

SECTION 4.3
REPORT SAMPLE 4.3.4: SI ACTIVITY REPORT

(1) CCLSI02 (2)B03456
(3) CCASS COMPANY

HKSCC - CCASS
SI ACTIVITY REPORT
(43) (MARKET - HONGKONG MK)

(4) RUN DATE : 24JUL02
(5) RUN TIME : 21:30:00
PAGE : 1

(6) DATE : 24JUL02

(7) USER ACTIVITY

(8) TIME/ USER	(9) FUNC	(30) STM DT/ STATUS	(11) COUNTERPARTY/ STOCK/ISIN (44) STM A/C	(12) INS TYP	(13) INP NO/ STM POS NO	(14) QUANTITY/ MONEY VALUE (32) SI LINK REF	(15) PI/PURP/DI/ SCCY/HOLD/ INT REF	(16) CLIENT A/C NO / PROCESSING REF / REMARKS	CLIENT NAME /
10:20:30 B1166802	INP	26JUL02 PND	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D	I20000021	300,000- 0.00 SI LINKAGE REF	FOP P Y HKD N X311	256 THIS IS PROCESSING REF	S.A. & CO.
			8 A/C FOR ABC CO						
11:10:00 B1166802	SHD	25JUL02	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D	I20000002	5,000- 0.00	RDP P Y HKD N X887		
			1 CLEARING A/C						
11:30:00 B1166801	INP	25JUL02 UNM	C21355 WORLDWIDE N LTD 185 CHINA LIGHT	D	I20000025	2,000- 1,400.00	DVP C Y HKD N C/N12345	456 DELIVER TO WORLDWIDE N LTD	A B CHAN
			1 CLEARING A/C						
11:50:03 B1166801	CHG B	25JUL02 UNM	C21355 WORLDWIDE N LTD 185 CHINA LIGHT	D	I20000025	2,000- 1,400.00	DVP C Y HKD Y C/N12345	456 DELIVER TO WORLDWIDE N LTD	A B CHAN
			9 A/C FOR XYZ CO						
		A	25JUL02 UNM	C21355 WORLDWIDE N LTD 185 CHINA LIGHT	D	I20000025	2,000- 1,400.00 C/N12345	456 THIS IS PROCESSING REF DELIVER TO WORLDWIDE N LTD	A B CHAN
			11 SEGREGATED A/C						
14:18:40 B1166801	RVK	25JUL02	L38352 CONCORD BANK 12 HK BANK HK0000000012	R	I70000003 P23482935	4,000 0.00	FOP L N HKD N X6973		
			1 CLEARING A/C						
15:10:00 B1166802	DEL	25JUL02 UNM	C71857 BILLION N LTD 17 TECH BASE	D	I20000014	500- 1,500.00	DVP C Y USD N C/N26789		
			1 CLEARING A/C						
15:15:00 B1166801	AUT	26JUL02	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D	I20000021	300,000- 0.00	FOP P Y HKD N X311	256	S.A. & CO.
			1 CLEARING A/C						
15:50:03 B1166801	CHG B	25JUL02 STD	C21355 WORLDWIDE N LTD 185 CHINA LIGHT	D	I20000028	2,000- 1,400.00 SI LINKAGE REF	DVP C Y HKD Y	456 DELIVER TO WORLDWIDE N LTD	A B CHAN
			9 A/C FOR XYZ CO						
		A	25JUL02 STD	C21355 WORLDWIDE N LTD 185 CHINA LIGHT	D	I20000028	2,000- 1,400.00 HKD Y	456	A B CHAN
			9 A/C FOR XYZ CO						

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(7) USER ACTIVITY

(8)		(9)	(30)	(11)		(12)	(13)	(14)	(15)	(16)		
TIME/	USER	FUNC	STM DT/	STOCK/ISIN	COUNTERPARTY/	INS	SI INP NO/	QUANTITY/	PI/PURP/DI/	CLIENT A/C NO /	CLIENT NAME /	
			STATUS	STM A/C	(44)	TYP	STM POS NO	MONEY VALUE	SCCY/HOLD/	PROCESSING REF /	REMARKS	
								(32) SI LINK REF	INT REF			
15:53:03	B1166801	CHG B	25JUL02	C21355	WORLDWIDE N LTD	D	I20000029	2,000-	DVP C Y	456	A B CHAN	
			MAT	185	CHINA LIGHT			1,400.00	HKD Y	THIS IS PROCESSING REF DELIVER TO WORLDWIDE N LTD		
					9 A/C FOR XYZ CO							
			A	25JUL02	C21355	WORLDWIDE N LTD	D	I20000029	2,000-	DVP C Y	456	A B LAM
			MAT	185	CHINA LIGHT			1,400.00	HKD Y	DELIVER TO WORLDWIDE N LTD		
					1 CLEARING A/C							
15:55:03	B1166801	CHG B	25JUL02	C21355	WORLDWIDE N LTD	D	I20000030	2,000-	DVP C Y	456	A B CHAN	
			MTH	185	CHINA LIGHT			1,400.00	HKD Y	DELIVER TO WORLDWIDE N LTD		
					9 A/C FOR XYZ CO				A333			
			A	25JUL02	C21355	WORLDWIDE N LTD	D	I20000030	2,000-	DVP C Y	456	A B CHAN
			MTH	185	CHINA LIGHT			1,400.00	HKD Y	DELIVER TO WORLDWIDE N LTD		
					9 A/C FOR XYZ CO				A388			

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(7) SUMMARY OF USER ACTIVITY

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(17) TRANSACTION TYPE	(18) TOTAL NO. OF TRANSACTIONS	(19) CHARGES PER TRANSACTION (HKD)	(20) TOTAL CHARGES (HKD)
-----	-----	-----	-----
PENDING SI INPUT	1	1.00	1.00
UNMATCH SI INPUT	1	1.00	1.00
SI CHANGES (PEND/UNMATCHED)	1	1.00	1.00
SI CHANGES (MAT/MAT ON-HD)	2	1.00	2.00
SI AUTHORISATION	1	0.00	0.00
SI DELETION	1	1.00	1.00
SI REVOCATION	1	1.00	1.00
SI SHARES ON-HOLD (DI)	1	0.00	0.00
RELEASE SI LINK ON-HOLD SHS	1	0.00	0.00

		TOTAL CHARGES FOR SI =	7.00

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(7) SYSTEM ACTIVITY
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(21) STATUS	(22) SI INP NO	(23) STM DATE/ STM A/C	(24) COUNTERPARTY	(25)/(44) STOCK/ISIN	(12) INS TYP	(26) STM POS NO	(27)/(32) QUANTITY SI LINK REF	(28)/(33) MONEY VALUE/ ACTUAL STM AMOUNT	(29) SCCY/HOLD INTNL REF
MATCHED	I20000025	25JUL02 8	C21355 WORLDWIDE N LTD	185 CHINA LIGHT	D	P23000014	2,000- SI LINKAGE REF	1,400.00	HKD N C/N12345
RVK-C/P	I70000011	25JUL02 1	L38352 CONCORD BANK	12 HK BANK HK0000000012	R	P23482935	4,000	0.00	HKD Y X6973
	I10000020	26JUL02 7	C21355 WORLDWIDE N LTD	17 TECH BASE	D	P23311420	8,000-	28,000.00	USD C/N89138
PURGED	I30000007	23JUL02 1	C71857 BILLION N LTD	17 TECH BASE	R		5,000	0.00	USD N E112
	I30000101P	24JUL02 1	L38352 CONCORD BANK	12 HK BANK HK0000000012	D		3,000-	180,000.00	HKD
HLD/BCH	I20000010	24JUL02 1	P36554 OVERSEAS N LTD	185 CHINA LIGHT	D	P23000010	5,000-	50,000.00	HKD N X998
RLSE	I20000010	22JAN92 1	P36554 OVERSEAS N LTD	185 CHINA LIGHT	D	P23000010	5,000-	50,000.00	HKD N X998
HLD/MTH	I20000018	22JAN92 1	P36554 OVERSEAS N LTD	185 CHINA LIGHT	D	P23000010	6,000-	60,000.00	HKD Y X990
RVK	I10000020	22JUL02 1	C21358 WORLD LTD	217 TECH FORCE	D	P23311426	2,000-	28,000.00	USD N X998

TOTAL NO OF SI MATCHED : 2 TOTAL NO OF SI REVOKED : 3 TOTAL NO OF SI PURGED : 2
 TOTAL NO OF SI SHARES ON-HOLD(BCH) : 1 TOTAL NO OF RELEASED SI : 1 TOTAL NO OF MATCHED ON-HOLD SI (MATCHING RUN) : 1

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(7) SI CONVERSION ACTIVITY

(31) STATUS	(22) SI INP NO	(23) STM DATE/ STM A/C	(24) COUNTERPARTY	(25)/(44) STOCK/ISIN	(12) INS TYP	(26) STM POS NO	(27) QUANTITY	(28)/(32) MONEY VALUE SI LINK REF	(29) SCCY/ INTNL REF
BEFORE	I20000035	25JUL02	P36554 OVERSEAS N LTD	12 HK BANK HK0000000012	D	P23000011	10,000-	400,000.00	HKD
AFTER	I20000035	25JUL02	P36554 OVERSEAS N LTD	12 HK BANK HK0000000012	D	P23000011	30,000-	400,000.00	HKD
BEFORE	I20000036	25JUL02	C21355 WORLDWIDE N LTD	185 CHINA LIGHT	D	P23000014	5,000-	20,000.00	HKD
AFTER	I20000036	25JUL02	C21355 WORLDWIDE N LTD	185 CHINA LIGHT	D	P23000014	25,000-	20,000.00	HKD
BEFORE	I20000047	26JUL02	C21355 WORLDWIDE N LTD	12 HK BANK HK0000000012	D	P23300119	2,000-	80,000.00	HKD
AFTER	I20000047	26JUL02	C21355 WORLDWIDE N LTD	12 HK BANK HK0000000012	D	P23000119	6,000-	80,000.00	HKD
BEFORE	I20000058	26JUL02	L38352 CONCORD BANK	17 TECH BASE	D	P23300121	100,000-	76,000.00	USD
AFTER	I20000058	26JUL02	L38352 CONCORD BANK	17 TECH BASE	D	P23300121	200,000-	76,000.00	USD
BEFORE	I20000060	26JUL02	C71857 BILLION N LTD	12 HK BANK HK0000000012	D	P26000296	12,000-	480,000.00	HKD
AFTER	I20000060	26JUL02	C71857 BILLION N LTD	12 HK BANK HK0000000012	D	P26000296	46,000-	480,000.00	HKD

TOTAL NO OF SI CONVERTED : 5

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(7) SI TOLERANCE LIMIT MAINTENANCE ACTIVITY
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(34) ACTION	(35) CCY	(36) UPDATED BY	(37) TIME	(38) TERM ID
ADD	HKD	B1166801	10:00	RY8A
DELETE	HKD	B1166801	10:01	RY8A
ADD	USD	B1166801	10:02	RY8A
AUTHORIZE	USD	B1166802	10:15	RY8A

(39) TOTAL NO OF RECORDS ADDED : 2
(40) TOTAL NO OF RECORDS DELETED : 1
(41) TOTAL NO OF RECORDS AUTHORIZED : 1
(42) TOTAL NO OF RECORDS CANCELLED : 0

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(7) HIDE UNMATCHED SI CONTENT COUNTERPARTY LIST MAINTENANCE ACTIVITY

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(34)      (45)      (46)      (36)      (37)      (38)
ACTION    COUNTERPARTY ID  STATUS   UPDATED BY  TIME      TERM ID
-----
ADD       B01122      PENDING  B1166801   10:00     RY8A
DELETE    B01122      PENDING  B1166801   10:00     RY8A
ADD       B01124      PENDING  B1166801   10:02     RY8A
AUTHORIZE B01124      AUTHORISED B1166802   10:11     RY8A
CANCEL    B01124      AUTHORISED B1166801   10:20     RY8A
  
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(39) TOTAL NO OF RECORDS ADDED : 2
 (40) TOTAL NO OF RECORDS DELETED : 1
 (41) TOTAL NO OF RECORDS AUTHORIZED : 1
 (42) TOTAL NO OF RECORDS CANCELLED : 1

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(7) CHANGE HOLD MATCHED SI ACTIVITY

(31) STATUS	TIME/ (47) STM DT	COUNTERPARTY/ (11) STOCK/ISIN (44) STM A/C	(12) (13) (32) INS SI INP NO/ TYP STM POS NO HOLD	(14) (15b) QUANTITY/ MONEY VALUE SI LINK REF	(15a) PMT INS/ PURP/DI/ SCCY/INT REF	(16) CLIENT A/C NO / PROCESSING REF / REMARKS	CLIENT NAME /
BEFORE	10:20:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D I20000021 P23482935	N 300,000- 0.00	FOP P Y HKD	256 THIS IS PROCESSING REF	S.A. & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
AFTER	10:20:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D I20000021 P23482935	Y 300,000- 0.00	FOP P Y HKD	256	S.A. & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
BEFORE	10:30:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 128 CHINA HOLDING	D I20000021 P23482935	Y 300,000- 0.00	FOP P N HKD	122	A.B & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
AFTER	10:30:30 B1166803 26JUL04	P36554 OVERSEAS N LTD 128 CHINA HOLDING	D I20000021 P23482935	N 300,000- 0.00	FOP P N HKD	122	A.B & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
BEFORE	11:20:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D I20000021 P23482935	N 300,000- 0.00	FOP P Y HKD	258	V.A. & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
AFTER	11:20:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D I20000021 P23482935	Y 300,000- 0.00	FOP P Y HKD	258	V.A. & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
BEFORE	12:20:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D I20000021 P23482935	Y 300,000- 0.00	FOP P Y HKD	256	S.A. & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		
AFTER	12:20:30 B1166802 26JUL04	P36554 OVERSEAS N LTD 185 CHINA LIGHT	D I20000021 P23482935	N 300,000- 0.00	FOP P Y HKD	256	S.A. & CO.
		8 A/C FOR ABC CO		SI LINKAGE REF	X311		

(48) TOTAL NO OF SI CHANGED (ON-LINE) : 4

*** END OF REPORT ***