

SECTION 4.3
 REPORT SAMPLE 4.3.11: GEM SETTLEMENT INFORMATION REPORT

(1) CSEOP09	(2) B03456	HKSCC - CCASS				(4) RUN DATE : 23JUL02	
(3) CCASS COMPANY		GEM SETTLEMENT INFORMATION REPORT				(5) RUN TIME : 21:54:18	
		(MARKET - HONGKONG MK)				PAGE : 1	
(6) DATE : 23JUL02							
(7) PENDING SETTLEMENT POSITIONS							
(8) POS TYP	(9) TRD REF NO/ STM POST NO	(10) DELIVERY QUANTITY	(11) RECEIVING QUANTITY	(12) RECEIVING AMT/ ACCRUED INTEREST	(13) PAYABLE AMT/ ACCRUED INTEREST	(14) INTERNAL REF / STM OBLIGATION	(15) REMARKS (16) NET BALANCE AMT

(17) SETTLEMENT DATE :	25JUL02						
12 HK BANK	HK0000000012 (HKD)						
SI P23000018			6,000		6,000.00-	005680075 6,000.00-	XYZ CO
STK 12 SUB TTL :		0	6,000	0.00	6,000.00-	CNY	6,000.00-
CNS 0000210001212346		1,000-		1,500.00		HKD	1,500.00
IT 0000200000820012			2,000		8,000.00-	HKD	8,100.00-
ISI P23000014		5,000-		5,000.00		0100000020 5,000.00	ABC CHAN
#						HKD	
STK 12 SUB TTL :		6,000-	2,000	6,500.00	8,000.00-	HKD	1,600.00-
77 US STOCK		(USD)			100.00-		
SI P23000018			6,000		6,000.00-	005680075 6,000.00-	XYZ CO
STK 77 SUB TTL :		0	6,000	0.00	6,000.00-	USD	6,000.00-
870 PRCA STOCK		(HKD)					
SI P23000218			1,000		1,000.00-	005680175 1,000.00-	XYZA CO
STK 870 SUB TTL :		0	1,000	0.00	1,000.00-	USD	1,000.00-
SUB TOTAL FOR SETTLEMENT DATE 25JUL02 (CNY)					6,000.00-		6,000.00-
			(HKD)	6,500.00	8,000.00-		1,600.00-
					100.00-		
			(USD)		7,000.00-		7,000.00-
GRAND TOTAL FOR PENDING SETTLEMENT POSITION (CNY)					6,000.00-		6,000.00-
			(HKD)	6,500.00	8,000.00-		1,600.00-
					100.00-		
			(USD)		7,000.00-		7,000.00-

NOTE : # - ISI (WITHOUT AFFIRMATION)

