

SECTION 4.3

REPORT SAMPLE 4.3.14a – INTRA-DAY SETTLED POSITION REPORT

(1) CSESP04 (2)B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 INTRA-DAY SETTLED POSITION REPORT
 (20) (MARKET - HONG KONG MK (21) SESSION - NORMAL)

(4) RUN DATE : 23JUL09
 (5) RUN TIME : 12:30:20
 PAGE : 1

(6) DATE : 23JUL09

(7) STK/ (8) (9)	(10)	(11)	(12) (13)	(14)	(15)	(16)	(17) (18)
(19) CCY TYP DATE	SETTLEMENT POSITION NO	COUNTERPARTY	STM MTD A/C	SETTLEMENT QUANTITY	MNY OBLIGATION / ACCRUED INTEREST /SCCY	INT REF / STM OBL	USER ID SI LINK REF

12 HK BANK	HK0000000012 (HKD)						
SI 23JUL09	P21000085	C21355 WORLD N LTD RD	1	2,000-	5,500.00 CNY	4800000059	B0345601 SI LINKAGE REF

STOCK 12 TOTAL : 2,000- 5,500.00 CNY

CNS 23JUL09	P21000121	H00001 HKSCC	AL	1	1,000	1,500.00-HKD	
IT 23JUL09	P20000082	B89213 THOMPSON & CO	DI	1	500-	500.00 HKD	0000010200000004 B0345601
SI 23JUL09	P21000085	C21355 WORLDWIDE N LTD RD	RD	1	2,000	4,500.00-HKD	48000000055 SI LINKAGE REF

STOCK 12 TOTAL : 2,500 5,500.00-HKD

SI 23JUL09	P21000089	C21374 WORLD LTD RD		1	1,000-	500.00 USD	4800000009 B0345607 SI LINKAGE REF
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STOCK 12 TOTAL : 1,000- 500.00 USD

77 US STOCK		(USD)					
CNS 22JUL09	P11000321	H00001 HKSCC	CN	1	2,000-	7,000.00 USD	
23JUL09	P21000234	H00001 HKSCC	CN	1	2,000	6,400.00-USD	P
STOCK 77 TOTAL :				0		600.00 USD	

185 CHINA LIGHT		(HKD)					
SI 23JUL09	P23000014 P	C21355 WORLDWIDE N LTD	DI	1	2,000-	1,400.00 HKD	C/N12345 B0345601
	P23000018 P	B36554 OVERSEAS N LTD	PB	1	5,000-	0.00 HKD	X887
STOCK 185 TOTAL :					7,000-	1,400.00	

1688 HK INV FUND 97		(HKD) RATE = 7.000000%					
IT 23JUL09	P21000250	B89213 THOMPSON & CO	PB	1	3,000	299,100.00-HKD	0000050100000018
						1,341.66-HKD	300,441.66-
SI 23JUL09	P23000179 P	C71837 BILLION N LTD	PB	1	5,000-	499,000.00 HKD	G0923

STOCK 1688 TOTAL : 2,000- 199,900.00 HKD

1,341.66-HKD 198,558.34

87025 HAPPY		(CNY)					
ISI 23JUL09	P21000236	000901 MARIA CHEUNG	DI	2	6,000-	13,800.00 CNY	B0345612
STOCK 25 TOTAL :					6,000-	13,800.00 CNY	

MARKET TOTAL : CNY 19,300.00
 0.00 19,300.00
 HKD 195,800.00
 1,341.66- 194,458.34
 USD 1,100.00
 0.00 1,100.00

*** END OF REPORT ***