

SECTION 4.3
 REPORT SAMPLE 4.3.13: INTER-DAY ISI / SI FULL LIST

(1) CSESI02 (2) B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 INTRA-DAY ISI/SI FULL LIST(5)
 (21) (MARKET - HONG KONG MK)

(4) RUN DATE : 25JUL02
 RUN TIME : 15:05:00
 PAGE : 1

(6) DATE : 25JUL02

(7) PENDING ISI

=====

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) INS TYP/PMT INS | (11) INPUT NO/ | (12) STM A/C | (13) STM QUANTITY | (14)/(18) MNY OBLIGATION/ CCY | (15) DVP ON-HLD/ PURP/ DI/ INT REF | (16) CLIENT A/C NO/ CLIENT NAME | (16a) HLD STM |
|--------------------|---|-------------------------|-------------------|-----------------|----------------------|-------------------------------------|---|---------------------------------------|---------------------|
| 25JUL02 | 000257 S K CHEUNG 12 HK BANK HK0000000012 | D D | 008546100 | 1 | 1,000- | 1,500.00 HKD | Y I Y C/N12345 | 162 S K CHEUNG | Y |
| 25JUL02 # | 000266 K C YEUNG 185 CHINA LIGHT | R F | 008546122 | 1 | 5,000 | 0.00 HKD | N I N X45546 | 256 K C YEUNG | N |
| 25JUL02 | 001565 C B ONG 185 CHINA LIGHT | R R | 008546879 | 1 | 11,000 | 11,000.00- HKD | N I N C/N56469 | | N |

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(4) RUN DATE : 25JUL02
 RUN TIME : 15:05:00
 PAGE : 2

(6) DATE : 25JUL02

(7) UNAFFIRMED ISI

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) INS TYP/PMT INS | (11) INPUT NO/ | (12) STM A/C | (13) STM QUANTITY | (14)/(18) MNY OBLIGATION/ CCY | (15) DVP ON-HLD/ PURP/ DI/ INT REF | (16) CLIENT A/C NO/ CLIENT NAME | (16a) HLD STM |
|--------------------|---|-------------------------|-------------------|-----------------|----------------------|-------------------------------------|---|---------------------------------------|---------------------|
| 25JUL02 | 000002 A B DOGULAS 12 HK BANK HK0000000012 | D D | 005545465 | 1 | 12,000- | 12,000.00 HKD | Y I Y A/B45785 | 112 A B DOGULAS | Y |
| 25JUL02 | 001233 C D MACDONALD 17 TECH BASE | D R | 005468745 | 1 | 3,000- | 3,000.00 USD | N I N X/G46546 | 023 C D MACDONALD | N |
| 25JUL02 | 051234 I T LTD 185 CHINA LIGHT | R R | 005468787 | 1 | 25,000 | 25,000.00- HKD | N I Y C/N00029 | 911 E F CHAN | N |

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(4) RUN DATE : 25JUL02
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(6) DATE : 25JUL02

(7) PENDING FOR SETTLEMENT ISI

| (8) | (9) | (10) | (11) | (12) | (13) | (14)/(18) | (15) | (16) | (16a) |
|---------|------------------------|-----------------|------------|---------|--------------|-----------------|-------------|----------------|-------|
| STM | COUNTERPARTY ID & NAME | INS TYP/PMT INS | INPUT NO/ | STM A/C | STM QUANTITY | MNY OBLIGATION/ | DVP ON-HLD/ | CLIENT A/C NO/ | HLD |
| DATE | STOCK CODE & NAME/ISIN | | STM POS NO | | | CCY | PURP/ DI/ | CLIENT NAME | STM |
| | | | | | | | INT REF | | |
| 22JUL02 | 003568 ANGEL MA | D D | 000655464 | 1 | 5,000- | 5,000.00 | N I Y | 987 | Y |
| # | 12 HK BANK | | P00056465 | | | HKD | C/C45640 | ANGEL MA | |
| | HK0000000012 | | | | | | | | |
| 22JUL02 | 004312 DAVID THOMPSON | R F | 008987642 | 1 | 10,000 | 0.00 | N I N | 456 | Y |
| | 185 CHINA LIGHT | | | | | HKD | G/A54654 | DAVID THOMPSON | |

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(4) RUN DATE : 25JUL02
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 PAGE : 4

(6) DATE : 25JUL02

(7) ON-HOLD BEFORE SETTLEMENT ISI

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) INS TYP/PMT INS | (11) INPUT NO/ STM POS NO | (12) STM A/C | (13) STM QUANTITY | (14)/(18) MNY OBLIGATION/ CCY | (15) DVP ON-HLD/ PURP/ DI/ INT REF | (16) CLIENT A/C NO/ CLIENT NAME |
|--------------------|---|-------------------------|---------------------------------|-----------------|----------------------|-------------------------------------|---|---------------------------------------|
| 22JUL02 # | 003568 ANGEL MA 12 HK BANK HK0000000012 | D D | 000655464 P00056465 | 1 | 5,000- | 5,000.00 HKD | N I Y C/C45640 | 987 ANGEL MA |
| 22JUL02 | 004312 DAVID THOMPSON 185 CHINA LIGHT | R F | 008987642 P00456768 | 1 | 10,000 | 0.00 HKD | N I N G/A54654 | 456 DAVID THOMPSON |

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(4) RUN DATE : 25JUL02
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(6) DATE : 25JUL02

(7) RDP SHARES ON-HOLD ISI

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) INS TYP/PMT INS DEAL CODE | (11) INPUT NO/ STM POS NO | (12) STM A/C | (13) STM QUANTITY | (14)/(18) MNY OBLIGATION/ CCY | (15) PURP/ INT REF | (16) DI/ CLIENT A/C NO/ CLIENT NAME |
|--------------------|---|--------------------------------------|---------------------------------|-----------------|----------------------|-------------------------------------|--------------------------|--|
| 25JUL02 | 005689 CLARITY LTD 19 SWIRE PACIFIC | D R B402062 | 006569919 P00050468 | 1 | 10,000- | 10,000.00 HKD | I N | 151 S S NG |
| 25JUL02 | 053261 D HONG 12 HK BANK | D R B402064 | 005665656 | 1 | 23,000- | 40,000.00 HKD | I N | 120 D HONG |
| 25JUL02 | 056888 D LAI 12 HK BANK HK0000000012 | D R B402065 | 000054577 | 1 | 10,000- | 17,000.00 HKD | I N E/R45622 | 004 D LAI |
| 25JUL02 | 084568 W LAI 185 CHINA LIGHT | R R B402066 | 004548577 P00098999 | 1 | 10,000 | 10,000.00- HKD | I N | 623 W LAI |

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(4) RUN DATE : 25JUL02
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 PAGE : 6

(6) DATE : 25JUL02

(7) PAYMENT VALIDATED ISI

| (8) | (9) | (10) | (11) | (12) | (13) | (14)/(18) | (15) | (16) |
|-------------|--|------------------------------|-------------------------|---------|--------------|------------------------|------------------|--------------------------------------|
| STM DATE | COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | INS TYP/PMT INS DEAL CODE | INPUT NO/ STM POS NO | STM A/C | STM QUANTITY | MNY OBLIGATION/ CCY | PURP/ INT REF | DI/ CLIENT A/C NO/ CLIENT NAME |
| 22JUL02 | 000879 B Y CHEN 75 PLAYMATES LTD | D R 0006899 | 005547111 P00006899 | 1 | 1,000- | 2,500.00 | I N | 1216 S K CHUNG |
| 25JUL02 | 002356 C Y NG 12 HK BANK HK0000000012 | D R | 000114667 P00045454 | 1 | 1,000- | 20,000.00 | I N | 655 C Y NG |

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 (21) (MARKET - HONG KONG MK)

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(6) DATE : 25JUL02

(7) DVP SHARES ON-HOLD ISI

| (8) | (9) | (10) | (11) | (12) | (13) | (14)/(18) | (15) | (16) | |
|-------------|--|-----------------|------------|-------------------------|---------|--------------|------------------------|-------------------------------------|-------------------------------|
| STM DATE | COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | INS TYP/ PMT | INS INS | INPUT NO/ STM POS NO | STM A/C | STM QUANTITY | MNY OBLIGATION/ CCY | DVP ON-HLD/ PURP/ DI/ INT REF | CLIENT A/C NO/ CLIENT NAME |
| 22JUL02 | 007567 Y NG 12 HK BANK HK0000000012 | R | R | 000545774 P00065465 | 1 | 2,100 | 46,000.00- HKD | N I N | 334 |
| 25JUL02 | 013255 CHE KIN NG 4 WHARF | D | R | 000122474 | 1 | 15,000- | 15,000.00 HKD | N I N C/N56009 | 3541152 PATRICK NG |
| 25JUL02 | 021898 WILLARD WILLIAM 185 CHINA LIGHT | R | D | 000689121 P00014666 | 1 | 10,000 | 10,000.00- HKD | Y I N | 4547552 E ONG |

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(6) DATE : 25JUL02

(7) SETTLED ISI

=====

| (8) | (9) | (10) | (11) | (12) | (13) | (14)/(18) | (15) | (16) |
|--------------|--|------------------------------|-------------------------|---------|--------------|------------------------|-------------------------------------|-------------------------------|
| STM DATE | COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | INS TYP/PMT INS DEAL CODE | INPUT NO/ STM POS NO | STM A/C | STM QUANTITY | MNY OBLIGATION/ CCY | DVP ON-HLD/ PURP/ DI/ INT REF | CLIENT A/C NO/ CLIENT NAME |
| 22JUL02 | 001567 B NG 12 HK BANK HK0000000012 | R R | 000545777 P00065465 | 1 | 2,000 | 40,500.00- HKD | I | 332 B NG |
| 25JUL02 # | 003255 B WONG 4 WHARF | D F | 000122477 P00002527 | 1 | 5,000- | 0.00 HKD | I C/N56009 | 9897965 B WONG |
| 25JUL02 | 021898 WILLARD WILLIAM 185 CHINA LIGHT | R D | 000689123 P00004666 | 1 | 10,000 | 10,000.00- HKD | I | 45475 M HONG |

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(6) DATE : 25JUL02

(7) UNWINDED ISI

| (8) | (9) | (10) | (11) | (12) | (13) | (14)/(18) | (15) | (16) |
|---------|--|--------------|------------------------|---------|--------------|-------------------|-------------------|-------------------|
| STM | COUNTERPARTY ID & NAME | INS TYP/ PMT | INS INPUT NO/ | STM A/C | STM QUANTITY | MNY OBLIGATION/ | DVP ON-HLD/ | CLIENT A/C NO/ |
| DATE | STOCK CODE & NAME/ISIN | | STM POS NO | | | CCY | PURP/ DI/ | CLIENT NAME |
| | | | | | | | INT REF | |
| 22JUL02 | 004122 PC TANG 12 HK BANK HK0000000012 | R R | 000543210 P00065465 | 1 | 2,000 | 41,500.00- HKD | N I N G1324581 | 332 B NG |
| 25JUL02 | 003255 B WONG 4 WHARF | D D | 000122455 P00002527 | 1 | 5,000- | 5,000.00 HKD | Y I N | 436571 H LUONG |

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(4) RUN DATE : 25JUL02
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 PAGE : 10

(6) DATE : 25JUL02

(7) PENDING SI

=====

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) (23) INS TYP/PMT INS PROCESSING REF | (11) INPUT NO/ | (12) STM A/C | (13) STM QUANTITY | (14) (19) MNY OBLIGATION/ SI LINK REF | (18) (15) CCY/ PURP/ DI/INT REF /HOLD(22) | (16) CLIENT A/C NO/ CLIENT NAME |
|------------------------|---|--|-------------------|-----------------|----------------------|---|---|---------------------------------------|
| 25JUL02 | B01257 SIMPSON & CO 1 CHEUNG KONG | R D | I08546100 | 2 | 1,000 | 50,000.00- SI LINKAGE REF | HKD C Y 56869 C/N15685 D WONG N | |
| THIS IS PROCESSING REF | | | | | | | | |
| 25JUL02 | B01555 THOMPSON & CO 12 HK BANK HK0000000012 | R F | I08689889 | 7 | 5,000 | 0.00 | HKD C N 5644121 C4757574 K DELL Y | |
| 25JUL02 | B01345 TRILLION LT 185 CHINA LIGHT | D R | I45545781 | 1 | 11,000- | 15,000.00 | HKD C N C/X45455 Y | |

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(4) RUN DATE : 25JUL02
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(6) DATE : 25JUL02

(7) UNMATCHED SI

=====

| (8) | (9) | (10) (23) | (11) | (12) | (13) | (14) (19) | (18) (15) | (16) |
|-------------|---|-----------------------------------|-----------|---------|--------------|--------------------------------|------------------------------------|-------------------------------|
| STM DATE | COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | INS TYP/PMT INS PROCESSING REF | INPUT NO/ | STM A/C | STM QUANTITY | MNY OBLIGATION/ SI LINK REF | CCY/ PURP/ DI/INT REF /HOLD(22) | CLIENT A/C NO/ CLIENT NAME |
| 25JUL02 | B00102 BILLION INC 25 HUTCHISON HK0160009848 | R D THIS IS PROCESSING REF | I03254465 | 2 | 2,000 | 4,000.00- SI LINKAGE REF | HKD C Y A/B45557 N | 5951 A ALBERTA |
| 25JUL02 | B01233 RENAISSANCE INC 112 DUPOINT HK0160009111 | D R | I05789811 | 9 | 11,000- Y | 11,000.00 | HKD C N G/G44545 N | 02365 C MONTREAL |
| 25JUL02 | B51234 I T LTD 185 CHINA LIGHT | R R | I00105569 | 1 | 5,000 | 50,000.00- N/N04559 | HKD C Y N/N04559 Y | 91001 E NELSON |

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(6) DATE : 25JUL02

(7) MATCHED SI

=====

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) (23) INS TYP/PMT INS PROCESSING REF | (11) INPUT NO/ STM POS NO | (12) STM A/C | (13) (19) STM QUANTITY SI LINK REF | (14) (20) MNY OBLIGATION/ ACTUAL STM AMOUNT | (18) (15) CCY/ PURP/ DI/INT REF /HOLD(22) | (16) CLIENT A/C NO/ CLIENT NAME CPTY CLIENT A/C |
|--------------------|---|--|---------------------------------|-----------------|--|---|---|--|
| 22JUL02 | B00102 BILLION INC 12 HK BANK HK0000000012 | D D THIS IS PROCESSING REF | I00655464 P40001286 | 1 | 5,000- SI LINK REF 001 | 1,000,000.00 | HKD C Y N | 94541 A AU |
| 23JUL02 | B01233 RENAISSANCE INC 1 CHEUNG KONG | D F | I05789811 P40002722 | 1 | 10,000- | 0.00 | HKD C N N | 44545 L VOTTON |
| 25JUL02 | B01233 RENAISSANCE INC 112 DUPOINT | D R | I05789811 P40003567 | 2 | 25,000- | 25,000.00 | USD C N G/F56565 N | 45787 P HERMES |
| 25JUL02 | B01345 TRILLION LTD 185 CHINA LIGHT | R F | I00000764 P00000760 | 1 | 8,000 | 0.00 | HKD C N G/A00032 N | 45656 D GABBIAN |

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 (21) (MARKET - HONG KONG MK)

(4) RUN DATE : 25JUL02
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 PAGE : 13

(6) DATE : 25JUL02

(7) MATCHED ON-HOLD SI

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) (23) INS TYP/PMT INS PROCESSING REF | (11) INPUT NO/ STM POS NO | (12) STM A/C | (13) (19) STM QUANTITY SI LINK REF | (14) (20) MNY OBLIGATION/ ACTUAL STM AMOUNT | (15) (18) CCY/ PURP/ DI/INT REF /HOLD(22) | (16) CLIENT A/C NO/ CLIENT NAME CPTY CLIENT A/C |
|--------------------|---|--|---------------------------------|-----------------|--|---|---|--|
| 22JUL02 | B00102 BILLION INC 12 HK BANK HK0000000012 | D D THIS IS PROCESSING REF | I00655464 P40001286 | 1 | 5,000- SI LINK REF 001 | 1,000,000.00 | HKD C Y Y | 94541 A AU |
| 23JUL02 | B01233 RENAISSANCE INC 1 CHEUNG KONG | D F | I05789811 P40002722 | 1 | 10,000- | 0.00 | HKD C Y Y | 44545 L VOTTON |
| 25JUL02 | B01233 RENAISSANCE INC 112 DUPOINT | D R | I05789811 P40003567 | 2 | 25,000- | 25,000.00 | USD C Y G/F56565 Y | 45787 P HERMES |
| 25JUL02 | B01345 TRILLION LTD 185 CHINA LIGHT | R F | I00000764 P00000760 | 1 | 8,000 | 0.00 | HKD C Y G/A00032 Y | 45656 D GABBIAN |

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 (21) (MARKET - HONG KONG MK)

(4) RUN DATE : 25JUL02
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 PAGE : 16

(6) DATE : 25JUL02

(7) REVOKED (C/P) SI

| (8) | (9) | (10) (23) | (11) | (12) | (13) (19) | (14) (20) | (18) (15) | (16) |
|---------|------------------------|-----------------|------------------------|---------|----------------|-------------------|------------|-----------------|
| STM | COUNTERPARTY ID & NAME | INS TYP/PMT INS | INPUT NO/ | STM A/C | STM QUANTITY | MNY OBLIGATION/ | CCY/ PURP/ | CLIENT A/C NO/ |
| DATE | STOCK CODE & NAME/ISIN | PROCESSING REF | STM POS NO | | SI LINK REF | ACTUAL STM AMOUNT | DI/INT REF | CLIENT NAME |
| | | | | | | | /HOLD(22) | CPTY CLIENT A/C |
| 25JUL02 | C71873 BILLION N LTD | D D | I00060126 | 1 | 2,000- | 2,000.00 | HKD C Y | 987 |
| | 25 HUTCHISON | | P40000065 | | SI LINKAGE 333 | | | K WONG |
| | | | THIS IS PROCESSING REF | | | | N | |

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(7) RDP SHARES ON-HOLD SI

| (8) | (9) | (10) (23) | | (11) | (12) | (13) (19) | (14) (20) | (18) (15) | (16) |
|---------|--|------------------------|----------------|------------------------|---------|-------------------------|-------------------|------------|-------------------|
| STM | COUNTERPARTY ID & NAME | INS TYP/PMT | INS | INPUT NO/ | STM A/C | STM QUANTITY | MNY OBLIGATION/ | CCY/ PURP/ | CLIENT A/C NO/ |
| DATE | STOCK CODE & NAME/ISIN | DEAL CODE | PROCESSING REF | STM POS NO | | SI LINK REF | ACTUAL STM AMOUNT | DI/INT REF | CLIENT NAME |
| | | | | | | | | /HOLD(22) | CPTY CLIENT A/C |
| 25JUL02 | B01233 CLARITY INC 19 SWIRE PACIFIC | R | R | I02646546 P00000516 | 2 | 10,000 SI LINK REF 4 | 10,000.00- | HKD C Y | 454151 S LEUNG |
| | | THIS IS PROCESSING REF | | | | | | N | |
| 25JUL02 | B03222 CONCORD REGENCY 12 HK BANK HK0000000012 | D | R | I00346546 P00000667 | 1 | 3,000- | 3,000.00 | HKD C N | 124150 S POON |
| | | | | | | | | N | |
| 25JUL02 | C21355 WORLDWIDE N LTD 1 CHEUNG KONG | D | R | I00002565 P00000888 | 1 | 10,000- | 17,000.00 | HKD C Y | 152454 D MA |
| | | | | | | | | N | |
| 25JUL02 | C21355 WORLDWIDE N LTD 185 CHINA LIGHT | R | R | I12485755 P00014637 | 2 | 10,000 | 10,000.00- | HKD C N | 564685 N WONG |
| | | | | | | | | E/C4685 | |

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 (21) (MARKET - HONG KONG MK)

(4) RUN DATE : 25JUL02
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(6) DATE : 25JUL02

(7) PAYMENT VALIDATED SI

| (8) | (9) | (10) (23) | (11) | (12) | (13) (19) | (14) (20) | (18) (15) | (16) | |
|---------|---|--|------------------------|-------------|-------------------------|-------------------|-----------------|------------|-----------------|
| STM | COUNTERPARTY ID & NAME | INS TYP/PMT INS | DEAL CODE | INPUT NO/ | STM A/C | STM QUANTITY | MNY OBLIGATION/ | CCY/ PURP/ | CLIENT A/C NO/ |
| DATE | STOCK CODE & NAME/ISIN | PROCESSING REF | STM POS NO | SI LINK REF | SI LINK REF | ACTUAL STM AMOUNT | DI/INT REF | /HOLD(22) | CLIENT NAME |
| | | | | | | | | | CPTY CLIENT A/C |
| 21JUL02 | B02120 CONCORD PACIFIC 1 CHEUNG KONG | D R 4006890 | I00550001 P40006890 | 1 | 1,000- SI LINK REF 5 | 50,000.00 | HKD C N | 16266 | E KO |
| 25JUL02 | B02356 CONING INC 12 HK BANK HK0000000012 | D R 4045454 THIS IS PROCESSING REF | I00156567 P40045454 | 1 | 1,000- N | 200,000.00 | HKD C N | 65545 | Y NG |

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(6) DATE : 25JUL02

(7) SETTLED SI
 =====

| (8) STM DATE | (9) COUNTERPARTY ID & NAME STOCK CODE & NAME/ISIN | (10) (23) INS TYP/PMT INS DEAL CODE PROCESSING REF | (11) INPUT NO/ STM POS NO | (12) STM A/C | (13) (19) STM QUANTITY SI LINK REF | (14) (20) MNY OBLIGATION/ ACTUAL STM AMOUNT | (18) (15) CCY/ PURP/ INT REF | (16) CLIENT A/C NO/ CLIENT NAME CPTY CLIENT A/C |
|--------------------|---|---|---------------------------------|-----------------|--|---|------------------------------------|--|
| 21JUL02 | B02120 CONCORD PACIFIC 1 CHEUNG KONG | D R 4005090 | I00002401 P40005090 | 1 | 1,000- SI LINK REF Q | 50,000.00 | HKD C KD12454 | D1626625 |
| 21JUL02 | B02120 CONCORD PACIFIC 25 HUTCHISON | D R 4001290 | I00241501 P40001290 | 1 | 1,000- | 20,000.00 | HKD C BJ45454 | 16266557 K CHENG |
| 25JUL02 | B02188 WILLARD I INC 185 CHINA LIGHT | R D | I00001623 P40000566 | 1 | 10,000 | 10,000.00- | HKD C | 45475454 P MORRIS |
| 25JUL02 | C21355 WORLDWIDE N LTD. 12 HK BANK | R D | I00002656 P40000012 | 1 | 10,000 | 2,000,000.00- | HKD C | 45475455 |

THIS IS PROCESSING REF

SECTION 4.3
 REPORT SAMPLE 4.3.13: INTER-DAY ISI / SI FULL LIST

(1) CSESI02 (2) B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 INTRA-DAY ISI/SI FULL LIST(5)
 (21) (MARKET - HONG KONG MK)

(4) RUN DATE : 25JUL02
 RUN TIME : 15:05:00
 PAGE : 20

(6) DATE : 25JUL02

(7) SUMMARY OF POSITION TOTAL
 =====

SETTLEMENT CURRENCY : HKD

| | (17) LONG DVP POSITION TOTAL | (17) SHORT DVP POSITION TOTAL | (17) LONG RDP POSITION TOTAL | (17) SHORT RDP POSITION TOTAL |
|----------------------------|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|
| | ----- | ----- | ----- | ----- |
| PENDING ISI | 0.00 | 1,500.00 | 11,000.00- | 0.00 |
| UNAFFIRMED ISI | 0.00 | 12,000.00 | 25,000.00- | 0.00 |
| PENDING FOR SETTLEMENT ISI | 0.00 | 5,000.00 | 0.00 | 0.00 |
| RDP SHARES ON-HOLD ISI | 0.00 | 0.00 | 10,000.00- | 67,000.00 |
| PAYMENT VALIDATED ISI | 0.00 | 0.00 | 0.00 | 22,500.00 |
| DVP SHARES ON-HOLD ISI | 10,000.00- | 0.00 | 46,000.00- | 15,000.00 |
| SETTLED ISI | 10,000.00- | 0.00 | 40,500.00- | 5,000.00 |
| UNWINDED ISI | 0.00 | 5,000.00 | 41,500.00- | 0.00 |
| PENDING SI | 50,000.00- | 0.00 | 0.00 | 15,000.00 |
| UNMATCHED SI | 0.00 | 2,000.00 | 50,000.00- | 11,000.00 |
| MATCHED SI | 0.00 | 1,000,000.00 | 0.00 | 0.00 |
| MATCHED ON-HOLD SI | 0.00 | 1,000,000.00 | 0.00 | 0.00 |
| MATCHED ON-HOLD (C/P) SI | 0.00 | 2,000,000.00 | 0.00 | 0.00 |
| REVOKED SI | 0.00 | 0.00 | 5,000.00- | 0.00 |
| REVOKED (C/P) SI | 0.00 | 2,000.00 | 0.00 | 0.00 |
| RDP SHARES ON-HOLD SI | 0.00 | 0.00 | 20,000.00- | 20,000.00 |
| PAYMENT VALIDATED SI | 0.00 | 0.00 | 0.00 | 250,000.00 |
| SETTLED SI | 2,010,000.00- | 0.00 | 0.00 | 70,000.00 |

NOTE : # - ISI (WITHOUT AFFIRMATION)

SECTION 4.3
 REPORT SAMPLE 4.3.13: INTER-DAY ISI / SI FULL LIST

(1) CSESI02 (2) B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 INTRA-DAY ISI/SI FULL LIST (5)
 (21) (MARKET - HONG KONG MK)

(4) RUN DATE : 25JUL02
 RUN TIME : 15:05:00
 PAGE : 21

(6) DATE : 25JUL02

(7) SUMMARY OF POSITION TOTAL
 =====

SETTLEMENT CURRENCY : USD

| | (17) LONG DVP POSITION TOTAL | (17) SHORT DVP POSITION TOTAL | (17) LONG RDP POSITION TOTAL | (17) SHORT RDP POSITION TOTAL |
|----------------|------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|
| | ----- | ----- | ----- | ----- |
| UNAFFIRMED ISI | 0.00 | 0.00 | 0.00 | 3,000.00 |
| MATCHED SI | 0.00 | 0.00 | 0.00 | 25,000.00 |

NOTE : # - ISI (WITHOUT AFFIRMATION)

END OF REPORT