

STOCK BORROWING/LENDING ACTIVITY REPORT

Report ID : CBLBR01

Report Name : *Stock Borrowing/Lending Activity Report*

Purpose : The *Stock Borrowing/Lending Activity Report* lists stock borrowing/lending input activities (including add recall request, change cash compensation indicator and add stock return). It shows details of stock recall or cash compensation election that are maintained by participant, and stock return that are performed by CCASS during the day. This report has three sections: Recall Request, Elect Cash Compensation and Stock Return. When there is no activity for a particular section during the day, the relevant report section (including the section heading) is suppressed from printing.

Section Content:

I. RECALL REQUEST

Details of recall request activities invoked by the participant during the day.

II. ELECT CASH COMPENSATION

Details of cash compensation election activities invoked by the participant during the day.

III. STOCK RETURN

Details of stock return activities invoked by CCASS.

Time available : At the beginning of each business day and Saturday from 9:00 a.m.

Frequency : Daily

Section 4.3
Report Description

This key refers to the *Stock Borrowing/Lending Activity Report* sample 4.3.27.

Field Description :

X-Ref	Field Name	Description
(1)	CBLBR01	Report ID for <i>Stock Borrowing/Lending Activity Report</i>
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date the report is printed
(5)	RUN TIME	Time the report is printed
(6)	DATE	Date of the stock borrowing/lending activity
(7)	(variable)	Section heading
(8)	TRAN TYPE	Nature of stock borrowing/lending activity: ADD = Add; CHG = Change
(9)	STOCK	Stock code and stock name
(10)	LP NO/BP NO	Stock Lending/Borrowing Position number - Prefix 'LP' indicates that it is a lending position - Prefix 'BP' indicates that it is a borrowing position
(11)	RECALLED QUANTITY	Number of shares recalled
(12)	RCV A/C	Stock receiving account
(13)	CASH COMP. IND	Cash compensation indicator: Y = Cash compensation elected if there is no stock return; N = Cash compensation not elected if there is no stock return
(14)	REMARKS	Additional information on the stock borrowing/lending activity
(15)	ACTION BY	Indicates the operator's userid, the terminal ID, and the time of the activity
(16)	TOTAL NO. OF RECORDS	Indicates the activity totals of records added, changed
(17)	O/S QUANTITY	Quantity of shares transacted for the election
(18)	LOAN TYPE	Loan type : O = Open Loan; T = Term Loan
(19)	RETURNED QUANTITY	Number of share returned
(20)	MARKET	Market short name assigned by HKSCC
(21)	ISIN	International Securities Identification Number