

SI STATUS REPORT

Report ID	:	CCLSI01
Report Name	:	<i>SI Status Report</i>
Purpose	:	The <i>SI Status Report</i> lists pending SIs which remain unauthorised, SIs which remain unmatched at the end of the business day and SIs that are matched or matched on-hold but not yet due for settlement on the coming settlement day. Follow-up actions for pending and unmatched SIs can be made if necessary. This report has five sections: Pending SI, Unmatched SI, Matched SI, Matched On-hold SI and Payment Validated SI. SI status of Hong Kong market shares, Shanghai market shares and Shenzhen market shares are shown in separate reports.
Section Content	:	<p><u>I. PENDING SI</u></p> <p>Details of pending SIs which remain unauthorised as of the end of the business day.</p> <p><u>II. UNMATCHED SI</u></p> <p>Details of SIs which remain unmatched as of the end of the business day.</p> <p><u>III. MATCHED SI</u> (pending settlement)</p> <p>Details of SIs that are matched but are not yet due for settlement on the coming settlement day.</p> <p><u>IIIa. MATCHED ON-HOLD SI</u> (pending settlement)</p> <p>Details of SIs that are matched on-hold but are not yet due for settlement on the coming settlement day.</p> <p><u>IV. PAYMENT VALIDATED SI</u></p> <p>Details of SIs to be settled on a RDP basis which CHATS payments were validated and accepted by CCASS while confirmation of money settlement was not received from the Clearing House of HKAB.</p>
Time available	:	On each business day from the time when the report download function is available.
Frequency	:	Daily

Section 4.3
Report Description

This key refers to the *SI Status Report* sample 4.3.3

Field Description :

X-Ref	Field Name	Description
(1)	CCLSI01	Report ID for <i>SI Status Report</i>
(2)	B03456	Participant ID
(3)	CCASS COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	DATE	Date to which the report details refer
(7)	(variable)	Section heading
(8)	STM DATE	Due date for settlement with counterparty
(9)	COUNTERPARTY/ STOCK	Counterparty's participant ID and name / Stock code and stock name
(10)	INS TYP	Instruction type : D = Deliver ; R = Receive
(11)	SI INP NO/ INP DATE/	SI input number / SI input date /
(12)	STM A/C	Stock settlement account
(13)	QUANTITY/ MONEY VALUE	Number of shares involved / Money amount for the SI
(14)	PI/ PURP/ DI/ SCCY/HOLD/	Payment Instruction : D = DVP ; F = FOP; R = RDP / Purpose of SI : C = Broker-Custodian ; L = New Borrowing/Lending ; R = Return / Recall; P = Stock Pledging ; M = Portfolio Movement ; Blank / DI requirement : Y = settled by DI ;N = settled by CCASS's multiple batch run / Settlement Currency/ Hold Matched SI: Y = Hold matched SI from settlement; N = To be included for settlement in multiple batch processing after successful matching /
(15)	INT REF	Internal Transaction Reference
(16)	CLIENT A/C NO/ CLIENT NAME	Client account number / Name of client
(17)	SI LINK REF	SI Linkage Reference assigned to the linked SI
(18)	REMARKS / PROCESSING REF	Additional information on the SI/ Processing reference
(19)	MAT DATE	Matched date

X-Ref	Field Name	Description
(20)	ACTUAL STM AMOUNT	Blank = SI matched with no amount variance for both parties; Non-blank = The actual settlement amount of the SI matched with variance for either party
(21)	MARKET	Market short name assigned by HKSCC
(22)	ISIN	International Securities Identification Number
(23)	STM POS NO	Settlement position number