

**SECTION 4.3**  
**REPORT SAMPLE 4.3.21**

(1)CSEBA01 (2)B11668  
 (3)GOODWILL & COMPANY

HKSCC - CCASS  
 ATI BATCH INPUT CONTROL REPORT

(4) RUN DATE : 25JUL02  
 (5) RUN TIME : 20:30:00  
 PAGE : 1

(6) TRANSMISSION DATE : 25JUL02

(7) PROCESSING TIME : 19:10:01

(8) FILE REFERENCE : A12345678901235

-----EXCEPTIONS-----

DETAIL RECORD  
 =====

(9) RECORD TYPE	(14) STOCK CODE	(28) ISIN	(15) FROM A/C	(16) TO A/C	(17) TRANSFER QUANTITY	(18) REMARKS	(19) CONTROL TOTAL
1	00001	HK0000000001	1	21	00000000000	REMARKS - 1	00000000001001

ERROR MESSAGES : -5336 TRANSFER QUANTITY MUST BE GREATER THAN ZERO

-----SUMMARY OF CONTROL TOTALS-----

	(25) TOTAL NO OF RECORDS	(27) TOTAL TRANSFER QUANTITY
DETAIL RECORDS PROCESSED	5	6,300
DETAIL RECORDS ACCEPTED	4	6,300
DETAIL RECORDS REJECTED	1	0
(26) TOTAL NO. OF FILES UPLOADED :	1	

\*\*\* END OF REPORT \*\*\*

**SECTION 4.3**  
**REPORT SAMPLE 4.3.21**

The whole ATI file rejected

(1)CSEBA01 (2)B11668 HKSCC - CCASS (4) RUN DATE : 25JUL02  
 (3)GOODWILL & COMPANY ATI BATCH INPUT CONTROL REPORT (5) RUN TIME : 20:30:00  
 PAGE : 1

(6)TRANSMISSION DATE : 25JUL02 (7) PROCESSING TIME : 19:10:01 (8) FILE REFERENCE : A12345678901235

-----EXCEPTIONS-----

CONTROL HEADER

(9) RECORD TYPE	(10) PARTICIPANT ID	(8) FILE REFERENCE	(11) TRANSMISSION DATE	(12) FILE NAME
0	B11668	A12345678901235	20020725	ABC BATCH INPUT

(13)ERROR MESSAGES : -5002 FILE NAME INVALID

DETAIL RECORD

(9) RECORD TYPE	(14) STOCK CODE	(28) ISIN	(15) FROM A/C	(16) TO A/C	(17) TRANSFER QUANTITY	(18) REMARKS	(19) CONTROL TOTAL
1	00001	HK0000000001	1	21	00000001000	REMARKS - 1	0000000001001

ERROR MESSAGES : -5317 TO A/C NUMBER INVALID

1	00001	HK0000000001	2	1	00000000001	REMARKS - 2	0000000000001
---	-------	--------------	---	---	-------------	-------------	---------------

ERROR MESSAGES : -5320 CONTROL TOTAL NOT CORRECT

CONTROL TRAILER

(9) RECORD TYPE	(20) TOTAL NO. OF DETAIL RECORDS	(21) STOCK CODE SUM	(22) TRANSFER QUANTITY SUM	(23) CONTROL TOTAL SUM
2	0002	0000002	00000000001001	00000000000001002

ERROR MESSAGES : -5325 TRANSFER QUANTITY SUM NOT CORRECT  
 -5327 CONTROL TOTAL SUM NOT CORRECT

(24)INVALID RECORD : 5000000000000000000023456600902010000000000000000000  
 INVALID RECORD : 600003040506002000000001010000000000000000000000000000XYZ

-----SUMMARY OF CONTROL TOTALS-----

\*\*\* THE WHOLE INTERFACE FILE IS REJECTED \*\*\*

\*\*\* END OF REPORT \*\*\*