

GEM SETTLEMENT INFORMATION REPORT

Report ID	:	CSEOP09
Report Name	:	<i>GEM Settlement Information Report</i>
Purpose	:	The <i>GEM Settlement Information Report</i> lists daily pending settlement positions and next settlement day due/overdue positions of GEM Board stocks at the end of the settlement day.
Section Content	:	<u>I. PENDING SETTLEMENT POSITIONS</u> Details of all the pending positions of GEM stock (settlement date > next settlement date) in CNS, IT, SI & ISI. <u>II. NEXT SETTLEMENT DAY DUE/OVERDUE POSITIONS</u> Details of all the outstanding next settlement date due/overdue positions of GEM stock (settlement date <= next settlement date).
Time available	:	on each business day from the time when the report download function is available
Frequency	:	Daily

Section 4.3

Report Description

This key refers to the *GEM Settlement Information Report* sample 4.3.11.

Field Description :

X-Ref	Field Name	Description
(1)	CSEOP09	Report ID for <i>GEM Settlement Information Report</i>
(2)	B03456	Participant ID
(3)	CCASS COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date on which GEM stock positions are pending for settlement or next day due/overdue
(7)	(variable)	Section heading
(8)	POS TYPE	Position Type : CNS = Continuous Net Settlement; IT = Isolated Trade; SI = Settlement Instruction; ISI = Investor Settlement Instruction "#" indicates that the ISI is effected as ISI (without affirmation)
(9)	TRADE REFERENCE NO / SETTLEMENT POSITION NO	Reference number designated by SEHK / Settlement position number
(10)	DELIVERING QUANTITY	Number of shares to be delivered
(11)	RECEIVING QUANTITY	Number of shares to be received
(12)	RECEIVING AMT / ACCRUED INTEREST	Total money amount receivable (for CNS, IT, SI or ISI positions) (first row) Total accrued interest receivable (for interest - bearing debt securities positions) (for IT positions) (second row)
(13)	PAYABLE AMT / ACCRUED INTEREST	Total money amount payable (for CNS, IT, SI or ISI positions) (first row) Total accrued interest payable (for IT positions) (second row)
(14)	INTERNAL REF / STM OBLIGATION	For SI : Internal transaction reference For ISI : Internal transaction reference For IT : Trade reference number (TRN) (first row), Net settlement obligation (second row)
(15)	REMARKS	Additional information on the position
(16)	NET BALANCE AMT	Net amount for total receivable amount and total payable amount
(17)	STM DATE	Settlement date (date due for settlement)
(18)	SETTLEMENT POSITION NO	Settlement position number
(19)	SCCY	Settlement Currency