

SECTION 4.3
REPORT SAMPLE 4.3.7

(1) CIPSI02 (2)B11668
 (3) GOODWILL & COMPANY

KSCC - CCASS
 ISI ACTIVITY REPORT
 (37) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
 (5) RUN TIME : 21:30:00
 PAGE : 1

(6) DATE : 25JUL02

(7) USER ACTIVITY
 =====

(8) TIME/ USER	(9) FUNC	(10) (31) STM DT/ STATUS	(11) COUNTERPARTY/ STOCK/ISIN STM A/C	(12) INS TYP/ (13) (12a)HLD ISI INP NO/ STM STM POS NO	(14) QUANTITY/ MONEY VALUE	(15) (30) DVP ON-HLD PMT INS/ PUREP/ DI/ CCY/INT REF	(16) CLIENT A/C NO / REMARKS	CLIENT NAME /
10:20:30 B1166802	INP	26JUL02 PND	000505 S A & CO 185 CHINA LIGHT	D Y	020000001 300,000- 0.00	N FOP R Y 256 HKD		S A & CO
#			8 A/C FOR ABC CO			X311		
11:10:00 B1166802	INP	25JUL02 UNA	000265 OVERSEAS N LTD 185 CHINA LIGHT	D Y	020000002 5,000- 0.00	N FOP L Y HKD		
11:50:03 B1166801	CHG B	29JUL02 UNA	1 CLEARING A/C 000125 WORLDWIDE N LTD 185 CHINA LIGHT	D N	020000005 2,000- 1,400.00	X887 N DVP C Y 456 HKD	DELIVER TO	A B CHAN
	A	29JUL02 UNA	9 A/C FOR XYZ CO 000125 WORLDWIDE N LTD 185 CHINA LIGHT	D N	020000005 2,000- 1,400.00	C/N12345 N DVP C N 456 HKD	DELIVER TO	WORLDWIDE N LTD A B CHAN
15:10:00 B1166802	DEL	29JUL02 UNA	11 SEGREGATED A/C 000372 ALI CHAN 17 TECH BASE HK00000000017	D Y	020000004 500- 1,500.00	C/N12345 Y DVP C Y USD		WORLDWIDE N LTD
15:15:00 B1166801	AUT	26JUL02	1 CLEARING A/C 000505 S A & CO 185 CHINA LIGHT	D Y	020000001 300,000- 0.00	C/N26789 N FOP P Y 256 HKD		S A & CO
15:15:00 B1166801	SHD	25JUL02	1 CLEARING A/C 000505 S A & CO 185 CHINA LIGHT	D Y	020000010 20,000- 19,500.00	X311 N RDP I Y 256 HKD		S A & CO
15:30:00 B1166801	IND	29JUL02	1 CLEARING A/C 000125 WORLDWIDE N LTD 185 CHINA LIGHT	D Y	020000005 2,000- 1,400.00	X4589 Y DVP C Y 456 HKD	DELIVER TO	A B CHAN
			1 CLEARING A/C			C/N12345		WORLDWIDE N LTD

SECTION 4.3
REPORT SAMPLE 4.3.7

(1) CIPSI02 (2)B11668
 (3) GOODWILL & COMPANY

HKSCC - CCASS
 ISI ACTIVITY REPORT
 (37) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
 (5) RUN TIME : 21:30:00
 PAGE : 2

(6) DATE : 25JUL02

(7) SUMMARY OF USER ACTIVITY
 =====

(17) TRANSACTION TYPE	(18) TOTAL NO. OF TRANSACTIONS	(19) CHARGES PER TRANSACTION (HKD)	(20) TOTAL CHARGES (HKD)
PENDING ISI INPUT	1	1.00	1.00
UNAFFIRMED ISI INPUT	1	1.00	1.00
PEND FOR SETTLE ISI INPUT	0	0.00	0.00
SETTLED ISI INPUT	0	0.00	0.00
ISI CHANGES	1	1.00	1.00
ISI AUTHORISATION	1	0.00	0.00
ISI DELETION	1	0.00	0.00
ISI SHARES ON-HLD(DI)-RDP	1	0.00	0.00
ISI SHARES ON-HLD(DI)-DVP	1	0.00	0.00
TOTAL CHARGES FOR ISI =			3.00

NOTE : * - ISI WITHIN CONCESSION PERIOD. # - ISI (WITHOUT AFFIRMATION)

SECTION 4.3
REPORT SAMPLE 4.3.7

(1) CIPSI02 (2)B11668
 (3) GOODWILL & COMPANY

HKSCC - CCASS
 ISI ACTIVITY REPORT
 (37) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
 (5) RUN TIME : 21:30:00
 PAGE : 3

(6) DATE : 25JUL02

(7) IP AFFIRMATION / SYSTEM ACTIVITY

(21) STATUS	(22) ISI INP NO	(23) STM DATE/ STM A/C	(24) COUNTERPARTY	(25) STOCK ISIN	(26) INS TYP	(27) STM POS NO	(28) QUANTITY	(29) MONEY VALUE	(36)/(12a) CCY/ HLD STM INTNL REF (30)
PFS	020000002	25JUL02 1	000513 TERRY LUI	185 CHINA LIGHT	D	P23000018	5,000-	0.00	HKD Y X887
	020000005	25JUL02 8	000760 PETER TSE	185 CHINA LIGHT	D	P23000014	2,000-	1,400.00	HKD Y C/N12345
DVPHLD	020000005	25JUL02 1	000125 WORLDWIDE N LTD	185 CHINA LIGHT	D	P00000035	2,000-	1,400.00	HKD A B CHAN
UNWIND	023500007	24JUL02 1	000311 JOSEPH LEE	12 HONGKONG BANK	D	P00001201	1,000-	83,000.00	HKD H K CHAN
PURGED	030000007	24JUL02 1	000828 HELEN LEUNG	17 TECH BASE HK0000000017	R		5,000	0.00	USD N E112
	030000101P	25JUL02 1	000893 LOUIS LEUNG	12 HK BANK	D		3,000-	180,000.00	HKD
TOTAL NO OF ISI PEND FOR SETTLE (PFS):		2		TOTAL NO OF ISI PURGED :		2			
TOTAL NO OF ISI SHARES ON-HOLD (BH) :		0		TOTAL NO OF RELEASED ISI :		0			
TOTAL NO OF DVP ON-HOLD (BH) :		1		TOTAL NO OF UNWINDED ISI :		1			

NOTE : * - ISI WITHIN CONCESSION PERIOD. # - ISI (WITHOUT AFFIRMATION)

SECTION 4.3
REPORT SAMPLE 4.3.7

(1) CIPSI02 (2)B11668
(3) GOODWILL & COMPANY

HKSCC - CCASS
ISI ACTIVITY REPORT
(37) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
(5) RUN TIME : 21:30:00
PAGE : 4

(6) DATE : 25JUL02

(7) ISI COUNTERPARTY LIST MAINTENANCE ACTIVITY

```
=====
(31)      (32)      (33)      (34)      (38)      (35)
TIME      USER      FUNC      COUNTERPARTY      TYPE      STATUS
-----
13:19:55  B0116801  INP      000798 KLN SPORTS LTD  WITH AFFIRM  PND
13:20:32  B0116801  AUT      000798 KLN SPORTS LTD  WITH AFFIRM  AUT
13:21:07  B0116801  INP      000348 CHAN WAI MIU    W/O AFFIRM  PND
13:21:21  B0116801  DEL      000348 CHAN WAI MIU    W/O AFFIRM  PND
13:21:51  B0116801  INP      001457 MA SIU LING    WITH AFFIRM  PND
13:25:02  B0116801  AUT      001457 MA SIU LING    WITH AFFIRM  AUT
13:25:35  B0116801  CAN      001457 MA SIU LING    WITH AFFIRM  AUT
```

SECTION 4.3
REPORT SAMPLE 4.3.7

(1) CIPSI02 (2)B11668
(3) GOODWILL & COMPANY

HKSCC - CCASS
ISI ACTIVITY REPORT
(37) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
(5) RUN TIME : 21:30:00
PAGE : 5

(6) DATE : 25JUL02

(7) DESIGNATED RECEIVING ACCOUNT FOR ISI (WITHOUT AFFIRMATION) MAINTENANCE

=====

(31) TIME	(32) USER	(33) FUNC	(39) A/C	(35) STATUS
13:19:55	B0116801	INP	11 SEGRE AC 11	PND
13:20:32	B0116801	AUT	11 SEGRE AC 11	AUT

SECTION 4.3
REPORT SAMPLE 4.3.7

(1) CIPSI02 (2)B11668
 (3) GOODWILL & COMPANY

KSCC - CCASS
 ISI ACTIVITY REPORT
 (37) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
 (5) RUN TIME : 21:30:00
 PAGE : 6

(6) DATE : 25JUL02

(7) HOLD/RELEASE ISI ACTIVITY

(8) TIME/ USER	(12a) HLD STM	(10) STM DT	(11) COUNTERPARTY/ STOCK/ISIN STM A/C	(12) INS TYP	(13) ISI INP NO/ STM POS NO	(14) QUANTITY/ MONEY VALUE	(15)/(30) DVP ON-HLD PMT INS/ PURE/ DI/ CCY/INT REF	CLIENT A/C NO /	(16) CLIENT NAME /	REMARKS	
10:20:30 B1166802	Y	B	26JUL02	000505	S A & CO 185 CHINA LIGHT 8 A/C FOR ABC CO	D	020000001	300,000- 0.00	N FOP R Y 256	HKD	S A & CO
	N	A	26JUL02	000505	S A & CO 185 CHINA LIGHT 8 A/C FOR ABC CO	D	020000001	300,000- 0.00	N FOP R Y 256	HKD	S A & CO
#											
11:10:00 B1166802	Y	B	25JUL02	000265	OVERSEAS N LTD 185 CHINA LIGHT 8 A/C FOR ABC CO	D	020000002	5,000- 0.00	N FOP L Y	HKD	
	N	A	25JUL02	000265	OVERSEAS N LTD 185 CHINA LIGHT 8 A/C FOR ABC CO	D	020000002	5,000- 0.00	N FOP L Y	X311	
11:50:03 B1166801	N	B	29JUL02	000125	WORLDWIDE N LTD 185 CHINA LIGHT 1 CLEARING A/C	D	020000005	2,000- 1,400.00	N DVP C Y 456	HKD	A B CHAN
	Y	A	29JUL02	000125	WORLDWIDE N LTD 185 CHINA LIGHT 1 CLEARING A/C	D	020000005	2,000- 1,400.00	N DVP C Y 456	HKD	A B CHAN

TOTAL NO OF ISI HOLD: 1

TOTAL NO OF ISI RELEASED: 2

NOTE : * - ISI WITHIN CONCESSION PERIOD. # - ISI (WITHOUT AFFIRMATION)

*** END OF REPORT ***