

**SECTION 4.3**  
**REPORT SAMPLE 4.3.8**

(1) CIPEI01 (2) B11668  
 (3) GOODWILL & CO

HKSCC - CCASS  
 ISI BATCH INPUT CONTROL REPORT

(4) RUN DATE : 25JUL02  
 (5) RUN TIME : 10:30:00  
 PAGE : 1

(6) TRANSMISSION DATE : 25JUL02

(7) PROCESSING TIME : 10:30:00

(8) FILE REFERENCE : A1234522222222

-----EXCEPTIONS-----

DETAIL RECORD		(13)	(14)	(31)	(15)	(16)	(17)	(18)	(19)	(20)	(20a)	(21)					
(9) REC INTERNAL	(11)	(12)	C/P	INS TYP/	QUANTITY	CLIENT A/C NO /	PMT	SI	DI	DVP	HLD	REMARKS/CONTROL TOTAL					
TYP	TRN	REF.	STM	DATE	ISIN	STM	A/C	MONEY	VALUE	CLIENT	NAME	INS	PURP	REQ	HLD	STM	REMARKS/CONTROL TOTAL
1	2222211111	25JUL02	8881	R	00000210000	XXXXX1111111111	D	M	N	Y	Y	THIS IS REMARK 1					
			000885		1 0000001000000	COMPANY XX						THIS IS REMARK 2					
												00000001000000					

(22) ERROR MESSAGES : -5005 STOCK CODE NOT FOUND

-----SUMMARY OF CONTROL TOTALS-----

	(29)	(30)	(31)
	TOTAL NO OF RECORDS	TOTAL QUANTITY	TOTAL MONEY VALUE
DETAIL RECORDS PROCESSED	50	150,000,000	11,090,000.00
DETAIL RECORDS ACCEPTED	49	149,790,000	10,090,000.00
DETAIL RECORDS REJECTED	1	210,000	1,000,000.00

\*\*\* END OF REPORT \*\*\*

**SECTION 4.3**  
**REPORT SAMPLE 4.3.8**  
**The whole ISI file rejected**

(1) CIPEI01 (2) B11668  
 (3) GOODWILL & CO

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(4) RUN DATE : 25JUL02  
 (5) RUN TIME : 10:30:00  
 PAGE : 1

(6) TRANSMISSION DATE : 25JUL02

(7) PROCESSING TIME : 10:30:00

(8) FILE REFERENCE : A1234522222223

-----EXCEPTIONS-----

CONTROL HEADER

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(33) RECORD TYPE	(10) PARTICIPANT ID	(8) FILE REFERENCE	(6) TRANSMISSION DATE	(23) FILE NAME
0	B11668	A12345678901234	20020725	ABC BATCH INPUT

ERROR MESSAGES : -5002 FILE NAME INVALID  
 (22)

DETAIL RECORD

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(9) REC INTERNAL(11)	(12) TYP TRN REF.	(12) STM DATE	(14) STOCK/ C/P	(31) INS TYP/ STM A/C	(15) QUANTITY MONEY VALUE	(16) CLIENT A/C NO / CLIENT NAME	(17) PMT	(18) SI	(19) DI	(20) DVP HLD	(20a) REQ HLD STM	(21) REMARKS/CONTROL TOTAL
1	2222211111	25JUL02	8881	R	00000210000	XXXXX1111111111	D	I	N	N	Y	THIS IS REMARK 1
			000885		1 0000001000000	COMPANY XX						THIS IS REMARK 2
												00000001000000

(22)ERROR MESSAGES : -5005 STOCK CODE NOT FOUND

1	2222211111	25JUL02	8882	R	00000210000	XXXXX1111111111	D	I	N	Y	N	THIS IS REMARK 1
			000885		1 0000001000000	COMPANY XX						THIS IS REMARK 2
												00000001000000

(22)ERROR MESSAGES : -5005 STOCK CODE NOT FOUND

CONTROL TRAILER

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(33) RECORD TYPE	(24) NO OF DETAIL RECORD	(25) STOCK CODE SUM	(26) STOCK QTY SUM	(27) STOCK AMT SUM	(28) CONTROL TOTAL SUM
2	0002	0017763	00000000420000	0000000002000000	00000000000050000

ERROR MESSAGES : -5327 CONTROL TOTAL SUM NOT CORRECT

INVALID RECORD : 0123456789012345678901234567890012345678901234567890012345678  
 012345678901234567890123456789012345678901234567890123456789012345  
 INVALID RECORD : 0123456789012345678901234567890123456789012345678  
 012345678901234567890123456789012345678901234567890123456789012345

-----SUMMARY OF CONTROL TOTALS-----

\*\*\* THE WHOLE INTERFACE FILE IS REJECTED \*\*\*

\*\*\* END OF REPORT \*\*\*