

## NEW ISSUES APPLICATION INPUT ACTIVITIES REPORT

- Report ID** : CEPAA01 for day end and CEPAB01 for intra-day
- Report Name** : *New Issues Application Input Activities Report*
- Purpose** : The *New Issues Application Input Activities Report* lists the on-line input and batch upload activities related to new issues application activities details. The report is divided into three sections: EIPO, Tendering and EIPO Confirmation. When there is no activity for a particular section during the day, the relevant report section will be suppressed from printing.
- This Report will be available at 11:30 a.m. (CEPAB01) and day end (CEPAA01) respectively. CEPAB01 includes both on-line input and batch upload new issues application and EIPO confirmation activities performed before the report generation at 11:30 a.m. whilst CEPAA01 includes all application activities performed during the report generation date, including those already listed in CEPAB01.
- Section Content:**
- I. EIPO  
  
List the maintenance activities related to the EIPO application instructions.
  - II. TENDERING  
  
List the maintenance activities related to the tender instructions.
  - III. EIPO CONFIRMATION  
  
List the maintenance activities related to the EIPO confirmation instructions.
- Time Available** : On each business day and Saturday:
  - CEPAA01: from the time when the report download function is available
  - CEPAB01: at 11:30 a.m.
- Frequency** : At 11:30 a.m. and day end

This key refers to the sample of *New Issues Application Input Activities Report* sample 4.3.40.

**Field Description :**

X-Ref	Field Name	Description
(1)	CEPAA01/CEPAB01	Report ID for <i>New Issues Application Input Activities Report</i> : <ul style="list-style-type: none"> <li>➤ CEPAA01 for day end report</li> <li>➤ CEPAB01 for report generated at 11:30 a.m.</li> </ul>
(2)	B11668	Participant ID
(3)	GOODWILL & COMPANY	Participant full name
(4)	RUN DATE	Date the report is printed
(5)	RUN TIME	Time the report is printed
(6)	DATE	Date on which the EIPO functions are transacted
(7)	STOCK	Stock code and stock name of the new stock
(8)	ACTION	Action type shows the action performed for the nominee activity. Action types include: Add ('ADD'), Change ('CHG'), Delete ('DELETE'), Authorise ('AUTHORIZE'), Cancel ('CANCEL')
(9)	TIME	The time of the update to the instruction record
(10)	USER	The operator's userid of the update to the instruction record
(11)	TERM or TERMINAL	The terminal ID of the update to the instruction record
(12)	INSTR NO	'Instruction Number' - unique reference number assigned to the instruction record
(13)	APPL QUANTITY	The number of shares applied for
(14)	BENEFICIAL OWNER	The name of the beneficial owner
(15)	HKID/BRN/PASSPORT	The HKID Number, Hong Kong Business Registration Number or the Passport Number of the beneficial owner
(16)	BROKER SEAT NO	The broker seat number of the Exchange Participant which is to receive the brokerage commission for the EIPO instruction
(17)	TTL RECORDS ADDED or TOTAL NO OF RECORDS ADDED	Total number of records added.
(18)	TTL RECORDS AUTHORIZED or TOTAL NO OF RECORDS AUTHORIZED	Total number of records authorized.

X-Ref	Field Name	Description
(19)	TTL RECORDS CHANGED or TOTAL NO OF RECORDS CHANGED	Total number of records changed.
(20)	TTL RECORDS DELETED or TOTAL NO OF RECORDS DELETED	Total number of records deleted.
(21)	TTL RECORDS CANCELLED or TOTAL NO OF RECORDS CANCELLED	Total number of records cancelled.
(22)	PRICE BID	The price bid specified in percentage for the tender instruction.
(23)	BROKER NUMBER	The broker number of the Exchange Participant which is to receive the brokerage commission for the tender instruction. It will be '0000' if there is no input, or the Participant is a Clearing Participant and also an Exchange Participant.
(24)	REMARKS	Additional information input for the tender instruction.
(25)	ISIN	International Securities Identification Number
(26)	CURRENCY	Currency used in recording the money amount
(27)	APPLICATION MONEY	The amount of application money
(28)	TTL QUANTITY	Total number of shares applied
(29)	TTL APPL MONEY	Total amount of application money
(30)	QUANTITY (BEFORE CHG)	Total number of shares applied before changing the applications
(31)	APPL MONEY (BEFORE CHG)	Total amount of application money before changing the applications
(32)	STATUS	Status of the application, i.e. Pending or Authorized
(33)	TOTAL NO OF INSTR	Total number of application input
(34)	TOTAL APPL QUANTITY	Total number of shares applied
(35)	TOTAL APPLICATION MONEY	Total amount of application money
(36)	ACTION	Action type shows the action performed for the EIPO Confirmation activity. Action types include: Add ('ADD'), Delete ('DELETE'), Authorize ('AUTHORIZE') and Cancel ('CANCEL')
(37)	ALLOTTED QUANTITY	The number of shares allotted for the EIPO application