

SECTION 4.3
 REPORT SAMPLE 4.3.36: NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT

(1) CCNPT01 (2) B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT
 (45) (MARKET - SHANGHAI MARKET)

(4) RUN DATE : 08FEB16
 (5) RUN TIME : 21:20:18
 PAGE : 1

(6) DATE : 08FEB16

(7) TAKEOVER OFFER

(8) ACTION	(9) INSTR.NO.	(10) STATUS	(11) ORIGINAL STOCK (46) ISIN	(14) ANCM. NO.	(19) STK A/C	(20) TAKE-UP QTY	(17) ACTION BY USER TERL TIME
ADD	R00000341	P	90077 CN WATCH	A00000035	1	1,500	B0345601 RA68 18:17
AUT	R00000341	A	90077 CN WATCH	A00000035	1	1,500	B0345602 RA68 18:17

(18) TOTAL NO. OF RECORDS ADDED : 1
 TOTAL NO. OF RECORDS CHANGED : 0
 TOTAL NO. OF RECORDS DELETED : 0
 TOTAL NO. OF RECORDS AUTHORIZED : 1
 TOTAL NO. OF RECORDS CANCELLED : 0

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(4) RUN DATE : 08FEB16
 (5) RUN TIME : 21:20:18
 PAGE : 2

(6)DATE : 08FEB16

(7)RIGHTS / EXCESS RIGHTS SUBSCRIPTION

(8) ACTION TYPE	(9) INSTR.NO.	(10) STATUS	(11) ORIGINAL STOCK (46) ISIN	(14) ANCM. NO.	(19) STK A/C	(21) SUBSCRIPTION QUANTITY	(17) ---- ACTION BY ---- USER TERL TIME
ADD	R00000234	P	90156 CHINA RES	A00000041	1	2,000	B0345601 AO61 18:17
ADD	R00000248	P	92820 C&P LTD	A00000054	1	2,000	B0345601 RA69 18:44
AUT	R00000248	A	92820 C&P LTD	A00000054	1	2,000	B0345602 RA69 18:45

(18) TOTAL NO. OF RECORDS ADDED : 2
 TOTAL NO. OF RECORDS CHANGED : 0
 TOTAL NO. OF RECORDS DELETED : 0
 TOTAL NO. OF RECORDS AUTHORIZED : 1
 TOTAL NO. OF RECORDS CANCELLED : 0

REMARKS: * INDICATES SUBSCRIPTION INSTRUCTION WITH CHATS PAYMENT

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(1)CCNPT01 (2)B03456	HKSCC - CCASS				(4)RUN DATE : 08FEB16
(3)CCASS COMPANY	NOMINEE FUNCTIONS INPUT ACTIVITIES REPORT				(5)RUN TIME : 21:20:08
	(45) (MARKET-SHANGHAI MARKET)				PAGE : 3
(6) DATE : 08FEB16					
(7) CORPORATE VOTING					
=====					
(8)ACTION	(9) (19)	(47) "DEMAND POLL"	(14)ANCM NO.	(10) STATUS	(26) "NON VOTED"
TYPE	INSTR.NO./	ORIGINAL STOCK/(11)	(49)DESCRIPTION SUMMARY IN THE PROXY FORM	(27) INSTR SNSH HLDG	----- ACTION BY -----
	STOCK A/C	ISIN(46)	(23) "FOR"	(24) "AGAINST"	USER TERL TIME
		NO. IN PROXY FORM(48)	(32)NAME OF CORP REP / PROXY	(34)SHARE QTY OF CR/P	(38) PROXY "NON VOTED"
			(33)ADDRESS OF CORP REP / PROXY	CR/P STATUS	
			(35) PROXY "FOR"	(36) PROXY "AGAINST"	(37) PROXY "ABSTAIN/WITHHOLD"
AUT	R00002164	90112 FAR WISH BANK	A00001836	A	112,347
		ISIN111111111			B0345601 0138 13:28
		AA.BB.CC.1	RES.A		
		11,219	8,407	2,812	100,470
		BB.CC.DD.2	RES.B		
		2,036	6,200	1,200	104,567
		CC.DD.EE.3	RES.C		
			0	0	1,149
110,960					
		DD.EE.FF.4	RES.D		
		0	0	0	110,000
(18)					
TOTAL NO. OF RECORDS ADDED : 0					
TOTAL NO. OF RECORDS CHANGED : 0					
TOTAL NO. OF RECORDS DELETED : 0					
TOTAL NO. OF RECORDS AUTHORIZED : 1					
TOTAL NO. OF RECORDS CANCELLED : 0					
(39)					
TOTAL NO. OF CORPORATE REPRESENTATIVE/PROXY RECORDS ADDED : 0					
TOTAL NO. OF CORPORATE REPRESENTATIVE/PROXY RECORDS CHANGED : 0					
TOTAL NO. OF CORPORATE REPRESENTATIVE/PROXY RECORDS DELETED : 0					
TOTAL NO. OF CORPORATE REPRESENTATIVE/PROXY RECORDS AUTHORIZED : 0					
TOTAL NO. OF CORPORATE REPRESENTATIVE/PROXY RECORDS CANCELLED : 0					

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(4) RUN DATE : 08FEB16
 (5) RUN TIME : 21:20:18
 PAGE : 4

(6) DATE : 08FEB16

(7) INTRA-DAY PAYMENT STANDING INSTRUCTION

(8) ACTION TYPE	(50) CURRENCY	(51) NOMINEES PAYMENT	(52) RFD OVERPAID CASH PREPAYMENT	(54) CNS MONEY OBLIGATIONS	(53) PAYMENT AMOUNT THRESHOLD	(10) STATUS	(17) ---- ACTION BY ---- USER TERL TIME		
ADD	CNY	Y	N	Y	8,000,000.00	P	B0345601	RA69	10:21
CHG (OLD)	CNY	Y	N	Y	8,000,000.00	P	B0345601	RA69	10:28
CHG (NEW)	CNY	Y	Y	Y	8,000,000.00	P	B0345601	RA69	10:28
AUT	CNY	Y	Y	Y	8,000,000.00	A	B0345602	RA69	10:35

(18) TOTAL NO. OF RECORDS ADDED : 1
 TOTAL NO. OF RECORDS CHANGED : 1
 TOTAL NO. OF RECORDS DELETED : 0
 TOTAL NO. OF RECORDS AUTHORIZED : 1
 TOTAL NO. OF RECORDS CANCELLED : 0

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(4) RUN DATE : 08FEB16
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 PAGE : 5

(6) DATE : 08FEB16

(7) NOMINEE PAYMENT (EVENING) STANDING INSTRUCTION
 =====

(8) ACTION	(50)	(51)	(10)	(17)		
TYPE	CURRENCY	NOMINEES PAYMENT	STATUS	---- USER	ACTION BY TERL	---- TIME
ADD	CNY	Y	P	B0345601	RA69	11:21
AUT	CNY	Y	A	B0345602	RA69	11:35

(18) TOTAL NO. OF RECORDS ADDED : 1
 TOTAL NO. OF RECORDS CHANGED : 0
 TOTAL NO. OF RECORDS DELETED : 0
 TOTAL NO. OF RECORDS AUTHORIZED : 1
 TOTAL NO. OF RECORDS CANCELLED : 0

*** END OF REPORT ***