

SECTION 4.3  
 REPORT SAMPLE 4.3.5a: UNMATCHED SI REPORT

CCLUS01(1) B03456 (2)  
 CCASS COMPANY (3)

HKSCC - CCASS  
 UNMATCHED SI REPORT  
 (MARKET - HONG KONG MK ) (16)

(4) RUN DATE : 21JUL04  
 (5) RUN TIME : 11:35:31  
 PAGE : 1

DATE : 21JUL04 (6)

(A) POSSIBLE MATCHING SI (3 OR BELOW UNMATCHED FIELDS) (7)

(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
INS TYPE/ STM DATE	PART ID/NAME SI INPUT NO	STOCK CODE/ ISIN	QUANTITY/ MONEY VALUE	PMT INS/ SCCY /PUR	CLIENT A/C NO/ CLIENT NAME/ PROCESSING REF	INTERNAL TXN REF	UNMATCHED REASON CODE
@D 21JUL04*	B03000 BROKER B03000 I40051103	1 CHEUNG KONG HK0001000014	3,000- * 4,111.00	DVP HKD / M	A1389 * SDC LTD THIS IS PROCESSING REF	A011245	1 2 6
R 22JUL04*	B03456 CCASS COMPANY I40051108	1 CHEUNG KONG HK0001000014	2,000 * 4,111.00-	DVP HKD / M	A138 * SDC LTD	CL0346	
@D 21JUL04	* B03000 BROKER B03000 I40051105	2 CLP HOLDINGS HK0002007356	2,220- 5,000.00	DVP * HKD / C	258-1110 H & D CO	A011268	4 5
D 21JUL04	* B03456 CCASS COMPANY I40051109	2 CLP HOLDINGS HK0002007356	2,220- 5.000.00	RDP * HKD / M	258-1110 H & D CO THIS IS PROCESSING REF	CL0489	
@D 21JUL04	B03000 BROKER B03000 I40051106	3 HK & CHINA GAS * HK0003000038	3,333- 5,233.00	DVP USD*/	U632 O & O INV	A012460	3 A
R 21JUL04	B03456 CCASS COMPANY I40051111	4 WHARF HOLDINGS * HK0004000045	3,333 5,233.00-	DVP HKD / C	U632 O & O INV	CL0390	
@D 21JUL04	B03678 BROKER B03678 I40051105	2 CLP HOLDINGS HK0002007356	5,000- 0.00	FOP HKD / M	A749-000-765 * P & M LTD	A087003	2
	B03456 CCASS COMPANY I40051109						
@D 21JUL04	C04501 CNN BANK I40051106	4 WHARF HOLDINGS HK0004000045	9,000- 9,001.00 #	DVP HKD / C	G024-000-764 TIFF INV	A450044	
R 21JUL04	B03456 CCASS COMPANY I40051111	4 WHARF HOLDINGS HK0004000045	9,000 9,000.00-#	DVP HKD / C	G024-000-764 TIFF INV	LL0-9870	

UNMATCHED REASON CODES: (15)

- |                                 |                                      |   |
|---------------------------------|--------------------------------------|---|
| 1 - SETTLEMENT DATE UNMATCH     | 2 - CLIENT A/C NO. UNMATCH           | 3 - STOCK CODE/ISIN UNMATCH                         |
| 4 - INSTRUCTION TYPE UNMATCH    | 5 - PAYMENT INSTRUCTION UNMATCH      | 6 - QUANTITY UNMATCH                                |
| 7 - MONEY VALUE UNMATCH         | 8 - NO POSSIBLE MATCHING SI IS FOUND | 9 - POSSIBLE MATCHING SI BUT NOT EARMARKED AS PAIRS |
| A - SETTLEMENT CURRENCY UNMATCH |                                      |   |

NOTE :

@ - SI INPUT BY THE PARTICIPANT

\* - UNMATCHED FIELD

# - MATCHED WITH TOLERANCE LIMIT

SECTION 4.3  
 REPORT SAMPLE 4.3.5a: UNMATCHED SI REPORT

CCLUS01(1) B03456 (2)  
 CCASS COMPANY (3)

HKSCC - CCASS  
 UNMATCHED SI REPORT  
 (MARKET - HONG KONG MK ) (16)

(4) RUN DATE : 21JUL04  
 (5) RUN TIME : 11:35:31  
 PAGE : 2

DATE : 21JUL04 (6)

(B) SI WITH UNMATCHED REASON (8) (7)

(8)	(9)	(10)	(11)	(12)	(13)	(14)
INS TYPE/ STM DATE	PART ID/NAME SI INPUT NO	STOCK CODE/ ISIN	QUANTITY/ MONEY VALUE	PMT INS/ SCCY /PUR	CLIENT A/C NO/ CLIENT NAME PROCESSING REF	INTERNAL TXN REF
@D 21JUL04	B03456 CCASS COMPANY I40051108	231 KEL & JILL HK0004000045	8,000- 9,000.00	DVP HKD / C	Y215 GIDEN INV THIS IS PROCESSING REF	A450044
R 21JUL04	C01234 CUSTODIAN CCD I40051110	3 HK & CHINA GAS HK0003000038	74,000 0.00	FOP HKD / M	D23400-890 WORLD FUND INV	E900-678

UNMATCHED REASON CODES: (15)

- |                                 |                                      |   |
|---------------------------------|--------------------------------------|---|
| 1 - SETTLEMENT DATE UNMATCH     | 2 - CLIENT A/C NO. UNMATCH           | 3 - STOCK CODE/ISIN UNMATCH                         |
| 4 - INSTRUCTION TYPE UNMATCH    | 5 - PAYMENT INSTRUCTION UNMATCH      | 6 - QUANTITY UNMATCH                                |
| 7 - MONEY VALUE UNMATCH         | 8 - NO POSSIBLE MATCHING SI IS FOUND | 9 - POSSIBLE MATCHING SI BUT NOT EARMARKED AS PAIRS |
| A - SETTLEMENT CURRENCY UNMATCH |                                      |   |

NOTE :

- |                                 |                     |                                  |
|---------------------------------|---------------------|----------------------------------|
| @ - SI INPUT BY THE PARTICIPANT | * - UNMATCHED FIELD | # - MATCHED WITH TOLERANCE LIMIT |
|---------------------------------|---------------------|----------------------------------|

SECTION 4.3  
 REPORT SAMPLE 4.3.5a: UNMATCHED SI REPORT

CCLUS01 (1) B03456 (2)  
 CCASS COMPANY (3)

HKSCC - CCASS  
 UNMATCHED SI REPORT  
 (MARKET - HONG KONG MK ) (16)

(4) RUN DATE : 21JUL04  
 (5) RUN TIME : 11:35:31  
 PAGE : 3

DATE : 21JUL04 (6)

(C) SI WITH UNMATCHED REASON (9) (7)

(8)	(9)	(10)	(11)	(12)	(13)	(14)
INS TYPE/ STM DATE	PART ID/NAME SI INPUT NO	STOCK CODE/ ISIN	QUANTITY/ MONEY VALUE	PMT INS/ SCCY /PUR	CLIENT A/C NO/ CLIENT NAME PROCESSING REF	INTERNAL TXN REF
@D 21JUL04	B03000 BROKER B03000 I40051104	2 CLP HOLDINGS HK0002007356	2- 0.00	FOP HKD / M	M009 GOLD MILE INV THIS IS PROCESSING REF	A025417
	B07852 NORWAY BROKER I40067098					
R 21JUL04	B03000 BROKER B03000 I40051107	1 CHEUNG KONG HK0001000014	1,111 1,111.00-	RDP HKD / M	1-984-AB04 WOODS TRUST	587-1-001

UNMATCHED REASON CODES: (15)

- |                                 |                                      |   |
|---------------------------------|--------------------------------------|---|
| 1 - SETTLEMENT DATE UNMATCH     | 2 - CLIENT A/C NO. UNMATCH           | 3 - STOCK CODE/ISIN UNMATCH                         |
| 4 - INSTRUCTION TYPE UNMATCH    | 5 - PAYMENT INSTRUCTION UNMATCH      | 6 - QUANTITY UNMATCH                                |
| 7 - MONEY VALUE UNMATCH         | 8 - NO POSSIBLE MATCHING SI IS FOUND | 9 - POSSIBLE MATCHING SI BUT NOT EARMARKED AS PAIRS |
| A - SETTLEMENT CURRENCY UNMATCH |                                      |   |

NOTE :

- |                                 |                     |                                  |
|---------------------------------|---------------------|----------------------------------|
| @ - SI INPUT BY THE PARTICIPANT | * - UNMATCHED FIELD | # - MATCHED WITH TOLERANCE LIMIT |
|---------------------------------|---------------------|----------------------------------|

\*\*\* END OF REPORT \*\*\*