

SECTION 4.3
REPORT SAMPLE 4.3.45

SSA Batch File accepted with exceptions in detail record

(1)CCSSU01(2)B11668	HKSCC - CCASS		(4)RUN DATE : 25JAN07
(3)GOODWILL & COMPANY	SSA BATCH INPUT CONTROL REPORT		(5)RUN TIME : 10:32:56
			PAGE : 1
(6)TRANSMISSION DATE : 25JAN07	(7)PROCESSING TIME : 10:30:01	(8)FILE INDICATOR : 1357	(9)FILE REFERENCE : SSA FILE UPL1
-----EXCEPTIONS-----			
(11)DETAIL RECORD			
===(13)(14)===			
(15)(16)	(17)(18)(19)(20)	(21)(22)	(26)SMS ALERT(STK MVT,STI,VT) / (27)VOTING DLGT/
SSA NO/	FULL NAME/	DOMN/ STMT/	(28)SMS PHONE & CARRIER/
AC TYP/	SHORT NAME/		(29)EMAIL ALERT(STK MVT,STI,VT,ANCM) / EMAIL ADDRESS/(30)
(12)REC LANGUAG/	CONTACT PHONE NO./	(23)AFF REQ/	(31)REMARKS1
TYP PASSWD	CLIENT AC NO./	(24)CORP COMM/	(31)REMARKS2
		ADDRESS	
1	21 WONG MAN CHUNG LAWRENCE	FLAT A, 3/F BLOCK 1	A N N Y
I	LAWRENCE WONG	HK N GARDEN STREET 123	91234567 1010
E	23456789	Y MONG KOK	N N N N
*****	ACCOUNT 01234	Y KOWLOON	REMARKS 1
		HONG KONG	REMARKS 2
(32)ERROR MESSAGES :	-5438 SMS PHONE NO. MUST CONTAIN EIGHT DIGITS WITH TRAILING BLANKS		
1	101 GOOD MANAGEMENT CO LTD	9/F WELL SHAPE BLDG	N Y Y Y
C	GOOD MGT	NT B 678 HARBOUR ST	66778899 1010
C	85267890123	N CENTRAL	A Y Y Y
*****	AX 20071001	Y HONG KONG	
(32)ERROR MESSAGES :	-5432 EMAIL ADDRESS MUST CONTAIN @ AND .		
	-5418 DOMAIN MUST BE 'HK', 'MA', 'CH' OR 'OT'		
-----SUMMARY OF CONTROL TOTALS-----			
TOTAL NO OF RECORDS			

(33)TOTAL NO OF RECORDS PROCESSED	20		
(34)TOTAL NO OF RECORDS ACCEPTED	18		
(35)TOTAL NO OF RECORDS REJECTED	2		
*** END OF REPORT ***			

SECTION 4.3
REPORT SAMPLE 4.3.45

The whole SSA Batch File rejected

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(1)CCSSU01(2)B11668
(3)GOODWILL & COMPANY
HKSCC - CCASS
SSA BATCH INPUT CONTROL REPORT
(4)RUN DATE : 25JAN07
(5)RUN TIME : 10:32:56
PAGE : 1

(6)TRANSMISSION DATE : 25JAN07 (7)PROCESSING TIME : 10:30:01 (8)FILE INDICATOR : 1359 (9)FILE REFERENCE : SSA FILE UPL2

-----EXCEPTIONS-----

(11)CONTROL HEADER
===== (36) (9) (6) (10) (8)
(12)RECORD TYPE PARTICIPANT ID FILE REFERENCE TRANSMISSION DATE FILE NAME FILE INDICATOR
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0 B11668 REF 1 20070201 SSA BATCH 0001
(32)ERROR MESSAGES : -5002 FILE NAME INVALID
-5001 INPUT DATE INVALID

(11)DETAIL RECORD
===(13)(14)===
(15)(16) (17)(18)(19)(20) (21) (22) (26)SMS ALERT(STK MVT,STI,VT)/ (27)VOTING DLGT/
SSA NO/ FULL NAME/ (21) (22) (28)SMS PHONE & CARRIER/
AC TYP/ SHORT NAME/ DOMN/ STMT/ (29)EMAIL ALERT (STK MVT,STI,VT,ANCM)/ EMAIL ADDRESS/(30)
(12)REC LANGUAG/ CONTACT PHONE NO./ (23)AFF REQ/ (25) (31)REMARKS1
TYP PASSWD CLIENT AC NO./ (24)CORP COMM/ ADDRESS (31)REMARKS2
-----
1 21 WONG MAN CHUNG LAWRENCE FLAT A, 3/F BLOCK 1 A N N Y
I LAWRENCE WONG HK N GARDEN STREET 123 S1234567 1010
E 23456789 Y MONG KOK N N N N
***** ACCOUNT 01234 Y KOWLOON REMARKS 1
HONG KONG REMARKS 2
(32)ERROR MESSAGES : -5438 SMS PHONE NO. MUST CONTAIN EIGHT DIGITS WITH TRAILING BLANKS

1 101 GOOD MANAGEMENT CO LTD 9/F WELL SHAPE BLDG N Y Y Y
C GOOD MGT HK B 678 HARBOUR ST 66778899 1010
C 85267890123 N CENTRAL O Y Y Y
***** AX 20071001 N HONG KONG

(32)ERROR MESSAGES : -5432 EMAIL ADDRESS MUST CONTAIN @ AND .

(11)CONTROL TRAILER
===== (37)
(12)RECORD TYPE NO OF DETAIL RECORD
-----
2 2
(32)ERROR MESSAGES : -5072 TOTAL NO OF DETAIL RECORD INVALID

(38)INVALID RECORD : 3B000012007020312345ISIN98765432B00002000000003000D0000000360000ACCOUNT A6019879REMARKS
900010000000000089568320000004564321

-----SUMMARY OF CONTROL TOTALS-----

*** THE WHOLE INTERFACE FILE IS REJECTED ***

*** END OF REPORT ***

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