

SECTION 4.3
 REPORT SAMPLE 4.3.14a: INTRA-DAY SETTLED POSITION REPORT

(1) CSESP04 (2)B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 INTRA-DAY SETTLED POSITION REPORT
 (20) (MARKET - SHANGHAI MARKET SESSION - NORMAL)

(4) RUN DATE : 10DEC14
 (5) RUN TIME : 18:10:20
 PAGE : 1

(6) DATE : 10DEC14

(7) STK/	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
(22) ISIN	POS	STM	SETTLEMENT	COUNTERPARTY	STM	STM	SETTLEMENT	MNY OBLIGATION /	INT REF /	USER ID	
(19) CCY	TYP	DATE	POSITION NO		MTD	A/C	QUANTITY	ACCRUED INTEREST /SCCY	STM OBL	SI	LINK REF

92713	CHINA GREEN		ISIN11111111 (CNY)								
	CNS	10DEC14	P41090427	H00001 HKSCC	AL		1	440,000	1,408,000.00-CNY		

STOCK 92713 TOTAL : 440,000 1,408,000.00-CNY

92717	C&A LTD		ISIN11111112 (CNY)								
	CNS	10DEC14	P41090431	H00001 HKSCC	AL		1	1,000	910.00-CNY		

STOCK 92717 TOTAL : 1,000 910.00-CNY

92733	HAPPY LTD		ISIN11111113 (CNY)								
	CNS	10DEC14	P41090447	H00001 HKSCC	PB		1	45,000-	130,500.00 CNY		

STOCK 92733 TOTAL : 45,000- 130,500.00 CNY

92734	HAPPY LTD 1		ISIN11111114 (CNY)								
	SI	10DEC14	P41090448	H00001 HKSCC	RD		1	45,000-	130,500.00 CNY		

STOCK 92733 TOTAL : 45,000- 130,500.00 CNY

92735	HAPPY LTD 2		ISIN11111115 (HKD)								
	SI	10DEC14	P41090449	H00001 HKSCC	RD		1	45,000-	130,500.00 HKD		

STOCK 92733 TOTAL : 45,000- 130,500.00 HKD

92736	HAPPY LTD 3		ISIN11111116 (USD)								
	SI	10DEC14	P41090450	H00001 HKSCC	RD		1	45,000-	130,500.00 USD		

STOCK 92733 TOTAL : 45,000- 130,500.00 USD

MARKET TOTAL : CNY	1,147,910.00-	
	0.00	1,147,910.00-
HKD	130,500.00	
	0.00	130,500.00
USD	130,500.00	
	0.00	130,500.00

*** END OF REPORT ***