

SECTION 4.3

REPORT SAMPLE 4.3.2: Next Settlement Day Due/Overdue Position Report

(1)CSEOP01 (2)B03456	HKSCC - CCASS										(4)RUN DATE : 08DEC14	
(3)CCASS COMPANY	NEXT SETTLEMENT DAY DUE/OVERDUE POS REPORT										(5)RUN TIME : 22:30:00	
	(32) (MARKET - SHANGHAI MARKET)										PAGE : 1	
(6)DATE : 08DEC14											(16)INT REF /	
(7)STK/POS(8)	(10)	(11)	(22)	(13)	(14)	(15)					STM OBL /	
(33)ISIN/	STM(9)SETTLEMENT	DI	(12)	STM	DELIVERING	RECEIVING	AMT RCVBL/PAYBL/				(17)	
(31)CCY TYP	DATE	POS NO	RQ	COUNTERPARTY	ACCOUNT	QUANTITY	QUANTITY	ACCR INTEREST/SCCY			SI LINK REF	REMARK
----- (30)-----												
90185	LOYAL LIGHT		ISIN11111111	(CNY)								
	CNS 08DEC14	P21000121	N	H00001	HKSCC	1	1,000	1,500.00-CNY				
(18)TTL A/C BAL :			0	STOCK 90185	TOTAL :		0	1,000	1,500.00-CNY			
(19)CLR A/C BAL :			0	(1)								
(20)SEG A/C BAL :			0	(2)		0 (9)	0 (11)	0 (20)				
(21)OTH A/C BAL :			0	(TOTAL)								
90186	CL LTD		ISIN11111112	(CNY)								
	CNS 08DEC14	P21000121	N	H00001	HKSCC	1	3,000-	6,000.00 CNY				
(18)TTL A/C BAL :			9,500	STOCK 90186	TOTAL :		3,000-	0	6,000.00 CNY			
(19)CLR A/C BAL :			7,000	(1)								
(20)SEG A/C BAL :			2,500	(2)		0 (9)	0 (11)	0 (20)				
(21)OTH A/C BAL :			0	(TOTAL)								
92820	C&P LTD		ISIN11111113	(CNY)								
	H SI 09DEC14	P23000014	C N	C21355	MILLION N LTD	9	2,000-	0.00 CNY			C/N12345	
		P23000018	P Y	B36554	OVERSEAS N LTD	11	5,000-	0.00 CNY			X887	
											SI LINK REF X	
TTL A/C BAL :			9,000	STOCK 92820	TOTAL :		7,000-	0	0.00 CNY			
CLR A/C BAL :			3,000	(1)								
SEG A/C BAL :			4,000	(2)		0 (9)	0 (11)	0 (20)				
OTH A/C BAL :			2,000	(TOTAL)								

*** END OF REPORT ***