

STATEMENT OF GUARANTEE FUND CONTRIBUTIONS

- Report ID** : GFR608
- Report Name** : *Statement of Guarantee Fund Contributions*
- Purpose** : The *Statement of Guarantee Fund Contributions* shows Clearing Participant's required Basic Contribution and Dynamic Contribution to the Guarantee Fund (GF).
- Time available:** On the next business day after each GF review shortly after 8:00 a.m.
- Monthly GF review is performed on a regular basis on the first business day of every month. Ad hoc GF review may be carried out if HKSCC considers necessary in response to changing market conditions.
- Frequency** : Monthly and ad hoc

Section 4.3

Report Description

This key refers to the Statement of Guarantee Fund Contributions sample 4.3.17b.

X-Ref	FIELD NAME	Description
(1)	GFR608	Report ID for <i>Statement of Guarantee Fund Contributions</i>
(2)	RUN DATE	Date of running the report
(3)	RUN TIME	Time of running the report
(4)	DATE	Date of running the report
(5)	PARTICIPANT ID	Participant identity assigned
(6)	PARTICIPANT NAME	Participant Name

For section of Required Basic Contribution

(7)	REQUIRED BASIC CONTRIBUTION	The sum of References (8) & (9) below
(8)	MINIMUM CASH CONTRIBUTION REQUIREMENT	Minimum cash contribution requirement in Basic Contribution
(9)	ADDITIONAL CONTRIBUTION REQUIREMENT	Required Basic Contribution in addition to the minimum cash contribution requirement in Basic Contribution
(10)	BALANCE BOUGHT FORWARD	The sum of References (11), (12) & (13) below
(11)	BALANCE BOUGHT FORWARD CASH	Basic Contribution contributed in the form of cash
(12)	BALANCE BOUGHT FORWARD BANK GUARANTEE	Basis Contribution contributed in the form of bank guarantee
(13)	BALANCE BOUGHT FORWARD ACCRUED INTEREST	Applicable to monthly GF review only. Aggregate daily interest on cash contribution in excess of minimum cash contribution requirement in Basic Contribution in preceding month
(14)	AMOUNT OF BASIC	Amount of Basic Contribution to be collected

X-Ref	FIELD NAME	Description
	CONTRIBUTION DUE FROM / (TO) YOUR COMPANY	from or redeliver to the participant
(15)	THE AMOUNT OF BASIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: PAYABLE IN CASH	The amount of Basic Contribution to be collected from the participant in the form of cash
(16)	THE AMOUNT OF BASIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: RECEIVABLE IN CASH	The amount of Basic Contribution to be redeliver to the participant in the form of cash
(17)	THE AMOUNT OF BASIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: TRANSFER FROM "SETTLEMENT BANK GUARANTEE"	The amount of bank guarantee to be transferred from settlement bank guarantee to Basic Contribution for settling the shortfall in Basic Contribution
(18)	THE AMOUNT OF BASIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: TRANSFER TO "SETTLEMENT BANK GUARANTEE"	The amount of bank guarantee to be transferred from Basic Contribution to settlement bank guarantee for settling the excess in Basic Contribution
<i>For section of Required Dynamic Contribution</i>		
(19)	REQUIRED DYNAMIC CONTRIBUTION	The sum of References (20) & (21) below
(20)	CALCULATED DYNAMIC CONTRIBUTION	Dynamic Contribution calculated before deducting Dynamic Contribution Credit
(21)	DYNAMIC CONTRIBUTION CREDIT UTILIZED	The lower of Dynamic Contribution Credit limit or the participant's calculated Dynamic Contribution in Reference (20)
(22)	BALANCE BROUGHT FORWARD	The sum of References (23), (24) & (25) below

Section 4.3Report Description

X-Ref	FIELD NAME	Description
(23)	BALANCE BOUGHT FORWARD CASH	Dynamic Contribution contributed in the form of cash
(24)	BALANCE BOUGHT FORWARD BANK GUARANTEE	Dynamic Contribution contributed in the form of bank guarantee
(25)	BALANCE BOUGHT FORWARD ACCRUED INTEREST	Applicable to monthly GF review only. Aggregate daily interest on cash contribution in Dynamic Contribution in preceding month
(26)	AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY	Amount of Dynamic Contribution to be collected from or redelivered to the participant
(27)	THE AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: PAYABLE IN CASH	The amount of Dynamic Contribution to be collected from the participant in the form of cash
(28)	THE AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: RECEIVABLE IN CASH	The amount of Dynamic Contribution to be redelivered to the participant in the form of cash
(29)	THE AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: TRANSFER FROM "SETTLEMENT BANK GUARANTEE"	The amount of bank guarantee to be transferred from settlement bank guarantee to Dynamic Contribution for settling the shortfall in Dynamic Contribution
(30)	THE AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY: TRANSFER TO "SETTLEMENT BANK GUARANTEE"	The amount of bank guarantee to be transferred from Dynamic Contribution to settlement bank guarantee for settling the excess in Dynamic Contribution

X-Ref	FIELD NAME	Description
<i>For summary section</i>		
(31)	THE NET SETTLEMENT AMOUNT OF BASIC CONTRIBUTION AND DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY: DDI	The net settlement amount of Basic Contribution and Dynamic Contribution to be collected from the participant via Direct Debit Instruction
(32)	THE NET SETTLEMENT AMOUNT OF BASIC CONTRIBUTION AND DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY: DCI	The net settlement amount of Basic Contribution and Dynamic Contribution to be redelivered to the participant via Direct Credit Instruction
(33)	THE NET SETTLEMENT AMOUNT OF BASIC CONTRIBUTION AND DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY: TRANSFER FROM "SETTLEMENT BANK GUARANTEE"	The overall amount of bank guarantee to be transferred from settlement bank guarantee to Basic Contribution and/or Dynamic Contribution for settling the net settlement shortfall in Basic Contribution and Dynamic Contribution
(34)	THE NET SETTLEMENT AMOUNT OF BASIC CONTRIBUTION AND DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY: TRANSFER TO "SETTLEMENT BANK GUARANTEE"	The overall amount of bank guarantee to be transferred from Basic Contribution and/or Dynamic Contribution to settlement bank guarantee for settling the net settlement excess in Basic Contribution and Dynamic Contribution
(35)	DUE DATE	The date to settle the amount of net settlement amount of Basic Contribution and Dynamic Contribution
(36)	REMARKS	Remarks of this report
(37)	NOTES	The descriptions of each note in this report