

**SECTION 4.3**

**REPORT SAMPLE 4.3.37b: PARTICIPANT VOTED QUANTITY EXCEPTION REPORT – BEFORE VOTING DEADLINE**

(1)CCNPT04 (2)B00516  
(3)CCASS COMPANY

HKSCC - CCASS  
PARTICIPANT VOTED QUANTITY EXCEPTION REPORT - BEFORE VOTING DEADLINE  
(MARKET - SHANGHAI MARKET)

(4)RUN DATE : 08DEC14  
(5)RUN TIME : 20:09:44  
PAGE : 1

DATE : 08DEC14

EXCEPTION OCCURRED AS OF SHAREHOLDING DATE  
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(A) TOTAL INSTRUCTION HOLDINGS > HOLDINGS AS OF SHAREHOLDING DATE; RE-INPUT REQUIRED

(6)STOCK : 90159 NAM WAH GROUP ANNOUNCEMENT NO.(12) : A00001728  
(7)MEETING DATE : 10 DEC 14 VOTING END DATE / TIME(13) : 09 DEC 14 / 16:15  
(8)SHAREHOLDING DATE : 08 DEC 14 (14)HOLDINGS AS OF SHAREHOLDING DATE : 1,000,000  
(9)TOTAL INSTRUCTION HOLDINGS : 100,000,000 (15)NO. OF CORP REP/PROXY INSTRUCTION: 0  
(10)INSTRUCTION NO. (BEFORE/AFTER): R00001924 / R40007516 STOCK A/C(17) :  
(11)MEETING TYPE : ANNUAL GENERAL MEETING

(B) TOTAL INSTRUCTION HOLDINGS > 0, HOLDINGS AS OF SHAREHOLDING DATE = 0; INSTRUCTION(S) CANCELLED

(6)STOCK : 92817 I.G GROUP ANNOUNCEMENT NO. (12) : A00001732  
(7)MEETING DATE : 10 DEC 14 VOTING END DATE / TIME(13) : 09 DEC 14 / 16:15  
(8)SHAREHOLDING DATE : 08 DEC 14 (14)HOLDINGS AS OF SHAREHOLDING DATE : 0  
(9)TOTAL INSTRUCTION HOLDINGS : 800,000,000 (15)NO. OF CORP REP/PROXY INSTRUCTION: 0  
(10)INSTRUCTION NO. : R00001927 STOCK A/C(17) : 21  
(11)MEETING TYPE : EXTRAORDINAY GENERAL MEETING

**SECTION 4.3**

**REPORT SAMPLE 4.3.37b: PARTICIPANT VOTED QUANTITY EXCEPTION REPORT – BEFORE VOTING DEADLINE**

(1)CCNPT04 (2)B00516  
(3)CCASS COMPANY

HKSCC - CCASS  
PARTICIPANT VOTED QUANTITY EXCEPTION REPORT - BEFORE VOTING DEADLINE  
(MARKET - SHANGHAI MARKET)

(4)RUN DATE : 08DEC14  
(5)RUN TIME : 20:09:44  
PAGE : 2

DATE : 08DEC14

POSSIBLE EXCEPTION OCCURRED AS OF THE DAY BEFORE SHAREHOLDING DATE;  
INSTRUCTION HOLDINGS SHOULD BE MAINTAINED IN STOCK ACCOUNT UP TO SHAREHOLDING DATE  
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(A) TOTAL INSTRUCTION HOLDINGS > HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE

(6)STOCK	: 92820 C&P LTD	ANNOUNCEMENT NO. (12)	: A00001751
(7)MEETING DATE	: 10 DEC 14	VOTING END DATE / TIME (13)	: 09 DEC 14 / 16:15
(8)SHAREHOLDING DATE	: 09 DEC 14	(16)HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE:	1,000,000
(9)TOTAL INSTRUCTION HOLDINGS	: 800,000,000	(15)NO. OF CORP REP/PROXY INSTRUCTION	: 0
(10)INSTRUCTION NO.	: R00001933	(17)STOCK A/C	:
(11)MEETING TYPE	: EXTRAORDINARY GENERAL MEETING		

(B) TOTAL INSTRUCTION HOLDINGS > 0 , HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE = 0

(6)STOCK	: 92817 I.G GROUP	ANNOUNCEMENT NO. (12)	: A00001720
(7)MEETING DATE	: 10 DEC 14	VOTING END DATE / TIME (13)	: 09 DEC 14 / 16:15
(8)SHAREHOLDING DATE	: 09 DEC 14	(16)HOLDINGS AS OF THE DAY BEFORE SHAREHOLDING DATE:	0
(9)TOTAL INSTRUCTION HOLDINGS	: 100,000,000	(15)NO. OF CORP REP/PROXY INSTRUCTION	: 0
(10)INSTRUCTION NO.	: R00001912	(17)STOCK A/C	: 21
(11)MEETING TYPE	: EXTRAORDINARY GENERAL MEETING		

\*\*\* END OF REPORT \*\*\*