

STI STATUS REPORT

Report ID	:	CSEAT03
Report Name	:	<i>STI Status Report</i>
Purpose	:	<p>The <i>STI Status Report</i> lists pending STIs which remain unauthorised, STIs which remain unaffirmed by the SSA Statement Recipient at the end of the business day and STIs that are pending for transfer. Follow-up actions can be made if necessary. This report has three sections: Pending STI, Unaffirmed STI and Pending for Transfer STI.</p> <p>STI status of Hong Kong market shares, Shanghai market shares and Shenzhen market shares are shown in separate reports.</p>
Section Content	:	<p><u>I. PENDING STI</u></p> <p>Details of pending STIs which remain unauthorised as of the end of the business day.</p> <p><u>II. UNAFFIRMED STI</u></p> <p>Details of STIs which remain unaffirmed as of the end of the business day.</p> <p><u>III. PENDING FOR TRANSFER STI</u></p> <p>Details of STIs that are affirmed for STI (with affirmation) or authorised for STI (without affirmation) but are not yet transferred due to insufficient shares.</p>
Time available	:	On each business day from the time when the report download function is available
Frequency	:	Daily

Section 4.3

Report Description

This key refers to the *STI Status Report* sample 4.3.48

Field Description :

X-Ref	Field Name	Description
(1)	CSEAT03	Report ID for <i>STI Status Report</i>
(2)	B00001	Participant ID
(3)	TESTER FULLNAME B00001	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date of the STI activity
(7)	(variable)	Section heading
(8)	INPUT DATE	STI Input Date
(9)	STOCK	Stock Code and Short Name of the Stock
(10)	ISIN	International Securities Identification Number
(11)	FROM A/C	From Stock Account Number and Account Short Name
(12)	TO A/C	To Stock Account Number and Account Short Name
(13)	STI INP NO	STI Input Number
(14)	PYMT INST	Payment instruction : DVP/FOP
(15)	QUANTITY	Number of shares
(16)	MONEY VALUE	Money amount involved
(17)	CCY	Currency of the money value
(18)	AFF REQ	STI requires affirmation by the delivering SSA: Y = Yes (i.e. require), N = No (i.e. not require)
(19)	REMARKS	Additional information on the STI
(20)	TOTAL NO OF PENDING STI	Total number of 'Pending' STIs of the Participant
(21)	TOTAL NO OF UNAFFIRMED STI	Total number of 'Unaffirmed' STIs of the Participant
(22)	AFFIRMED DATE	Date of the STI being affirmed
(23)	TOTAL NO OF PENDING FOR TRANSFER STI	Total number of 'Pending for Transfer' STIs of the Participant