

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1)CSESE01 (2)B03456
 (3)CCASS COMPANY
 (6)DATE : 25JUL02

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02
 (5) RUN TIME : 21:05:00
 PAGE : 1

(7) SETTLED POSITIONS

(8)STK	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18) / (40)	(39)
(43)ISINPOS	STM	SETTLEMENT		COUNTERPARTY	MD	ACCOUNT	SETTLEMENT	MNY POSITION /	CHARGES NUMBER /	EPI	INT REF /
(41)CCY TYP	DATE	POSITION NO					QUANTITY	ACCRUED INT/SCCY	(HKD)	SI LK REF	STM OBL
166 HUTCHISON		BMG6844S1089	(HKD)					(44) NOM PRC / STK PAR :		0.65000	
SI	25JUL02	P23000014	C	C21755 WORLDWIDE LTD	DI		1	2,000-	4,000.00 CNY	2.00	E30020122 C/N12645
				STOCK	166	TOTAL :		2,000-	4,000.00 CNY	2.00	
CNS	25JUL02	P21000121	H00001	HKSCC	AL		1	1,000	1,500.00-HKD		
IT	25JUL02	P20000082	B89213	THOMPSON & CO	DI		1	500-	500.00 HKD		E30000048 0000010200000004
				STOCK	166	TOTAL :		500	1,000.00-HKD	0.00	
SI	25JUL02	P23004014	C	C23755 WORLD LTD	DI		1	10,000-	1,500.00 USD	2.00	E30020122 C/N12645
				STOCK	166	TOTAL :		10,000-	1,500.00 USD	2.00	
159 WAH NAM GROUP		BMG0159000832	(HKD)					(44) NOM PRC / STK PAR :		0.02400	
SI	25JUL02	P23000014	C	C21355 WORLDWIDE N LTD	DI		1	2,000-	1,400.00 HKD	2.00	E30000122 C/N12345
		P23000018	P	P36554 OVERSEAS N LTD	RD		1	5,000-	6,500.00 HKD	2.00	X887
											SI LINK REF 8
				STOCK	159	TOTAL :		7,000-	7,900.00 HKD	4.00	
1688 US INV FUND 97		BMG0196001017	(USD)	RATE = 7.780000%	(45)						
* IT	25JUL02	P21000250	B89213	THOMPSON & CO	PB		1	3,000	299,100.00-USD		E30000198 0000050100000018
									1,341.66-		300,441.66-
SI	25JUL02	P23000179	P	C71837 BILLION N LTD	PB		1	5,000-	499,000.00 USD	100.00	E30000178 G0923
				STOCK	1688	TOTAL :		2,000-	199,900.00 USD	100.00	
									1,341.66-		198,558.34

NOTE : * ADJUSTMENT DUE TO FLOATING RATE CHANGE

GRAND TOTAL : 108.00

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1) CSESE01 (2) B03456

(3) CCASS COMPANY

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02

(5) RUN TIME : 21:05:00

PAGE : 2

(6) DATE : 25JUL02

(7) CANCELLED/PURGED/REVOKED POSITIONS

=====

(8) STK	(9)	(10)	(11)	(14)	(21)	(22)	(23)	(19) / (40)	(24)	
(43) ISINPOS	STM	SETTLEMENT	DI (20)	(12)	STM	DELIVERING	RECEIVING	TRADE AMT /	INT REF /	
(41) CCY TYP	DATE	POS NO	RQ	COUNTERPARTY	ACCOUNT	QUANTITY	QUANTITY	ACCRUED INT / SCCY	SI LINK REF	REMARKS

12	HK BANK	HK0000000012	(HKD)							
H SI	25JUL02	P20010050	N C71937	BILLION LTD	2		1,000	750.00-CNY		REVOKED
			STOCK	25 TOTAL :			1,000	750.00-CNY		
RH SI	25JUL02	P20000134	N C21355	WORLDWIDE LTD	8		2,000	0.00 HKD		REVOKED
			STOCK	25 TOTAL :			2,000	0.00 HKD	SI LINKAGE C	
H SI	25JUL02	P20900050	N C71637	BILLION A LTD	2		1,000	950.00-USD		REVOKED
			STOCK	25 TOTAL :			1,000	950.00-USD		
25	HUTCHI	HK0258322200	(HKD)							
H SI	25JUL02	P20000050	N C71837	BILLION N LTD	2		1,000	950.00-HKD		REVOKED
			STOCK	25 TOTAL :			1,000	950.00-HKD		

NOTE : R - REALTIME DVP H - MATCHED ON-HOLD SI

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1) CSESE01 (2) B03456 HKSCC - CCASS (4) RUN DATE : 25JUL02
 (3) CCASS COMPANY SETTLEMENT REPORT (5) RUN TIME : 21:05:00
 (42) (MARKET - HONGKONG MK) PAGE : 3

(6) DATE : 25JUL02

(7) UNSETTLED POSITIONS

(8)	(9)	(10)	(11)	(20)	(12)	(14)	(21)	(22)	(23)	(19)	(39)	(40)	(24)
(43)	ISINPOS	STM	SETTLEMENT	DI	COUNTERPARTY	STM	DELIVERING	RECEIVING	TRADE AMT /	INT REF /	STM OBL /	SI LINK REF	REMARKS
(41)	CCY	TYP	DATE	POS NO	RQ	ACCOUNT	QUANTITY	QUANTITY	ACCRUED INT/SCCY				
77	US	STOCK			(USD) RATE = 7.800000%	(45)							
	CNS	24JUL02	P21000234	N	H00001	HKSCC	8	3,000	9,600.00-USD	P			
					STOCK	77	TOTAL :	3,000	9,600.00-USD				
156	LIPPO	CHINA RES			HK0156000819	(HKD)							
	IT	25JUL02	P21000252	N	B00760	DAVID'S TRADING	1	2,000	196,000.00-HKD	00000100000020			196,690.00-
					STOCK	156	TOTAL :	2,000	196,000.00-HKD	690.00-HKD			196,690.00-
1904	HANG	SENG(TEMP)			HK0000001904	(HKD)							
	RH	SI	25JUL02	P21040301	N	C21365	WORLDWIDE B LTD	2	5,000	5,500.00-CNY	005680075		SI LINK REF D
					STOCK	1904	TOTAL :	5,000	5,500.00-CNY				
	IT	22JUL02	P40000661	N	B87215	EVER GAIN & CO		10,000-	10,000.00	HKD	0000050100000029		
	RH	SI	25JUL02	P21000301	N	C21355	WORLDWIDE N LTD	2	5,000	5,500.00-HKD	005680075		SI LINK REF D
					STOCK	1904	TOTAL :	10,000-	5,000	4,500.00	HKD		
	RH	SI	25JUL02	P21040301	N	C21365	WORLDWIDE B LTD	2	2,000	1,500.00-USD	005680075		SI LINK REF D
					STOCK	1904	TOTAL :	2,000	1,500.00-USD				

NOTE : R - REALTIME DVP H - MATCHED ON-HOLD SI

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1) CSESE01 (2) B03456

(3) CCASS COMPANY

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02

(5) RUN TIME : 21:05:00

PAGE : 4

(6) DATE : 25JUL02

(7) CHANGE DI REQUIREMENT ACTIVITY

===== (9) = (10)				(28)	(29)	(33)			(30)	(23)		(31)	(32)	
(8)	(43)	(41)	POS	STM	(11)	DI	RQ	DLV	(12)	OUTSTANDING	TRADE AMT /	SCCY		(32)
STOCK/ISIN		CCY	TYP	DATE	STM	POS	NO	N/O	ACCOUNT	COUNTERPARTY	QUANTITY	ACCRUED INTEREST	USER	TIME
12 HK BANK HK0000000012		HKD	IT	25JUL02	P20000082		Y	N	1 B89213 THOMPSON & CO		500-	500.00 HKD	B1166801	11:30:15
185 CHINA LIGHT		HKD	HSI	R25JUL02	P23000014	P	Y	N	1 C21355 WORLDWIDE N LTD		2,000-	1,400.00 HKD	B1166801	11:15:30
					P23000018	P	N	Y	4 P36554 OVERSEAS N LTD		5,000-	0.00 HKD	B1166801	11:16:30

TOTAL NUMBER OF IT : 1

TOTAL NUMBER OF SI : 2

TOTAL NUMBER OF ISI : 0

NOTE : R - REALTIME DVP

H - MATCHED ON-HOLD SI

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1) CSESE01 (2) B03456
 (3) CCASS COMPANY
 (4) RUN DATE : 25JUL02
 (5) RUN TIME : 18:05:00
 (6) DATE : 25JUL02
 (42) (MARKET - HONGKONG MK)
 PAGE : 5

(7) ACCRUED INTEREST ADJUSTMENT

(8) STK/	(9)	(10)	(11)	(12)	(34)	(35)	(36)	(37)	(18)	(38)
(43) ISIN	POS	STM	SETTLEMENT	COUNTERPARTY	MONEY	IMAGE	ACCRUED INTEREST	SETTLEMENT/	EPI	ADJ
(41) CCY	TYPE	DATE	POSITION NO		OBLIGATION			EPI AMOUNT	NUMBER	CODE

1688	HK	INV FUND	97		(HKD) CURRENT RATE =	7.000000%	(45)			
	IT	25JUL02	P21000250	B89213 THOMSON & CO	299,100.00-	B	1,380.00-	300,480.00-	E00000198	1
						A	1,341.66-	300,441.66-	E00000198	

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1)CSESE01 (2)B03456

(3)CCASS COMPANY

(6)DATE : 25JUL02

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4)RUN DATE : 25JUL02

(5)RUN TIME : 21:05:00

PAGE : 6

(7)SUMMARY OF MONEY POSITION: SETTLEMENT CURRENCY - CNY

		(25)	(26)	(27)
		AMT RECEIVABLE / ACCRUED INTEREST	AMT PAYABLE / ACCRUED INTEREST	NET AMT RCVBL/PAYBL / NET ACCRUED INTEREST
		-----	-----	-----
SETTLED POSITIONS:	CNS	0.00	0.00	0.00
		0.00	0.00	0.00
	IT & SI	4,000.00	0.00	0.00
		0.00	0.00	0.00
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	0.00	0.00
		0.00	0.00	0.00
	SETTLED SUB-TOTAL	4,000.00	0.00	0.00
		0.00	0.00	0.00
	SETTLED TOTAL	4,000.00	0.00	0.00
CAN/PUR/RVK POSITIONS:	CNS	0.00	0.00	0.00
		0.00	0.00	0.00
	IT & SI	0.00	750.00-	750.00-
		0.00	0.00	0.00
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	0.00	0.00
		0.00	0.00	0.00
	CAN/PUR/RVK SUB-TOTAL	0.00	750.00-	750.00-
		0.00	0.00	0.00
	CAN/PUR/RVK TOTAL	0.00	750.00-	750.00-

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1) CSESE01 (2) B03456

(3) CCASS COMPANY

(6) DATE : 25JUL02

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4) RUN DATE : 25JUL02

(5) RUN TIME : 21:05:00

PAGE : 7

(7) SUMMARY OF MONEY POSITIONS: SETTLEMENT CURRENCY - CNY

=====		(25)	(26)	(27)
		AMT RECEIVABLE / ACCRUED INTEREST	AMT PAYABLE / ACCRUED INTEREST	NET AMT RCVBL/PAYBL / NET ACCRUED INTEREST
		-----	-----	-----
UNSETTLED POSITIONS:	CNS	0.00	0.00	0.00
	IT & SI	0.00	0.00	0.00
	ISI	0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
	RDP (OTHERS)	0.00	5,500.00-	5,500.00-
		0.00	0.00	0.00
	UNSETTLED SUB-TOTAL	0.00	5,500.00-	5,500.00-
		0.00	0.00	0.00
	UNSETTLED TOTAL	0.00	5,500.00-	5,500.00-

SECTION 4.3
REPORT SAMPLE 4.3.12: Settlement Report

(1)CSESE01 (2)B03456

(3)CCASS COMPANY

(6)DATE : 25JUL02

HKSCC - CCASS
SETTLEMENT REPORT
(42) (MARKET - HONGKONG MK)

(4)RUN DATE : 25JUL02

(5)RUN TIME : 21:05:00

PAGE : 8

(7)SUMMARY OF MONEY POSITION: SETTLEMENT CURRENCY - HKD

		(25)	(26)	(27)
		AMT RECEIVABLE / ACCRUED INTEREST	AMT PAYABLE / ACCRUED INTEREST	NET AMT RCVBL/PAYBL / NET ACCRUED INTEREST
		-----	-----	-----
SETTLED POSITIONS:	CNS	0.00	1,500.00-	1,500.00-
		0.00	0.00	0.00
	IT & SI	8,400.00	0.00	8,400.00
		0.00	0.00	0.00
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	0.00	0.00
		0.00	0.00	0.00
		-----	-----	-----
	SETTLED SUB-TOTAL	8,400.00	1,500.00-	6,900.00
		0.00	0.00	0.00
		-----	-----	-----
	SETTLED TOTAL	8,400.00	1,500.00-	6,900.00
CAN/PUR/RVK POSITIONS:	CNS	0.00	0.00	0.00
		0.00	0.00	0.00
	IT & SI	0.00	950.00-	950.00-
		0.00	0.00	0.00
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	0.00	0.00
		0.00	0.00	0.00
		-----	-----	-----
	CAN/PUR/RVK SUB-TOTAL	0.00	950.00-	950.00-
		0.00	0.00	0.00
		-----	-----	-----
	CAN/PUR/RVK TOTAL	0.00	950.00-	950.00-

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1)CSESE01 (2)B03456
 (3)CCASS COMPANY

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4)RUN DATE : 25JUL02
 (5)RUN TIME : 21:05:00
 PAGE : 9

(6) DATE : 25JUL02

(7) SUMMARY OF MONEY POSITIONS: SETTLEMENT CURRENCY - HKD

=====		(25)	(26)	(27)
		AMT RECEIVABLE / ACCRUED INTEREST	AMT PAYABLE / ACCRUED INTEREST	NET AMT RCVBL/PAYBL / NET ACCRUED INTEREST
		-----	-----	-----
UNSETTLED POSITIONS:	CNS	0.00	0.00	0.00
		0.00	0.00	0.00
	IT & SI	10,000.00	196,000.00-	186,000.00-
		0.00	690.00-	690.00-
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	5,500.00-	5,500.00-
		0.00	0.00	0.00
		-----	-----	-----
	UNSETTLED SUB-TOTAL	10,000.00	201,500.00-	191,500.00-
		0.00	690.00-	690.00-
		-----	-----	-----
	UNSETTLED TOTAL	10,000.00	202,190.00-	192,190.00-

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1)CSESE01 (2)B03456

(3)CCASS COMPANY

(6)DATE : 25JUL02

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4)RUN DATE : 25JUL02

(5)RUN TIME : 21:05:00

PAGE : 10

(7)SUMMARY OF MONEY POSITION: SETTLEMENT CURRENCY - USD

		(25)	(26)	(27)
		AMT RECEIVABLE / ACCRUED INTEREST	AMT PAYABLE / ACCRUED INTEREST	NET AMT RCVBL/PAYBL / NET ACCRUED INTEREST
		-----	-----	-----
SETTLED POSITIONS:	CNS	0.00	0.00	0.00
		0.00	0.00	0.00
	IT & SI	500,500.00	299,100.00-	201,400.00
		0.00	1,341.66-	1,341.66-
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	0.00	0.00
		0.00	0.00	0.00
	SETTLED SUB-TOTAL	500,500.00	299,100.00-	201,400.00
		0.00	1,341.66-	1,341.66-
	SETTLED TOTAL	500,500.00	300,441.66-	198,558.34
CAN/PUR/RVK POSITIONS:	CNS	0.00	0.00	0.00
		0.00	0.00	0.00
	IT & SI	0.00	950.00-	950.00-
		0.00	0.00	0.00
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	0.00	0.00
		0.00	0.00	0.00
	CAN/PUR/RVK SUB-TOTAL	0.00	950.00-	950.00-
		0.00	0.00	0.00
	CAN/PUR/RVK TOTAL	0.00	950.00-	950.00-

SECTION 4.3
 REPORT SAMPLE 4.3.12: Settlement Report

(1)CSESE01 (2)B03456

(3)CCASS COMPANY

(6)DATE : 25JUL02

HKSCC - CCASS
 SETTLEMENT REPORT
 (42) (MARKET - HONGKONG MK)

(4)RUN DATE : 25JUL02

(5)RUN TIME : 21:05:00

PAGE : 11

(7)SUMMARY OF MONEY POSITIONS: SETTLEMENT CURRENCY - USD

=====		(25)	(26)	(27)
		AMT RECEIVABLE / ACCRUED INTEREST	AMT PAYABLE / ACCRUED INTEREST	NET AMT RCVBL/PAYBL / NET ACCRUED INTEREST
		-----	-----	-----
UNSETTLED POSITIONS:	CNS	0.00	9,600.00-	9,600.00-
		0.00	0.00	0.00
	IT & SI	0.00	0.00	0.00
		0.00	0.00	0.00
	ISI	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (ISI)	0.00	0.00	0.00
		0.00	0.00	0.00
	RDP (OTHERS)	0.00	1,500.00-	1,500.00-
		0.00	0.00	0.00
	UNSETTLED SUB-TOTAL	0.00	11,100.00-	11,100.00-
		0.00	0.00	0.00
	UNSETTLED TOTAL	0.00	11,100.00-	11,100.00-

ATTENTION : DDI/DCI/EPI FOR SECURITIES SETTLEMENT DATE DDMMYY CANNOT BE EFFECTED
 ATTENTION : ISI EPI FOR SECURITIES SETTLEMENT DATE DDMMYY CANNOT BE EFFECTED
 ATTENTION : FCY DDI/DCI FOR SECURITIES SETTLEMENT DATE DDMMYY CANNOT BE EFFECTED
 ATTENTION : FCY EPI FOR SECURITIES SETTLEMENT DATE DDMMYY CANNOT BE EFFECTED
 ATTENTION : FCY ISI EPI FOR SECURITIES SETTLEMENT DATE DDMMYY CANNOT BE EFFECTED

** END OF REPORT ***