

**PARTICIPANT VOTED QUANTITY EXCEPTION REPORT
– ON VOTING DEADLINE**

Report ID	:	CCNPT03
Report Name	:	<i>Participant Voted Quantity Exception Report – On Voting Deadline</i>
Purpose	:	<p>The <i>Participant Voted Quantity Exception Report – On Voting Deadline</i> lists those scaled down or cancelled voting instructions arising from total voted quantities input in the authorised instructions/corporate representatives (i.e. Total Instruction Holdings) greater than the shareholding as of the stated record date, if any, or as of the voting end date specified by HKSCC (i.e. CCASS stated deadline).</p> <p>Participants should refer to this report for the adjustment details.</p> <p>Separate reports will be generated for Hong Kong market (HKMK) shares, Shanghai market (MAMK) shares and Shenzhen market (SZMK) shares.</p>
Time available	:	Shortly after 4:15 pm for HKMK shares, MAMK shares and SZMK shares.
Frequency	:	Daily

Section 4.3
Report Description

This key refers to the *Participant Voted Quantity Exception Report – On Voting Deadline* sample 4.3.37a.

Field Description :

X-Ref	Field Name	Description
(1)	CCNPT03	Report ID for <i>Participant Voted Quantity Exception Report – On Voting Deadline</i>
(2)	B00512	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	STOCK	Stock code of the voting announcement
(7)	MEETING DATE	The date of the meeting to be held
(8)	SHAREHOLDING DATE	Shareholding as of date of the voting amount
(9)	TOTAL INSTRUCTION HOLDINGS	Total voted quantity, including the voting instruction and the corporate representative instructions, in respect of the voting announcement
(10)	MEETING TYPE	Meeting type such as <ul style="list-style-type: none"> ➢ Annual General Meeting (AGM) ➢ Extraordinary General Meeting (EGM) ➢ Special General Meeting (SGM) ➢ Class Meeting (CLS) ➢ Court Meeting (CRT) ➢ Warrantholders' Meeting (WRT)
(11)	ANNOUNCEMENT NO.	The announcement number of the relevant voting amount
(12)	VOTING END DATE / TIME	Voting deadline, end date and end time to submit as specified by HKSCC
(13)	HOLDINGS AS OF SHAREHOLDING DATE	Shareholdings as of record date, or shareholding date
(14)	NO OF CORP REP / PROXY INSTRUCTION	The number of the corporate representative/proxy instruction maintained
(15)	INSTR. NO.	The number of the voting instruction with exception identified
(16)	NO. IN PROXY FORM	Reference number displayed in the proxy form for each resolution
(17)	“FOR”	Number of shares used in voting “FOR” the resolution
(18)	“AGAINST”	Number of shares used in voting “AGAINST” the resolution
(19)	“ABSTAIN/WITHHOLD”	Number of shares used in voting “ABSTAIN/WITHHOLD” the resolution

X-Ref	Field Name	Description
(20)	"NON VOTED"	<p>Number of shares not voted "FOR" or "AGAINST" or "ABSTAIN/WITHHOLD" in the voting instruction. The amount is the difference resulting from the "HOLDINGS AS OF SHAREHOLDING DATE" less the total number of shares voted "FOR" and "AGAINST" and "ABSTAIN/WITHHOLD"</p> <p>Note: <u>For Non-Cumulative Voting:</u> -"NON VOTED"= Total Instruction Holding – Corp Rep /Proxy - (For + Against + Abstain/Withhold)</p> <p>- "NON VOTED" will be shown on each resolution.</p> <p><u>For Cumulative Voting:</u> -"NON VOTED"= (Total Instruction Holding – Corp Rep /Proxy) x "No. of directors/supervisors to be elected" - (For + Against + Abstain/Withhold) under same cumulative group</p> <p>- Non Voted will be shown only in the 1st row of resolution within the group of Cumulative Vote.</p>
(21)	"DEMAND POLL HLDGS"	Number of shares used in demand poll request for the resolution
(22)	NAME OF CORP REP / PROXY	Name of Corporate Representative / Proxy nominated
(23)	SHARE QTY REPRESENTED BY CR / P	Number of shares represented by Corporate Representative / Proxy for the meeting
(24)	PROXY "FOR"	In appointment of proxy, it indicates the number of shares used in voting "FOR" the resolution
(25)	PROXY "AGAINST"	In appointment of proxy, it indicates the number of shares used in voting "AGAINST" the resolution
(26)	PROXY "ABSTAIN/WITHHOLD"	In appointment of proxy, it indicates the number of shares used in voting "ABSTAIN/WITHHOLD" the resolution.
(27)	PROXY "NON VOTED"	<p>In appointment of proxy, it indicates the number of shares "NON VOTED" for the resolution.</p> <p>Note: <u>For Non-Cumulative Voting:</u> -"NON VOTED"= Total Instruction Holding – Corp Rep /Proxy - (For + Against + Abstain/Withhold)</p> <p>- "NON VOTED" will be shown on each resolution.</p> <p><u>For Cumulative Voting:</u> -"NON VOTED"= (Total Instruction Holding – Corp Rep /Proxy) x "No. of directors/supervisors to be elected" - (For + Against + Abstain/Withhold)</p>

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X-Ref	Field Name	Description
(28)	STK A/C	under same cumulative group - Non Voted will be shown only in the 1 st row of resolution within the group of Cumulative Vote. Stock Account Number