREHOLDING DATE

(8) STOCK	(9) ANCM NO	(10) ELECTION END DATE / TIME	(11) SHAREHLDG DATE	(12) INSTR NO	(13) HOLDINGS AS OF TODAY	(14) TOTAL ELECTED HOLDINGS
(A) TOTAL ELECTED HOLDINGS > HOLDINGS BEFORE ELECTION END (7)						
1001 LUCKY HOLDING	A00000001	2010-03-19 12:00	2010-03-19	R00009861	3,103,010,000	13,906,000,060
2702 KAM FUNG	A00000002	2010-03-19 12:00	2010-03-19	R00009872	243,302,000,000	2,023,406,000,000
(B) TOTAL ELECTED HOLDINGS > 0, HOLDINGS BEFORE ELECTION END = 0 (7)						
38 WINNER	A00000038	2010-03-22 12:00	2010-03-22	R00098738	0	6,000,000
39 CHAMPION	A00000039	2010-03-23 12:00	2010-03-23	R00098839	0	3,406,000,000

*** END OF REPORT ***