

**SECTION 4.3**  
**REPORT SAMPLE 4.3.55 : TSF CONFIRMATION REPORT**

(1)CSETF07(2)B03456  
 (3)CCASS COMPANY  
 HKSCC - CCASS  
 TSF CONFIRMATION REPORT  
 (8) (MARKET - HONG KONG MK )  
 (4)RUN DATE : 15NOV11  
 (5)RUN TIME : 18:01:00  
 PAGE : 1

(6)TRADE DATE/ STOCK RELEASE DATE: 15NOV11 SETTLEMENT DATE : 17NOV11(7)

(9)PROVISIONAL TSF FX TRANSACTIONS / STOCK RELEASE REQUESTS

(10)	(11)	(12)	(18)	(20)	(22)	(24)	(26)	(27)	(29)	(30)	(31)					
A1)STK/	ISIN/	CCY	SEHK	TRD QUANTITY	PRICE	TSF TRADE AMT (RMB)	BRKR	COUNTERPARTY	TRD ISO ORGN/							
(13)B1)NCP/	BUY/	TRD(16)	TRD REF	TRD QTY	DLV	STK AC	NO	POS/STK RLSE AMT (RMB)	POS/STK RLSE AMT (HKDEQV)	REC'V	STK A/C					
(14)	(17)	(19)	REQ NO	(21)	(23)	(25)	(28)	(28)	(28)	(32)	(32)					
C1)TYPE	RLSE TIME	FX TRAN /	REQ NO	POS /	RLSE	QTY	DLV	STK AC	POS/STK	RLSE	AMT (RMB)	POS/STK	RLSE	AMT (HKDEQV)	REC'V	STK A/C
-----																
A1)87001	WINNER HLD		HK0000000015 (CNY)													
B1)	B	10:38	0000010200000001	100,000		1.300		130,000.00-	0810 0840	B11229	GOODFUND & CO.	A	N	AE		
B1)	+	S	11:49	0000010200000017	70,000-	1.350		94,500.00	0810 0720	B11668	GOODWILL & CO.	U	Y	PN		
B1)	*	B	14:35	0000010200000019	150,000	1.300		195,000.00-	0810 0720	B11668	GOODWILL & CO.	A	C			
B1)	+	S	15:26	0000010200000037	40,000-	1.400		56,000.00	0810 0720	B11668	GOODWILL & CO.	U	M	RL		
-----																
A.M. SESSION				AGGREGATE BUY	100,000			130,000.00-			145,600.00-					
				AGGREGATE SELL	70,000-			94,500.00			104,895.00					
P.M. SESSION				AGGREGATE BUY	150,000			195,000.00-			222,300.00-					
				AGGREGATE SELL	40,000-			56,000.00			63,280.00					
NET TOTAL					140,000			174,500.00-	(CNY)		199,725.00-	(HKD)				
-----																
C1)PROV TSF POS			C000000011	140,000				174,500.00-	(CNY)		199,725.00-	(HKD)				
C1)PROV NON-TSF POS				60,000				76,000.00-	(CNY)							
C1)PROV CNS POS				200,000				250,500.00-	(CNY)							
-----																
A1)87001	WIMMER HLD		HK0000000015 (CNY)													
C1)STK RLSE	09:58		S000000011	1,000-	17			1,250.00-			1,387.50			6		
C1)STK RLSE	14:05		S000000012	2,000-	18			2,500.00-			2,825.00			3		
TOTAL:								3,750.00-	(CNY)		4,212.50	(HKD)				
-----																
A1)87003	WONDERFUL LTD		HK0000000020 (CNY)													
B1)	B	10:15	0000030100000001	6,000	2.000			12,000.00-	0810 4210	B11220	THOMAS SECS.	A	N	AE		
	S	11:20	0000030100000015	20,000-	2.100			42,000.00	0810 3300	B11215	SUNNYBANK & CO	A	C			
A.M. SESSION				AGGREGATE BUY	6,000			12,000.00-			13,440.00-					
				AGGREGATE SELL	20,000-			42,000.00			46,620.00					
P.M. SESSION				AGGREGATE BUY	0			0.00			0.00					
				AGGREGATE SELL	0			0.00			0.00					
NET TOTAL					14,000-			30,000.00	(CNY)		33,180.00	(HKD)				
-----																
C1)PROV TSF POS			C000000012	14,000-				30,000.00	(CNY)		33,180.00	(HKD)				
C1)PROV NON-TSF POS				20,000-				40,000.00	(CNY)							
C1)PROV CNS POS				34,000-				70,000.00	(CNY)							
-----																
A1)87003	WONDERFUL LTD		HK0000000020 (CNY)													
C1)STK RLSE	14:52		S000000013	1,000-	18			2,000.00-			2,260.00			4		
TOTAL:								2,000.00-	(CNY)		2,260.00	(HKD)				

'\*' - TRADE EXECUTED BY NCP, '+' - COUNTERPARTY IS NCP

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 (8) (MARKET - HONG KONG MK )

(4) RUN DATE : 15NOV11  
 (5) RUN TIME : 18:01:00  
 PAGE : 2

(6) TRADE DATE/ STOCK RELEASE DATE: 14NOV11 SETTLEMENT DATE : 16NOV11 (7)

(9) FINAL TSF FX TRANSACTIONS / STOCK RELEASE REQUESTS

(10) A1) STK/ ISIN/ CCY C1) TYPE (14)	(11) REF NO*	(12) POS QTY	(35) POS/STK RLSE AMT (RMB)	(36) POS/STK RLSE AMT (HKD EQV)
A1) 87201 CHUNG HUA & CO	HK0000000033 (CNY)			
C1) TSF POS (ADJ)	C00000004	10,000	20,000.00-(CNY)	23,000.00-(HKD)
C1) NON-TSF POS		20,000	40,000.00-(CNY)	
C1) CNS POS	P01563841	30,000	60,000.00-(CNY)	
C1) STK RLSE	S00000004		1,000.00-(CNY)	1,140.00 (HKD)
C1) STK RLSE	S00000005		1,500.00-(CNY)	1,710.00 (HKD)
TOTAL:			2,500.00-(CNY)	2,850.00 (HKD)
A1) 87666 MOUNTAIN CO LTD	HK00000000586 (CNY)	(ORG STOCK CODE : 87777 MOUNTAIN CO LTD HK00000000586)		
C1) TSF POS (NEW)	C00000007	200,000-	400,000.00 (CNY)	456,000.00 (HKD)
C1) NON-TSF POS (NEW)		100,000	200,000.00-(CNY)	
C1) CNS POS (NEW)	P01533687	100,000-	200,000.00 (CNY)	
C1) TSF POS (ORG)	C00000007	200,000-		
C1) NON-TSF POS (ORG)		100,000		
C1) CNS POS (ORG)	P01533687	100,000-		
C1) STK RLSE	S00000008		5,000.00-(CNY)	5,600.00 (HKD)
TOTAL:			5,000.00-(CNY)	5,600.00 (HKD)

'\*' REF NO BEING TSF FX TRANSACTION NO; SETTLEMENT POSITION NO (IN CASE OF CNS POS); AND STK RLSE REQ NO.

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(4) RUN DATE : 15NOV11  
 (5) RUN TIME : 18:01:00  
 PAGE : 3

(7)  
 (9) PROVISIONAL STOCK TRANSFER AND MONEY OBLIGATION TO BE SETTLED ON : 17NOV11  
 =====

(9) SUMMARY OF TSF STOCK TRANSFER  
 =====

(10) STK CODE	(40) TRADE DATE	(41) NO OF SHARE TO BE TRF FM A/C 01 TO 18	(42) NO OF SHARE TO BE RLSE FM A/C 18 TO 01	(43) NO OF STK WITH SH TRF	(44) CNS POS QTY
87001	15NOV11	140,000		2	200,000
87003	15NOV11		14,000		34,000-

(9) SUMMARY OF MONEY OBLIGATION  
 =====

(10) STK CODE	(40) TRADE DATE	(45) CNS POS AMOUNT (RMB)	(46) NON-TSF POS AMT (RMB)	(47) TSF POS AMOUNT (RMB)	(48) TSF POS AMOUNT (HKD EQV)
87001	15NOV11	250,500.00-	76,000.00-	174,500.00-	199,725.00-
87003	15NOV11	70,000.00	40,000.00	30,000.00	33,180.00
TOTAL:		180,500.00-	36,000.00-	144,500.00-	166,545.00-

(9) PAYMENT TO BE SETTLED BY 3PM  
 =====

(10) STK CODE	(40) TRADE DATE	(49) PAYABLE / CONVERTED AMOUNT (RMB)	(50) PAYABLE / CONVERTED AMOUNT (HKD)
87001	15NOV11	174,500.00	199,725.00-
87003	15NOV11	0.00	0.00
TOTAL:		174,500.00	199,725.00-

(51)  
 PAYMENT TO BE SETTLED BY 3PM: 199,725.00- (HKD)  
 0.00 (CNY)

(9) PROJECTED PAYMENT TO BE SETTLED BY DCI/DDI  
 =====

(52) RECEIVABLE AMOUNT (HKD) (DCI ON T+2)	(53) RECEIVABLE AMOUNT (HKD) (DCI ON T+3)	(54) PAYABLE/RECEIVABLE AMT (RMB) (DCI/DDI ON T+2)
0.00	33,180.00	36,000.00-
(55) PROJECTED TOTAL DCI (HKD):	33,180.00	

(9) SUMMARY OF STOCK RELEASE MONEY OBLIGATION  
 =====

(10) STK CODE	(56) STOCK RLSE DATE	(57) STOCK RELEASE QTY	(58) STOCK RLSE PAYABLE AMT (RMB) - BY 3PM	(59) STOCK RLSE RECEIVABLE AMT (HKD) - VIA DCI	(60) NO OF STK RLSE REQ
87001	15NOV11	3,000-	3,750.00-	4,212.50	2
87003	15NOV11	1,000-	2,000.00-	2,260.00	1
TOTAL:			5,750.00-	6,472.50	3

NOTE : RELEVANT STK HAS BEEN RLSE ON STK RLSE DATE, WHEREAS MONEY OBLIGATION WILL BE SETTLED ON STM DATE.

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(4)RUN DATE : 15NOV11  
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PAGE : 4

(7)  
(9)FINAL STOCK TRANSFER AND MONEY OBLIGATION TO BE SETTLED ON : 16NOV11  
=====

(9)SUMMARY OF TSF STOCK TRANSFER  
=====

(10) STK CODE	(40) TRADE DATE	(41) NO OF SHARE TO BE TRF FM A/C 01 TO 18	(42) NO OF SHARE TO BE RLSE FM A/C 18 TO 01	(43) NO OF STK WITH SH TRF	(44) CNS POS QTY
87201	14NOV11	10,000		2	30,000
87666	14NOV11		200,000		100,000-

(9)SUMMARY OF MONEY OBLIGATION  
=====

(10) STK CODE	(40) TRADE DATE	(45) CNS POS AMOUNT (RMB)	(46) NON-TSF POS AMT (RMB)	(47) TSF POS AMOUNT (RMB)	(48) TSF POS AMOUNT (HKD EQV)
87201	14NOV11	60,000.00-	40,000.00-	20,000.00-	23,000.00-
87666	14NOV11	200,000.00	200,000.00-	400,000.00	456,000.00
TOTAL:		140,000.00	240,000.00-	380,000.00	433,000.00

PAYMENT TO BE SETTLED BY 3PM  
=====

(10) STK CODE	(40) TRADE DATE	(49) PAYABLE / CONVERTED AMOUNT (RMB)	(50) PAYABLE / CONVERTED AMOUNT (HKD)
87201	14NOV11	20,000.00	23,000.00-
87666	14NOV11	200,000.00-	228,000.00
TOTAL:		180,000.00-	205,000.00

(51)  
PAYMENT TO BE SETTLED BY 3PM:  
0.00 (HKD)  
180,000.00- (CNY)

(9)PROJECTED PAYMENT TO BE SETTLED BY DCI/DDI  
=====

(52)RECEIVABLE AMOUNT (HKD) (DCI ON T+2)	(53) RECEIVABLE AMOUNT (HKD) (DCI ON T+3)	(54) PAYABLE/RECEIVABLE AMT (RMB) (DCI/DDI ON T+2)
364,600.00	68,400.00	60,000.00-
(55) PROJECTED TOTAL DCI (HKD):	433,000.00	

(9)SUMMARY OF STOCK RELEASE MONEY OBLIGATION  
=====

(10) STK CODE	(56) STOCK RLSE DATE	(58) STOCK RLSE PAYABLE AMT (RMB) - BY 3PM	(59) STOCK RLSE RECEIVABLE AMT (HKD) - VIA DCI	(60) NO OF STK RLSE REQ
87201	14NOV11	2,500.00-	2,850.00	2
87666	14NOV11	5,000.00-	5,600.00	1
TOTAL:		7,500.00-	8,450.00	3

NOTE : RELEVANT STK HAS BEEN RLSE ON STK RLSE DATE, WHEREAS MONEY OBLIGATION WILL BE SETTLED ON STM DATE.

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**REPORT SAMPLE 4.3.55 : TSF CONFIRMATION REPORT**

(1)CSETF07(2)B03456  
(3)CCASS COMPANY

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(9)TSF FINAL FX RATE  
=====

(40)  
TRADE DATE : 14NOV11  
MORNING SESSION  
(A) TSF BUY RMB (HKD PER 1 RMB) = 1.1400000000  
(61)  
(B) TSF SELL RMB (HKD PER 1 RMB) = 1.1500000000  
(62)  
AFTERNOON SESSION  
(C) TSF BUY RMB (HKD PER 1 RMB) = 1.1200000000  
(D) TSF SELL RMB (HKD PER 1 RMB) = 1.1300000000

TRADE DATE : 15NOV11  
MORNING SESSION  
(A) TSF BUY RMB (HKD PER 1 RMB) = 1.1100000000  
(B) TSF SELL RMB (HKD PER 1 RMB) = 1.1200000000

AFTERNOON SESSION  
(C) TSF BUY RMB (HKD PER 1 RMB) = 1.1300000000  
(D) TSF SELL RMB (HKD PER 1 RMB) = 1.1400000000

\*\*\* END OF REPORT \*\*\*