

## MONTHLY STOCK BALANCE REPORT

**Report ID** : CFIMC01

**Report Name** : *Monthly Stock Balance Report*

**Purpose** : The *Monthly Stock Balance Report* summarizes the stock balances of the participant as of a calendar month end. The report also shows the monthly custody fee charged for each stock. Stocks with a zero balance are not shown. If the stock balance is negative, absolute value will be shown for the number of board lot.

**Time available** : after 9:00 a.m. on the first working day of each month

**Frequency** : Monthly

## Section 4.3

### Report Description

This key refers to the *Monthly Stock Balance Report* sample 4.3.25.

#### Field Description :

X-Ref	Field Name	Description
(1)	CFIMC01	Report ID for <i>Monthly Stock Balance Report</i>
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	AS OF DATE	Date of extracting the stock balance of all accounts
(7)	STOCK	Stock code and name, an asterisk will be printed next to the stock code of a bearer bond
(8)	BALANCE / AVG DAILY BAL	For bearer bond, Exchange Fund Notes, Specified Instruments and CMU Instruments : average daily balance (the sum of the daily day-end stock balance for the month divided by the number of days in the month); For other securities : balance of shares as of the stock balance taking date
(9)	LOT SIZE	Board lot size of the stock
(10)	NO OF BOARD LOT / NOM VALUE PER UNIT	For bearer bond, Exchange Fund Notes, Specified Instruments and CMU Instruments : nominal value with respective currency per unit For other securities : number of board lots for the shareholdings
(11)	CUSTODY FEE	Custody fee on the shareholdings
(12)	CURRENT MONTH DEPOSIT QTY	The total quantity of share deposited into CCASS within the current month
(13)	PURGED CUM QTY	The total quantity of share deposited into CCASS for the preceding 19th month to be deducted from the cumulative stock deposit quantity figure
(14)	CUM QUANTITY C/F	The total quantity of share deposited into CCASS for the previous 18 months
(15)	GROSS CUSTODY FEE	The aggregate amount of custody fee on shareholdings
(16)	MAIN BOARD TOTAL	The aggregate amount of custody fee for all Main Board shareholdings
(17)	GEM BOARD TOTAL	The aggregate amount of custody fee for all GEM Board shareholdings
(18)	ALL MARKET TOTAL	The aggregate amount of custody fee for all stocks
(19)	CUSTODY FEE BILLING	The billing amount of custody fee to be charged on participant's shareholding
(20)	MARKET	Market short name assigned by HKSCC
(21)	ISIN	International Securities Identification Number
(22)	POST CA	Stock balance or average daily balance after automatic conversion, only applicable if stock is subject to CCASS automatic conversion, due to corporate action, on the last business day of the month