

SECTION 4.3
 REPORT SAMPLE 4.3.48: STI STATUS REPORT

(1)CSEAT03 (2)B00001
 (3)TESTER FULLNAME B00001

HKSCC - CCASS
 STI STATUS REPORT
 (MARKET - SHANGHAI MARKET)

(4)RUN DATE : 08DEC14
 (5)RUN TIME : 20:16:34
 PAGE : 1

(6)DATE : 08DEC14

(7)PENDING STI
 =====

(8) INPUT DATE	(9) (10) STOCK/ISIN	(11) (12) FROM A/C / TO A/C	(13) (14) STI INP NO/ PYMT INST	(15) QUANTITY /	(16) MONEY VALUE /	(17) CCY /AFF REQ	(18)
				(19) REMARKS			
08DEC14	90185 LOYAL LIGHT ISIN111111111	1 CLEARING A/C 21 M. SCHUMACHER	S00024201 FOP	12,300 REMARK-1		0.00	CNY N
	90185 LOYAL LIGHT ISIN111111111	22 F. ALONSO 2 ENTITLEMENT	S00005542 DVP	3,400		100.00	CNY Y
08DEC14	90182 CHINA ENERGY ISIN111111114	1 CLEARING A/C 21 M. SCHUMACHER	S00030453 FOP	4,450		0.00	CNY N
	90182 CHINA ENERGY ISIN111111114	1 CLEARING A/C 21 M. SCHUMACHER	S00004534 FOP	5,500 STI MOVEMENT FOR CLIENT ABCDD		0.00	CNY N

(20)TOTAL NO OF PENDING STI : 4

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 STI STATUS REPORT
 (MARKET - SHANGHAI MARKET)

RUN DATE : 08DEC14
 RUN TIME : 20:16:34
 PAGE : 2

(6) DATE : 08DEC14

(7) UNAFFIRMED STI
 =====

(8) INPUT DATE	(9) STOCK/ISIN	(11) FROM A/C /	(12) TO A/C	(13) STI INP NO/ (14) PYMT INST	(15) QUANTITY /	(16) MONEY VALUE /	(17) CCY /
				(19) REMARKS			
08DEC14	90185 LOYAL LIGHT ISIN111111111	22 F. ALONSO	2 ENTITLEMENT	S00000001 FOP	1,200	0.00	CNY
	90112 FAR WISH BANK ISIN111111112	22 F. ALONSO	1 CLEARING A/C	S00554002 DVP	23,000	100.00	CNY
	90217 TECH FORCE ISIN111111115	22 F. ALONSO	1 CLEARING A/C	S00064503 FOP	2,300	0.00	CNY

(21) TOTAL NO OF UNAFFIRMED STI : 3

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HKSCC - CCASS
 STI STATUS REPORT
 (MARKET - SHANGHAI MARKET)

RUN DATE : 08DEC14
 RUN TIME : 20:16:34
 PAGE : 3

(6) DATE : 08DEC14

(7) PENDING FOR TRANSFER STI

=====

(8) INPUT DATE	(9) STOCK/ISIN	(10)	(11) FROM A/C /	(12) TO A/C	(13) STI INP NO/ (14) PYMT INST	(15) QUANTITY /	(16) MONEY VALUE /	(17) CCY /	(22) AFFIRMED DATE
						(18) AFF REQ /		(19) REMARKS	
08DEC14	90185 LOYAL LIGHT ISIN111111111		22 F. ALONSO		S00054351 FOP	22,200		0.00	CNY 08DEC14
			2 ENTITLEMENT			Y	CLIENT ACCOUNT 123344		
08DEC14	90182 CHINA ENERGY ISIN111111114		21 M. SCHUMACHER		S00056574 DVP	56,600		100.00	CNY
			2 ENTITLEMENT			N			
	90112 FAR WISH BANK ISIN111111112		1 CLEARING A/C		S00567405 FOP	100,000		0.00	CNY 08DEC14
			23 TESTER 23			Y			

(23) TOTAL NO OF PENDING FOR TRANSFER STI : 3

*** END OF REPORT ***