

SECTION 4.3
 REPORT SAMPLE 4.3.47: STI ACTIVITY REPORT

(1)CSEAT02 (2)B00001
 (3)TESTER FULLNAME B00001

HKSCC - CCASS
 STI ACTIVITY REPORT
 (MARKET - SHANGHAI MARKET)

(4)RUN DATE : 08DEC14
 (5)RUN TIME : 20:16:06
 PAGE : 1

(6)DATE : 08DEC14

(7)USER ACTIVITY
 =====

(8) TIME/ USER	(9) FUNC	(10) (11) INP DT/ STATUS	(12) (13) STOCK/ISIN	(14) FROM A/C	(15) TO A/C	(16) (17) STI INP NO/ EPI NUMBER	(18) QUANTITY / REMARKS	(19) MONEY VALUE / REMARKS (22)	(20) CCY /	(21) PYMT INS
14:40:04 B0000101	INP	08DEC14 PND	90185 LOYAL LIGHT ISIN11111111	24	CLIENT 4 5 INTERNAL A/C 5	S00000101	9,999,999,999 REMARKS	9,999,999,999.99	CNY	DVP
14:41:04 B0000101	CHG B	08DEC14 PND	90182 CHINA ENERGY ISIN11111114	12345678	CLEARING A/C CLIENT 255	S00000102	100	0.00	CNY	FOP
14:42:04 B0000101	CHG A	08DEC14 PND	90182 CHINA ENERGY ISIN11111114	24	CLEARING A/C CLIENT 4	S00000102	200	0.00	CNY	FOP
14:43:04 B0000101	DEL	08DEC14 PND	90217 TECH FORCE ISIN11111113	12345678	5 INTERNAL A/C 5 CLIENT 255	S00000104	300	0.00	CNY	FOP
14:44:04 B0000101	RVK	24NOV14 UNA	90112 FAR WISH BANK ISIN11111112	12345678	CLEINT 255 5 INTERNAL A/C 5	S00000105	400	3,000.00	CNY	DVP
14:45:04 B0000101	RVK	24NOV14 PTF	90182 CHINA ENERGY ISIN11111114	24	CLIENT 4 1 CLEARING A/C	S00000106	500	5,000.00	CNY	DVP
14:46:04 B0000101	AUT	08DEC14 TRF	90185 LOYAL LIGHT ISIN11111111	12345678	CLIENT 255 5 INTERNAL A/C 5	S00000107 E01123457	600	6,000.00	CNY	DVP

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 (MARKET - SHANGHAI MARKET)

RUN DATE : 08DEC14
 RUN TIME : 20:16:06
 PAGE : 2

(6) DATE : 08DEC14

(7) SSA AFFIRMATION / SYSTEM ACTIVITY

(8) TIME/ USER	(11) STATUS	(10) INPUT DT	(12) (13) STOCK/ISIN	(14) FROM A/C	(15) TO A/C	(16) (17) STI INP NO/ EPI NUMBER	(18) QUANTITY	(19) MONEY VALUE	(20) CCY	(21) PYMT INS	(22) REMARKS	
14:40:04 SSA	PTF	08DEC14	90185 LOYAL LIGHT ISIN11111111	5 12345678	INTERNAL A/C 5 CLIENT 255	S00200101	400		0.00	CNY	DVP	REMARKS
14:40:04 SSA	TRF	08DEC14	90185 LOYAL LIGHT ISIN11111111	12345678 5	CLIENT 255 INTERNAL A/C 5	S00300101 E01123450	500		5,000.00	CNY	DVP	
14:41:04 SSA	PTF	08DEC14	90182 CHINA ENERGY ISIN11111114	24 1	CLIENT 4 CLEARING A/C	S00200102	100		1,000.00	CNY	DVP	
14:41:04 SYS	TRF	08DEC14	90182 CHINA ENERGY ISIN11111114	24 1	CLIENT 4 CLEARING A/C	S00300102 E55423452	300		3,000.00	CNY	DVP	
14:42:04 SSA	TRF	08DEC14	90117 TECH FOUNDATION ISIN11111113	24 5	CLIENT 4 INTERNAL A/C 5	S00300104 E68523452	300		3,000.00	CNY	DVP	
14:42:04 SYS	TRF	08DEC14	90117 TECH FOUNDATION ISIN11111113	12345678 5	CLIENT 255 INTERNAL A/C 5	S00200104 E68523453	300		3,000.00	CNY	DVP	
20:15:04 SYS	PCA	08DEC14	90112 FAR WISH BANK ISIN11111112	12345678 1	CLIENT 255 CLEARING A/C	S00300103	100		1,000.00	CNY	DVP	
20:15:08 SYS	PUG	29NOV14	90112 FAR WIAH BANK ISIN11111112	24 5	CLIENT 4 INTERNAL A/C 5	S00200103	200		2,000.00	CNY	DVP	

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(6) DATE : 08DEC14

(7) SUMMARY
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(23) CURRENCY - CNY

(24) TRANSACTION TYPE	(25) TOTAL NO. OF TRANSACTIONS	(26) AMT RECEIVABLE	(27) AMT PAYABLE	(28) NET AMOUNT REC/PAY
STI INPUT	1	0.00	9,999,999,999.99	9,999,999,999.99-
STI CHANGES	1	0.00	0.00	0.00-
STI AUTHORIZATION	1	0.00	6,000.00	6,000.00-
STI DELETION	1	0.00	0.00	0.00-
STI REVOCATION	2	0.00	8,000.00	8,000.00-
STI PEND FOR TRF(SSA)	2	0.00	1,000.00	1,000.00-
STI TRANSFERRED(SSA)	2	0.00	8,000.00	8,000.00-
STI TRANSFERRED(SYS)	2	0.00	6,000.00	6,000.00-
STI PURGED	2	0.00	3,000.00	3,000.00-
TOTAL	14	0.00	10,000,031,999.99	10,000,031,999.99-

*** END OF REPORT ***