

NEXT SETTLEMENT DAY DUE/OVERDUE POSITION REPORT

Report ID	:	CSEOP01
Report Name	:	<i>Next Settlement Day Due/Overdue Position Report</i>
Purpose	:	<p>The <i>Next Settlement Day Due/Overdue Position Report</i> lists the due and overdue stock positions by stock and position type as of the end of the settlement day. It also summarizes the stock account balances for easy reference.</p> <p>The details include the delivering and the receiving outstanding quantity and the amount receivable/payable for each due/overdue position.</p> <p>Separate reports will be generated for Hong Kong market (HKMK), Shanghai market (MAMK) and Shenzhen market (SZMK). For MAMK and SZMK reports, they show current day's due/overdue position.</p>
Time available	:	On each business day from the time when the report download function is available
Frequency	:	Daily

Section 4.3
Report Description

This key refers to the *Next Settlement Day Due/Overdue Position Report 4.3.10*.

Field Description :

X-Ref	Field Name	Description
(1)	CSEOP01	Report ID for <i>Next Settlement Day Due/Overdue Position Report</i>
(2)	B03456	Participant ID
(3)	CCASS COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date as of the end of which the positions in this report become due/overdue on the following settlement day
(7)	STK	Stock code and stock name For interest-bearing debt securities, if accrued interest has been adjusted after printing of Final Clearing Statement, an asterisk is placed below the stock code and a note on the adjustment reason is printed at the end of stock record.
(8)	POS TYP	Position type : CNS = Continuous Net Settlement ; IT = Isolated Trade ; SI = Settlement Instruction; ISI = Investor Settlement Instruction
(9)	STM DATE	Settlement date, due date for settlement
(10)	SETTLEMENT POS NO	Settlement position number (For buy-in trades, a suffix 'B' is placed after it.) (For SI, the purpose of SI indicator is placed after it.) (For ISI, the purpose of ISI indicator is placed after it.)
(11)	DI RQ	DI requirement : Y = to be settled by DI ; N = to be settled by CCASS's multiple batch run
(12)	COUNTERPARTY	Counterparty's participant ID and name
(13)	DELIVERING QUANTITY	Number of shares to be delivered
(14)	RECEIVING QUANTITY	Number of shares to be received
(15)	AMT RCVBL/PAYBL/ ACCR INTEREST/SCCY	Money value receivable from or payable to the counterparty participant (first row) Accrued interest (second row) Settlement currency (second row) For partially settled CNS / IT positions, an indicator 'P' will be shown next the 'AMT RCVBL/PAYBL/ACCR INTEREST'.
(16)	INT REF / STM OBL	For SI: Internal transaction reference For ISI : Internal transaction reference For IT: Trade reference number (TRN) (first row), Net settlement obligation (second row)
(17)	REMARKS	Additional information on the transaction (e.g. 'frozen')
(18)	TTL A/C BAL	Total balance of all stock accounts for each stock
(19)	CLR A/C BAL	Balance of the clearing account for each stock

X-Ref	Field Name	Description
(20)	SEG A/C BAL	Balance of segregated accounts '2' to '17' for each stock and balance of the Stock Collateral Control Account
(21)	OTH A/C BAL	Total balance of other segregated accounts for each stock (The field of OTH A/C BAL will be suppressed if a participant does not have segregated accounts starting from '21' onwards.)
(22)	STM A/C	Stock settlement account
(23)	ORG STOCK CODE	Original stock code of the converted settlement position
(24)	NEW STOCK CODE	New stock code of the converted settlement position
(25)	CANCELLED POS NO	The position number of the cancelled settlement position of the original stock
(26)	NEW POS NO	The position number of the new settlement position of the new stock (For SI and ISI positions, there will be no cancellation of settlement position number. The original settlement position number will be shown in both 'CANCELLED POSITION NO' and 'NEW POSITION NO' fields.)
(27)	ORIGINAL O/S QUANTITY	The outstanding position quantity of the cancelled settlement position of the original stock
(28)	CONVERTED O/S QUANTITY	The converted outstanding position quantity of the new settlement position of the new stock
(29)	INT REF	For SI/ISI : Internal transaction reference For IT : Trade reference number (TRN)
(30)	SI LINK REF	SI Linkage Reference assigned to the linked SI
(31)	CCY	Trading Currency of the stock
(32)	MARKET	Market Short Name assigned by HKSCC
(33)	ISIN	International Securities Identification Number