

SECTION 4.3
REPORT SAMPLE 4.3.17c NCP MARGIN PROJECTION REPORT

(1)CRMNP04 (2)B01234
 (3)ABC SEC LTD

HKSCC - CCASS
 NCP MARGIN PROJECTION REPORT

(4)RUN DATE : 23AUG12
 (5)RUN TIME : 18:29:11
 PAGE : 1

(6)DATE : 02JAN13

(7)NCP FIRM ID	(8)STOCK/ (9)CCY	(10)STM DATE : 03JAN13 (11)QTY / (12)MKT PRICE (BASE CCY) / (13)MKT VALUE (BASE CCY)	(10)STM DATE : 04JAN13 (11)QTY / (12)MKT PRICE (BASE CCY) / (13)MKT VALUE (BASE CCY)	(14)TOTAL (CROSS-DAY NET) QTY / (15)MKT VALUE (BASE CCY)
00136	NCP 00136 4 (CNY)	30,000,000 120,000,000.00	4.00000 40,000 160,000.00	4.00000 30,040,000 120,160,000.00
		(16)TOTAL CROSS-DAY NET LONG CNS POSITION IN : CNY		120,160,000.00
		(17)TOTAL CROSS-DAY NET SHORT CNS POSITION IN : CNY		0.00
179	(HKD)	8,000,000- 40,000,000.00-	5.00000 0 0.00	8,000,000- 40,000,000.00
		(16)TOTAL CROSS-DAY NET LONG CNS POSITION IN : HKD		0.00
		(17)TOTAL CROSS-DAY NET SHORT CNS POSITION IN : HKD		40,000,000.00
8	(USD)	8,000,000- 32,000,000.00-	4.00000 8,000,000- 32,000,000.00-	4.00000 16,000,000- 64,000,000.00
		(16)TOTAL CROSS-DAY NET LONG CNS POSITION IN : USD		0.00
		(17)TOTAL CROSS-DAY NET SHORT CNS POSITION IN : USD		64,000,000.00
(18)MARGIN BEFORE MARKS OFFSET AND MARGIN CREDIT AT MARGIN RATE	5.00% & MARGIN			CNY 6,008,000.00
MULTIPLIER OF 1.0000				HKD 2,000,000.00
				USD 3,200,000.00
00137	NCP 00137 5 (CNY)	1,000 4,000.00	4.00000 2,000,000- 8,000,000.00-	4.00000 1,999,000- 7,996,000.00
		(16)TOTAL CROSS-DAY NET LONG CNS POSITION IN : CNY		0.00
		(17)TOTAL CROSS-DAY NET SHORT CNS POSITION IN : CNY		7,996,000.00
9	(HKD)	150,000- 300,000.00-	2.00000 150,000 300,000.00	2.00000 0 0.00
		(16)TOTAL CROSS-DAY NET LONG CNS POSITION IN : HKD		0.00
		(17)TOTAL CROSS-DAY NET SHORT CNS POSITION IN : HKD		0.00
121	(USD)	50,000- 255,000.00-	5.10000 1,000- 5,100.00-	5.10000 51,000- 260,100.00
		(16)TOTAL CROSS-DAY NET LONG CNS POSITION IN : USD		0.00
		(17)TOTAL CROSS-DAY NET SHORT CNS POSITION IN : USD		260,100.00

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				PAGE : 2
(6)DATE : 02JAN13				
		(10)STM DATE : 03JAN13	(10)STM DATE : 04JAN13	(14)TOTAL (CROSS-DAY NET)
(7)NCP	(8)STOCK/	(11)QTY / (12)MKT PRICE (BASE CCY) /	(11)QTY / (12)MKT PRICE (BASE CCY) /	QTY /
FIRM ID	(9)CCY	(13)MKT VALUE (BASE CCY)	(13)MKT VALUE (BASE CCY)	(15)MKT VALUE (BASE CCY)

(18)MARGIN BEFORE MARKS OFFSET AND MARGIN CREDIT AT MARGIN RATE	5.00% & MARGIN	CNY	399,800.00	
MULTIPLIER OF	1.0000	HKD	0.00	
		USD	13,005.00	
(19)EXCHANGE RATE INFORMATION :				
CNY 1 = HKD	1.2120000000	HKD 1 = HKD	1.0000000000	USD 1 = HKD 7.8390000000
*** END OF REPORT ***				