

SECTION 4.3

REPORT SAMPLE 4.3.17b : GFR608 STATEMENT OF GUARANTEE FUND CONTRIBUTIONS

(1) GFR608

HKSCC
STATEMENT OF GUARANTEE FUND CONTRIBUTIONS

(2) RUN DATE : 02AUG12
(3) RUN TIME : 08:00:01
PAGE : 1

(4) DATE : 02AUG12

(5) PARTICIPANT ID : B03456

(6) PARTICIPANT NAME : XYZ BROKERAGE LIMITED

	HK\$		HK\$
REQUIRED BASIC CONTRIBUTION			
MINIMUM CASH CONTRIBUTION REQUIREMENT	(8) 200,000.00		
ADDITIONAL CONTRIBUTION REQUIREMENT	(9) 1,191,200.00	(7)	1,391,200.00
LESS: BALANCE BROUGHT FORWARD			
CASH	(11) 1,255,200.00		
BANK GUARANTEE	(12) 0.00		
ACCRUED INTEREST (NOTE 1)	(13) 6.20	(10)	1,255,206.20
AMOUNT OF BASIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY		(14)	135,993.80

THE AMOUNT OF BASIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY:

	HK\$
(15) (X) PAYABLE IN CASH	135,993.80
(16) () RECEIVABLE IN CASH	0.00
(17) () TRANSFER FROM "SETTLEMENT BANK GUARANTEE"	0.00
(18) () TRANSFER TO "SETTLEMENT BANK GUARANTEE"	0.00

	HK\$		HK\$
REQUIRED DYNAMIC CONTRIBUTION			
CALCULATED DYNAMIC CONTRIBUTION	(20) 8,987,152.00		
LESS: DYNAMIC CONTRIBUTION CREDIT UTILIZED	(21) 1,000,000.00	(19)	7,987,152.00
LESS: BALANCE BROUGHT FORWARD			
CASH	(23) 3,075,096.00		
BANK GUARANTEE	(24) 5,000,000.00		
ACCRUED INTEREST (NOTE 1)	(25) 18.29	(22)	8,075,114.29
AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY		(26)	(87,962.29)

THE AMOUNT OF DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY CAN BE SETTLED BY:

	HK\$
(27) () PAYABLE IN CASH	0.00
(28) (X) RECEIVABLE IN CASH	(87,962.29)
(29) () TRANSFER FROM "SETTLEMENT BANK GUARANTEE"	0.00
(30) () TRANSFER TO "SETTLEMENT BANK GUARANTEE"	0.00

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REPORT SAMPLE 4.3.17b : GFR608 STATEMENT OF GUARANTEE FUND CONTRIBUTIONS

GFR608	HKSCC	RUN DATE : 02AUG12
	STATEMENT OF GUARANTEE FUND CONTRIBUTIONS	RUN TIME : 08:00:01
		PAGE : 2
DATE : 02AUG12		
PARTICIPANT ID : B03456		
PARTICIPANT NAME : XYZ BROKERAGE LIMITED		
THE NET SETTLEMENT AMOUNT OF BASIC CONTRIBUTION AND DYNAMIC CONTRIBUTION DUE FROM / (TO) YOUR COMPANY:		
		HK\$
(31) (X) DDI (NOTE 2)		48,031.51
(32) () DCI (NOTE 3)		0.00
(33) () TRANSFER FROM "SETTLEMENT BANK GUARANTEE" (NOTE 4)		0.00
(34) () TRANSFER TO "SETTLEMENT BANK GUARANTEE" (NOTE 4)		0.00
(35) DUE DATE : 06AUG12		
(36) REMARKS:		
(37) NOTES :		
1. ACCRUED INTEREST IS CALCULATED DAILY BASED ON THE EXCESS CASH CONTRIBUTION FOR THE LAST MONTH.		
2. THE AMOUNT WILL BE COLLECTED BY HKSCC VIA DIRECT DEBIT INSTRUCTION (DDI) ON DUE DATE.		
3. THE AMOUNT WILL BE REFUNDED BY HKSCC VIA DIRECT CREDIT INSTRUCTION (DCI) ON DUE DATE.		
4. THE AMOUNT WILL BE TRANSFERRED AUTOMATICALLY BY HKSCC ON DUE DATE.		
*** END OF REPORT ***		