

PARTICIPANT VOTED QUANTITY EXCEPTION REPORT – BEFORE VOTING DEADLINE

Report ID : CCNPT04

Report Name : *Participant Voted Quantity Exception Report – Before Voting Deadline*

Purpose : The *Participant Voted Quantity Exception Report – Before Voting Deadline* contains two sections, they are:

SECTION (1): EXCEPTION OCCURRED AS OF SHAREHOLDING DATE

Listing out the authorised instructions/corporate representatives with total voted quantity (i.e. Total Instruction Holdings) greater than the shareholding as of the stated record date.

SECTION (2): POSSIBLE EXCEPTION OCCURRED AS OF THE DAY BEFORE SHAREHOLDING DATE; INSTRUCTION HOLDINGS SHOULD BE MAINTAINED IN STOCK ACCOUNT UP TO SHAREHOLDING DATE

Listing out all the authorised instructions/corporate representatives with total voted quantity (i.e. Total Instruction Holdings) greater than the shareholding one day before the stated record date.

In any voting event that the shareholding date takes place during the voting period except the voting end date (i.e. CCASS stated deadline), Participants should refer to this report available between the Shareholding Date and Before CCASS stated deadline (both date inclusive) and, if applicable, shall re-input the relevant voting or corporate representative instructions by the voting end day before 4:15 p.m. (i.e. CCASS stated deadline).

Separate reports are generated for Hong Kong market (HKMK) shares, Shanghai market (MAMK) shares and Shenzhen market (SZMK) shares.

Time available : From the time when the report download function is available (for potential exceptional voting instructions)

Frequency : Daily

Section 4.3

Report Description

This key refers to the *Participant Voted Quantity Exception Report – Before Voting Deadline* sample 4.3.37b.

Field Description :

X-Ref	Field Name	Description
(1)	CCNPT04	Report ID for <i>Participant Voted Quantity Exception Report – Before Voting Deadline</i>
(2)	B00516	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date of running the report
(5)	RUN TIME	Time of running the report
(6)	STOCK	Stock code of the voting announcement
(7)	MEETING DATE	The date of the meeting to be held
(8)	SHAREHOLDING DATE	Shareholding as of date of the voting announcement
(9)	TOTAL INSTRUCTION HOLDINGS	Total voted quantity, including the voting instruction and the corporate representative instructions, in respect of the voting announcement
(10)	INSTRUCTION NO. (BEFORE/AFTER) / INSTRUCTION NO.	The number of the voting instruction with exception identified and the re-generated voting instruction for participant follow up, if applicable
(11)	MEETING TYPE	Meeting type such as <ul style="list-style-type: none"> ➢ Annual General Meeting (AGM) ➢ Extraordinary General Meeting (EGM) ➢ Special General Meeting (SGM) ➢ Class Meeting (CLS) ➢ Court Meeting (CRT) ➢ Warranholders' Meeting (WRT)
(12)	ANNOUNCEMENT NO.	The announcement number of the relevant voting announcement
(13)	VOTING END DATE / TIME	Voting deadline, end date and time to submit voting instruction
(14)	HOLDINGS AS OF SHAREHOLDING DATE	Shareholdings as of record date, or shareholding date stated by HKSCC
(15)	NO OF CORP REP / PROXY INSTRUCTION	The number of the corporate representative/proxy instruction maintained
(16)	HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE	Shareholdings as of the day before the record date or shareholding date
(17)	STOCK A/C	Stock Account Number