

SECTION 4.3
 REPORT SAMPLE 4.3.37: ENTITLEMENT STATEMENT

(1) CCNPT02 (2) B03456
 (3) CCASS COMPANY

HKSCC - CCASS
 ENTITLEMENT STATEMENT
 (130) (MARKET - SHANGHAI MARKET)

(4) RUN DATE : 08DEC14
 (5) RUN TIME : 21:50:24
 PAGE : 1

(6) DATE : 08DEC14

(7) CORPORATE ACTION REMINDER
 =====

(8) EFFECTIVE DATE	(9) / (131) STOCK/ISIN	(10) ANCM NO.	(11) ANNOUNCEMENT SUMMARY	(12) EVT NO.	(13) EVENT SUMMARY
-----	-----	-----	-----	---	-----
11DEC14	90185 LOYAL LIGHT	A00000033	SHARE CONVERSION : 1(90185) TO 1(99185)	01	SHARE CONVERSION : 1(90185) TO 1(99185)

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(7) CORPORATE ACTION FEE
 =====

(88)/(131) STOCK/ISIN	(89) COLLECTION DATE	(38) ANCM NO	(90) ANCM TYPE	(91) STOCK A/C	(92) ORIG. SHAREHOLDINGS	(93) NO. OF BOARD LOTS	(94) CORPORATE ACTION FEE
90022 GREAT ISLAND ISIN111111111	08DEC14	A00000181	RS	1	1,000,000	10,000	0.00
90022 GREAT ISLAND ISIN111111111	08DEC14	A00000181	RS	1	30,000	300	0.00
90112 FAR WISH BANK ISIN111111114	08DEC14	A00000131	RS	1	300	3	0.00
90117 TECH FOUNDATION ISIN111111115	08DEC14	A00000086	RS	1	4,999	50	0.00
90156 CHINA RES ISIN111111113	08DEC14	A00000089	TC	1	5,000	50	0.00
90182 CHINA ENERGY ISIN12341234	08DEC14	A00000019	TC	1	10,000	10	0.00
90185 LOYAL LIGHT ISIN111112244	08DEC14	A00000132	TC	1	1,234	13	0.00
TOTAL :						10,426	0.00

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	(130) (MARKET - SHANGHAI MARKET)		PAGE : 3
(6) DATE : 08DEC14				
(7) BENEFIT ENTITLEMENT				
=====				
(28/131)	(31) (32) (118)			
ORIGINAL STOCK/ISIN	REFERENCE NO			
(29) HLDG/RECV TYPE/ (30)	DSTR STK/CCY ORIG SHAREHOLDINGS (33)	DIVD COLL FEE (35)	ANNOUNCEMENT NO. (38)	BK CLOSE PERD/LAST REG DT (95/96)
(115) FROM WARRANT	SBL POS NO RECEIVABLE QTY/AMT (34)	BANK CHARGES (36)	NET PAYMENT (37)	EVENT NO/EXP PAY DATE (39/97)
				EVENT SUMMARY (13)

SHARE ENTITLEMENT (40)				
=====				
90112 FAR WISH BANK				
ISIN111111114	A00000188		A00000188	09DEC14 - 09DEC14 08DEC14
HOLD PRE	90112	359,727		01 09DEC14
		143,890		14 CAPITALIZATION ISSUE-0.4 SH PER SHARE
92820 C&P LTD				
ISIN01234567	A00000034		A00000034	08DEC14 - 08DEC14 07DEC14
HOLD FNL	99210	14,500	1,450	01 08DEC14
		1,450		RIGHTS ISSUE - 0.1 RIGHTS SH FOR 1 SHARE
CASH ENTITLEMENT (40)				
=====				
90112 FAR WISH BANK				
ISIN111111114	A00000097#		A00000097	09DEC14 - 09DEC14 08DEC14
HOLD PRE	CNY	359,727	0.00 (CNY)	01 12DEC14
		197,849.85 (CNY)	0.00 (CNY)	I/D FOR YEAR END 31DEC14 CNY0.55/SH
91228 NOM A 600000				
ISIN01234528	A00010731*		A00000131	02DEC14 - 02DEC14 01DEC14
HOLD FNL	CNY	211,100	96,426.25 (CNY)	01 08FEB14
		96,426.25 (CNY)	0.00 (CNY)	I/D FOR YEAR END 31DEC14 CNY 0.45678/SH
REMARK: *	MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA INTRA-DAY PAYMENT			
REMARK: #	MEANS THE RECEIVABLE RECORD / REFUND OF SUBSCRIPTION MONIES ARE BEING DISTRIBUTED VIA EVENING PAYMENT			

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(7) TAKEOVER OFFER / AUTO-EXERCISE OF STRUCTURED PRODUCTS
 =====

(28) ORIGINAL STK/ISIN (29) HLDG/RCV TYPE	(31) REFERENCE NO (32) DSTR STK/CCY SBL POS NO	(118)	(44) TAKE-UP QUANTITY (45) RECEIVABLE QTY/AMT	(46) CA FEE (47) BANK CHARGES	(48) AD VALOREM STAMP DUTY	ANCM NO. (38) EVT NO/ (39) EXP PAY DATE (97)
90159 NAM WAH GROUP ISIN11112222	R00012144		4,000	0.00		A00000736
HOLD CNL	CNY		15,600.00 (CNY)	0.00 (CNY)	0.00	01 T.B.A
	R00012175		5,000	0.00		A00010736
HOLD PRE	CNY		19,500.00 (CNY)	0.00 (CNY)	0.00	01 T.B.A
93205 C&P LTD ISIN74185236	R00012171		1,000	0.00		A00000768
HOLD CNL	CNY		1,100.00 (CNY)	0.00 (CNY)	0.00	01 08DEC14
HOLD FNL	CNY		1,100.00 (CNY)	0.00 (CNY)	0.00	01 08DEC14
	R00012183		2,000	0.00		A00010768
HOLD CNL	CNY		2,200.00 (CNY)	0.00 (CNY)	0.00	01 08DEC14
HOLD FNL	CNY		2,200.00 (CNY)	0.00 (CNY)	0.00	01 08DEC14
90817 I.G. GROUP ISIN98765432	R00012234		1,000	0.00		A00010781
HOLD PRE	CNY		1,100.00 (CNY)	0.00 (CNY)	0.00	01 T.B.A

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(7) RIGHTS / EXCESS RIGHTS SUBSCRIPTION
 =====

(28)	(29)	(31) (32)	(60) (61)	(62)	(63)	(64)	(38) (39) (97)
ORIGINAL STK/ISIN(131)	REF NO	RIGHTS QUANTITY	CORPORATE	ACTION FEE	SUB MONEY COLLECTED	SUB MONEY REFUNDED	ANCM NO.
HLDG/RECV TYPE(30)	DSTR STK	RECEIVABLE QUANTITY					EVT NO/ EXP PAY DATE
(40) ORDINARY RIGHTS =====							
90185 LOYAL LIGHT ISIN11112244	R00012138	100	0.00		190.00 (CNY)	0.00 (CNY)	A00010747
HOLD PRE	99000	100					01 T.B.A.
	R0012167	200	0.00		380.00 (CNY)	0.00 (CNY)	A00010747
HOLD PRE	99000	200					01 T.B.A.
	R00012170	7,200	0.00		13,680.00 (CNY)	0.00 (CNY)	A00010747
HOLD PRE	99000	7,200					01 T.B.A.
90182 CHINA ENERGY ISIN12341234	R00012217	100	0.00		70.00 (CNY)	0.00 (CNY)	A00010798
HOLD PRE	99001	100					01 T.B.A.
	R00012236	110	0.00		77.00 (CNY)	0.00 (CNY)	A00010798
HOLD PRE	99001	110					01 T.B.A.
90159 NAM WAH GROUP ISIN11112222	R00012155	2,500	0.00		16,075.00 (CNY)	0.00 (CNY)	A00010750
HOLD PRE	99002	2,500					01 11DEC14
	R00012156	1,500	0.00		9,645.00 (CNY)	0.00 (CNY)	A00010750
HOLD CNL	99002	500					01 11DEC14
	R00012158	200	0.00		1,286.00 (CNY)	0.00 (CNY)	A00010750
HOLD PRE	99002	200					01 11DEC14

(40) EXCESS RIGHTS
 =====

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(7) BOOK-CLOSE REMINDER

=====

(86)

VOTING/BOOK CLOSED
 /INSTR INPUT PERIOD
 /EFFECT. DATE

(87)
 ELECTION PERIOD

(88) (131)
 STOCK/ISIN

(38)
 ANCM. NO

(97)
 EXPECTED
 PAY DATE

(11)
 ANNOUNCEMENT SUMMARY

(120)
 SCRIP
 FEE

 01DEC14 - 09DEC14

90112 FAR WISH BANK
 ISIN11111114

A00000164

EGM ON 10DEC14 - 3 RESOLUTION(S)

01DEC14 - 09DEC14

90185 LOYAL LIGHT
 ISIN11112244

A00000167

EGM ON 12DEC14 - 1 RESOLUTION(S)

09DEC14 - 09DEC14

91601 MELODY LTD
 ISIN14784512

A00000170

14DEC14

I/D FOR YEAR END 31DEC14 PER SHARE:
 CNY 0.45678

N

09DEC14 - 09DEC14

91601 MELODY LTD
 ISIN14784512

A00000171

12DEC14

BONUS ISSUE-0.4 PER 1 SHARE HELD

N

01DEC14 - 29DEC14

93220 FAR EAST GROUP
 ISIN14784512

A00000169

CASH OFFER - CNY 1.10 PER SHARE
 (AVD & TRADING LEVIES INCLUDED)

07DEC14 - 11DEC14

93201 MELODY RIGHTS

A00010200

RIGHTS ISSUE-SUB PRICE:CNY 0.70 / RTS SH
 SUB RATIO: 1(93201) TO 1(92641)

CORPORATE ANNOUNCEMENTS OTHER THAN 'BE', 'CA', 'TM' AND 'ES', PARTICIPANTS SHOULD RECALL THEIR STOCK
 BORROWING TRANSACTIONS AT LEAST SIX BUSINESS DAYS BEFORE THE LATEST TIME OF THE EXERCISE OF THE RIGHT.

*** END OF REPORT ***