

SI BATCH INPUT CONTROL REPORT

Report ID	:	CCLEI01
Report Name	:	<i>SI Batch Input Control Report</i>
Purpose	:	<p>The <i>SI Batch Input Control Report</i> lists the processing results of the SI Batch files uploaded. Any errors detected in the input, deletion or revocation records are given, together with error messages to help identify any irregularities.</p> <p>When the SI file is accepted, lists any errors detected in the detail records together with total number of records and total transfer quantity which are processed, accepted and rejected. When the whole SI file is rejected, lists any errors detected in both the control and detail records, together with an error message indicating the whole file is rejected.</p>
Time available	:	Shortly after each SI upload
Frequency	:	After each SI upload

This key refers to the *SI Batch Input Control Report* sample 4.3.5.

Field Description :

X-Ref	Field Name	Description
(1)	CCLEI01	Report ID for <i>SI Batch Input Control Report</i>
(2)	B03456	Participant ID
(3)	CCASS COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	TRANSMISSION DATE	Date on which the SI batch file was sent to CCASS
(7)	PROCESSING TIME	Time at which CCASS processed the SI batch file
(8)	FILE REFERENCE	Internal file reference number maintained by CCASS
(9)	REC TYP	Record type : 0 = Control header; 1 = Detail record for SI to be input; 2 = Control trailer; 3 = Detail record for SI to be deleted or revoked.
(10)	PARTICIPANT ID	Participant ID
(11)	INTERNAL TRN REF.	Internal transaction reference
(12)	STM DATE	Due date for settlement
(13)	STOCK/ C/P ISIN	Stock code / Counterparty's participant ID / International Securities Identification Number
(14)	INS TYP	Instruction type : D = Deliver ; R = Receive
(15)	QUANTITY/ MONEY VALUE	Number of shares / Money amount of the SI
(16)	CLIENT A/C NO / CLIENT NAME	Client account number / Name of client
(17)	PMT INS	Payment Instruction : D = DVP; F = FOP; R = RDP
(18)	SI PURP	Purpose of SI : I = Investor-Intermediary ; L = New Borrowing/Lending ; R = Return/Recall; P = Stock Pledging ; M = Portfolio Movement ; Blank
(19)	DI REQ	DI requirement : Y = settled by DI ; N = settled by CCASS's multiple batch run
(19a)	ON-HLD	Hold Matched SI Indicator
(20)	REMARKS/ PROCESSING REF/ CONTROL TOTAL	Additional information on the SI/ Processing reference/ Control total : sum of settlement date, stock code, quantity and money value
(21)	ERROR MESSAGES	Error code and error messages for the SI
(22)	FILE NAME	Name of the file, i.e. SI BATCH INPUT

X-Ref	Field Name	Description
(23)	NO OF DETAIL RECORD	Total number of SI records
(24)	STOCK CODE SUM	Sum of stock code
(25)	STOCK QTY SUM	Sum of quantity
(26)	STOCK AMT SUM	Sum of money value
(27)	CONTROL TOTAL SUM	Sum of control total
(28)	TOTAL NO OF RECORDS	Total number of SI records (processed, accepted, rejected)
(29)	QTY	Total number of shares of SIs (processed, accepted, rejected)
(30)	MNY VAL	Total amount of money of SIs (processed, accepted, rejected)
(31)	STM A/C/ SCCY	Stock settlement account / Settlement Currency
(32)	RECORD TYPE	Record type : 0 = Control header; 1 = Detail record for SI to be input; 2 = Control trailer, 3 = Detail record for SI to be deleted or revoked.
(33)	SI LINK REF	SI Linkage Reference for a pair of linked SI
(34)	SI INPUT NUMBER	SI Input Number of SI to be deleted or revoked