

SECTION 4.3
REPORT SAMPLE 4.3.14: SETTLED POSITION REPORT

(1) CSESP01 (2)B11668
(3) CCASS COMPANY

HKSCC - CCASS
SETTLED POSITION REPORT
(20) (MARKET - SHANGHAI MARKET SESSION - NORMAL)

(4) RUN DATE : 08DEC14
(5) RUN TIME : 19:57:00
PAGE : 1

(6) DATE : 08DEC14

(7) STK/	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
(22) ISIN	POS	STM	SETTLEMENT	COUNTERPARTY	STM	STM	SETTLEMENT	MNY OBLIGATION /	INT REF /	USER ID	
(19) CCY	TYP	DATE	POSITION NO		MTD	A/C	QUANTITY	ACCRUED INTEREST /SCCY	STM OBL	SI LINK REF	

90077	CN	WATCH	ISIN111111111 (CNY)								
CNS	08DEC14	P21000001	H00001 HKSCC		AL		1,000	1,500.00-CNY			
SI	08DEC14	P21000085	C21355 WORLDWIDE N LTD	DI			2,000	0.00 CNY	4800000055		

STOCK 90077 TOTAL : 3,000 1,500.00-CNY

90112	C&P	LTD	ISIN111111112 (CNY)								
SI	08DEC14	P23000014	C21355 WORLDWIDE N LTD	PB			2,000-	0.00 CNY	C/N12345	B1166801	
		P23000018	C36554 OVERSEAS N LTD	PB			5,000-	0.00 CNY	X887		

STOCK 92820 TOTAL : 7,000- 0.00 CNY

92820	FAR	WISH BANK	ISIN111111113 (CNY)								
CNS	08DEC14	P21000250	H00001 HKSCC		AL		3,000	6,000.00-CNY			
SI	08DEC14	P23000179	C71837 BILLION N LTD	PB			5,000-	0.00 CNY	G0923		

STOCK 90112 TOTAL : 2,000- 6,000.00-CNY

NOTE : * ADJUSTMENT DUE TO FLOATING RATE CHANGE

MARKET TOTAL : CNY 7,500.00-
0.00 7,500.00-

*** END OF REPORT ***