

**SECTION 4.3**  
**REPORT SAMPLE 4.3.46**

(1)CSEAT01 (2)B00008  
 (3)TESTER FULLNAME B00008

HKSCC - CCASS  
 STI BATCH INPUT CONTROL REPORT

(4)RUN DATE : 30JAN07  
 (5)RUN TIME : 19:09:16  
 PAGE : 1

(6)TRANSMISSION DATE : 26APR06

(7)PROCESSING TIME : 19:22:03

(9)FILE REFERENCE : REF123456789012

-----EXCEPTIONS-----

(11)DETAIL RECORD

(12)REC TYP	STOCK CODE	(13) ISIN	(14) ISIN	(15) FROM A/C	(16) TO A/C	(17) TRANSFER QUANTITY	(18) PMT INS	(19) MONEY VALUE	(20) REMARKS	(21) CONTROL TOTAL
1	00001	X12345678901	12345678	12345678		1	00000010000	D 0000001000000		00000001010001
(22)	ERROR MESSAGES : -3884 STOCK CODE ISIN CODE NOT MATCH									
1	99999		12345678	12345678		2	90000001001	D 9000000002001	REMARK 1	90000000001021
(22)	ERROR MESSAGES : -5038 STOCK CODE INVALID									
1	00000	INV987654312	12345678	12345678		1	00000001000	F 0000000000000		00000000001000
(22)	ERROR MESSAGES : -3885 INVALID ISIN CODE									

-----SUMMARY OF CONTROL TOTALS-----

	(23) TOTAL NO. OF RECORDS	(24) TOTAL QUANTITY	(25) TOTAL MONEY VALUE
DETAIL RECORDS PROCESSED	105	91,235,481,001	91,235,479,020.01
DETAIL RECORDS ACCEPTED	102	1,235,469,000	1,235,469,000.00
DETAIL RECORDS REJECTED	3	90,000,012,001	90,000,010,020.01
(26)TOTAL NO. OF FILES UPLOADED :	5		

\*\*\* END OF REPORT \*\*\*

**SECTION 4.3**  
**REPORT SAMPLE 4.3.46**

The whole STI file rejected

CSEAT01 B00013  
 TESTER FULLNAME B00013

HKSCC - CCASS  
 STI BATCH INPUT CONTROL REPORT

RUN DATE : 30JAN07  
 RUN TIME : 19:09:16  
 PAGE : 1

(6) TRANSMISSION DATE : 26APR06 (7) PROCESSING TIME : 19:22:03 (9) FILE REFERENCE : REF123456789013

-----EXCEPTIONS-----

(11) CONTROL HEADER

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(12) RECORD TYPE	PARTICIPANT ID	FILE REFERENCE	TRANSMISSION DATE	FILE NAME
0	B00013	REF123456789013	20061204	TEST-FN- B00013

(22) ERROR MESSAGES : -5314 TRANSMISSION DATE DIFFERENT FROM CCASS DATE

(11) DETAIL RECORD

(12) REC TYP	STOCK (13) CODE	(14) ISIN	(15) FROM A/C	(16) TO A/C	(17) TRANSFER QUANTITY	(18) PMT INS	(19) MONEY VALUE	(20) REMARKS	(21) CONTROL TOTAL
1	A1		19876543	2	90000001000	D	0000001002000	REMARK 1	0000001003001
(22) ERROR MESSAGES : -5315 STOCK CODE NOT NUMERIC									
1	1		1	32	A0000001001	D	0000000002002		0000000003004
(22) ERROR MESSAGES : -5318 TRANSFER QUANTITY NOT NUMERIC									
1	1		19	108	00000001000	D	0000000002000	TESING-----F	0000000004000
(22) ERROR MESSAGES : -5320 CONTROL TOTAL NOT CORRECT									

(11) CONTROL TRAILER

(12) RECORD TYPE	TOTAL NO. OF	(28) DETAIL RECORDS	(29) STOCK CODE SUM	(30) TRANSFER QUANTITY SUM	(31) STOCK AMT SUM	(32) CONTROL TOTAL SUM
9		1239	A234567	00000010500124	0000001500105000	9999999999999999

(22) ERROR MESSAGES : -5322 STOCK CODE SUM NOT NUMERIC  
 -5325 TRANSFER QUANTITY SUM NOT CORRECT

(33) INVALID RECORD : 4 1A12345678901D5432109R543210850000001000500000002000DTESING-----FA0000

-----SUMMARY OF CONTROL TOTALS-----

\*\*\* THE WHOLE INTERFACE FILE IS REJECTED \*\*\*

\*\*\* END OF REPORT \*\*\*