

SECTION 4.3

REPORT SAMPLE 4.3.10: Next Settlement Day Due/Overdue Position Report

(1) CSEOP01 (2) B03456		HKSCC - CCASS					(4) RUN DATE : 25JUL02				
(3) CCASS COMPANY		NEXT SETTLEMENT DAY DUE/OVERDUE POS REPORT					(5) RUN TIME : 22:03:00				
(32) (MARKET - HONGKONG MK)										PAGE : 1	
(6) DATE : 25JUL02										(16)	
(7) STK/POS (8)		(10)	(11)			(22)	(13)	(14)	(15)	INT REF /	
(33) ISIN/	STM (9)	SETTLEMENT	DI	(12)	STM	DELIVERING	RECEIVING	AMT RCVBL/PAYBL/	ACC R INTEREST/SCCY	SI LINK REF	(17)
(31) CCY TYP	DATE	POS NO	RQ	COUNTERPARTY	ACCOUNT	QUANTITY	QUANTITY	ACC R INTEREST/SCCY		SI LINK REF	REMARK
-----										----- (30) -----	
12	HK BANK			HK0000000012	(HKD)						
CNS	26JUL02	P21000121	N	H00001	HKSCC	1		1,500.00-HKD			
IT	26JUL02	P20000082	Y	B89213	THOMPSON & CO	1	500-	500.00 HKD		0000123400000004	

(18) TTL A/C BAL :				0	STOCK	12 TOTAL :	500-	1,000	1,000.00-HKD		
(19) CLR A/C BAL :				0	(1)						
(20) SEG A/C BAL :				0	(2)		0 (9)	0 (11)	0 (20)		
(21) OTH A/C BAL :				0	(TOTAL)						
77	US STOCK				(USD)						
SI	26JUL02	P31000001	C N	C21355	WORLDWIDE N LTD	9	1,000-	24,000.00 HKD		C/N120001	

					STOCK	77 TOTAL :	1,000-	0	24,000.00-HKD		
CNS	25JUL02	P11000321	N	H00001	HKSCC	1	2,000-	7,000.00 USD			
	26JUL02	P21000234	N	H00001	HKSCC	1		5,000	16,000.00-USD		

TTL A/C BAL :				1,000	STOCK	77 TOTAL :	2,000-	5,000	9,000.00-USD		
CLR A/C BAL :				0	(1)						
SEG A/C BAL :				0	(2)		0 (9)	0 (11)	0 (20)		
OTH A/C BAL :				1,000	(TOTAL)						
25	HUTCHISON				(HKD)						
R	ISI	26JUL02	P21000236	N	000901	MARIA CHEUNG	1	6,000-	13,800.00 HKD		
#	ISI	26JUL02	P21000249	N	000901	MARIA CHEUNG	1	1,000-	0.00 HKD		

TTL A/C BAL :				7,500	STOCK	25 TOTAL :	7,000-	0	13,800.00 HKD		
CLR A/C BAL :				500	(1)						
SEG A/C BAL :				7,000	(2)		0 (9)	0 (11)	0 (20)		
OTH A/C BAL :				0	(TOTAL)						
185	CHINA LIGHT				(HKD)						
RH	SI	26JUL02	P23000014	C N	C21355	WORLDWIDE N LTD	9	2,000-	1,400.00 HKD	C/N12345	
			P23000018	P Y	P36554	OVERSEAS N LTD	11	5,000-	0.00 HKD	X887	
-----										SI LINK REF X	
TTL A/C BAL :				9,000	STOCK	185 TOTAL :	7,000-	0	1,400.00 HKD		
CLR A/C BAL :				3,000	(1)						
SEG A/C BAL :				4,000	(2)		0 (9)	0 (11)	0 (20)		
OTH A/C BAL :				2,000	(TOTAL)						

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CSEOP01 B03456
CCASS COMPANY

HKSCC - CCASS
NEXT SETTLEMENT DAY DUE/OVERDUE POS REPORT
(MARKET - HONGKONG MK)

RUN DATE : 25JUL02
RUN TIME : 22:30:00
PAGE : 2

DATE : 25JUL02

STK/POS

ISIN/ CCY TYP	STM DATE	SETTLEMENT POS NO	DI RQ	COUNTERPARTY	STM ACCOUNT	DELIVERING QUANTITY	RECEIVING QUANTITY	AMT RCVBL/PAYBL/ ACCR INTEREST/SCCY	INT REF / STM OBL / SI LINK REF	REMARKS
1688 HK INV FUND 97				(USD)						
* IT	26JUL02	P21000250	N	B89213 THOMPSON & CO	1	0	3,000	299,100.00-HKD 1,341.66-HKD	000050100000018 300,441.66-	
TTL A/C BAL :				2,000 STOCK 1688 TOTAL :		0	3,000	299,100.00-HKD		
CLR A/C BAL :				2,000 (1)				1,341.66-HKD	300,441.66-	
SEG A/C BAL :				4,000 (2)	0 (9)		0 (11)	0 (20)		
OTH A/C BAL :				0 (TOTAL)						

NOTE : * ADJUSTMENT DUE TO TYPHOON/BLACK RAINSTORM

1904 HANG SENG (TEMP)				(HKD)						
IT	23JUL02	P40000661	N	B87215 EVER GAIN & CO	1	10,000-		10,000.00 HKD	000050100000029	
TTL A/C BAL :				10,000 STOCK 1904 TOTAL :		10,000-	0	10,000.00 HKD		
CLR A/C BAL :				0 (1)						
SEG A/C BAL :				4,000 (2)	0 (9)		0 (11)	0 (20)		
OTH A/C BAL :				10,000 (TOTAL)						

NOTE : R - REALTIME DVP # - ISI (WITHOUT AFFIRMATION) H - MATCHED ON-HOLD

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(1)CSEOP01 (2)B03456
 (3)CCASS COMPANY

HKSCC - CCASS
 NEXT SETTLEMENT DAY DUE/OVERDUE POS REPORT
 (32) (MARKET - HONGKONG MK)

(4)RUN DATE : 25JUL02
 (5)RUN TIME : 22:30:00
 PAGE : 3

(6)DATE : 25JUL02

SETTLEMENT POSITION CONVERSION AUDIT TRAIL
 =====

(23)ORG STOCK CODE :	11 HANG SENG	(33)	(31)	(24)	(31)				
	ISIN: HK0000000011 (HKD)	NEW STOCK CODE :	1904 HANG SENG (TEMP)	ISIN: HK0000001904 (HKD)					
(9)	(25)	(26)	(11)	(27)	(28)	(15)	(29)		
(8)	POS STM	CANCELLED	NEW	DI	(12)	ORIGINAL	CONVERTED	AMOUNT	INT REF
	TYP DATE	POS NO	POS NO	REQ	COUNTERPARTY	O/S QUANTITY	O/S QUANTITY	RECEIVABLE/PAYABLE/SCCY	SI LINK REF
	-----	-----	-----	-----	-----	-----	-----	-----	-----
	IT 23JUL02	P40000430	P40000661	N B87215	EVER GAIN & CO	2,000-	10,000-	10,000.00 HKD	0000050100000029

NOTE : R - REALTIME DVP # - ISI (WITHOUT AFFIRMATION) H - MATCHED ON-HOLD

*** END OF REPORT ***