

SECTION 4.3

REPORT SAMPLE 4.3.56: INTER-COUNTER TRANSFER/CONVERSION INSTRUCTION ACTIVITIES REPORT

(1)CCVTF01 B03456

HKSCC - CCASS

(4)RUN DATE : 14NOV11

(3)CCASS COMPANY

INTER-COUNTER TRANSFER/CONVERSION INSTRUCTION ACTIVITIES REPORT
(MARKET - HONG KONG MK)

(5)RUN TIME : 20:00:06

PAGE : 1

(6)DATE : 03OCT11

MULTI-COUNTER TRANSFER INSTRUCTION

(7) ACTION	(8) INSTR NO	(9) STATUS	(10) FM/TO AC	(11) TRANSFER QUANTITY	(12) REMARKS	(13) TRF FEE	(14) USER	(15) TIME

MULTI-COUNTER TRANSR INSTR (16)			FROM STOCK 92011	K01-HKD	ISIN K00000000001 TO STOCK 92041 K02-RMB		ISIN K00000000002	
(17)								
INPUT	S09490885	TRANSFERRED	1	6	10,000 TRANSFERRED UPON SUBMISSION	5.00	B0345601	14:08
INPUT	S09502289	TRANSFERRED	1	2	5,000 TRANSFERRED UPON SUBMISSION	5.00	B0345601	13:03
(18)TOTAL TRANSFERRED QUANTITY :				15,000	(19)TOTAL TRANSFER FEE (HKD)	10.00		

MULTI-COUNTER TRANSFER INSTR			FROM STOCK 92022	XXXXXXXXXXXXXXXXXX	ISIN XXXXXXXXXXXXX TO STOCK 19999	XXXXXXXXXXXXXXXXXX	ISIN XXXXXXXXXXXXX	
INPUT	S09491192	PENDING TRF	2	3	10,000 CANCELLED BY SYSTEM	0.00	B0345601	13:56
INPUT	S09491302	PENDING TRF	6	5	10,000 CANCELLED BY SYSTEM	0.00	B0345601	15:57
CNL(SYS)	S09491302	CNL(SYS)	6	5	10,000 CANCELLED BY SYSTEM	0.00	CCASS	16:24
INPUT	S09506720	PENDING TRF	6	5	10,000 CANCELLED BY PARTICIPANT	0.00	B0345601	11:10
CANCEL	S09506720	CANCELLED	6	5	10,000 CANCELLED BY PARTICIPANT	0.00	B0345601	11:25
UPLOAD	S00000130	PEND TRF(SYS)	1	1	1,000 PENDING TRANSFERRED BY SYSTEM	0.00	CCASS	15:15
UPLOAD	S00000131	PEND TRF(SYS)	11	1	1,000 PENDING TRANSFERRED BY SYSTEM	0.00	CCASS	15:15
TOTAL TRANSFERRED QUANTITY :				0	TOTAL TRANSFER FEE (HKD)	0.00		

MULTI-COUNTER TRANSFER INSTR			FROM STOCK 92036	F02-RMB	ISIN F00000000002 TO STOCK 92006 F01-HKD		ISIN F00000000001	
INPUT	S09520211	PENDING TRF	11	10	10,000 PENDING FOR TRANSFER	0.00	B2000101	09:55
TRF(SYS)	S09520211	TRF(SYS)	11	10	10,000 TRANSFERRED	5.00	CCASS	12:49
TOTAL TRANSFERRED QUANTITY :				10,000	TOTAL TRANSFER FEE (HKD)	5.00		

----- SUMMARY TOTAL -----

MULTI-COUNTER TRANSFER INSTRUCTION			
(20)TOTAL NO. OF RECORDS INPUT :	6	(26)TOTAL NO. OF RECORDS UPLOADED :	2
(21)TOTAL NO. OF RECORDS TRANSFERRED(ONLINE) :	2	(23)TOTAL NO. OF RECORDS CANCELLED(ONLINE) :	1
(22)TOTAL NO. OF RECORDS TRANSFERRED(SYSTEM) :	1	(24)TOTAL NO. OF RECORDS CANCELLED(SYSTEM) :	2
(25)TOTAL TRANSFER FEE (HKD) :	15.00		

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(MARKET - HONG KONG MK)

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PARALLEL TRADING CONVERSION INSTRUCTION
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(7) ACTION	(8) INSTR NO	(9) STATUS	(10) FM/TO AC	(11) TRANSFER QUANTITY	(12) REMARKS	(14) USER	(15) TIME
PARALLEL TRADING CONV INSTR			FROM STOCK	191	XXXXXXXXXXXXXXXXXX ISIN XXXXXXXXXXXXXXXX TO STOCK	2991	XXXXXXXXXXXXXXXXXX ISIN XXXXXXXXXXXXXXXX
INPUT	S00000012	TRANSFERRED	1	6	654,321 TRANSFERRED UPON SUBMISSION	B0123456	10:01
INPUT	S00000299	TRANSFERRED	6	3	2,654,321 TRANSFERRED UPON SUBMISSION	B0123456	10:32
UPLOAD	S00000239	PEND TRF(SYS)	11	1	1,000 PENDING TRANSFERRED BY SYSTEM	CCASS	14:50
TOTAL TRANSFERRED QUANTITY :				3,308,642			

----- SUMMARY TOTAL -----

(27)TOTAL NO. OF RECORDS INPUT :	2	(32)TOTAL NO. OF RECORDS UPLOADED :	1
(28)TOTAL NO. OF RECORDS TRANSFERRED(ONLINE):	2	(30)TOTAL NO. OF RECORDS CANCELLED(ONLINE):	0
(29)TOTAL NO. OF RECORDS TRANSFERRED(SYSTEM):	0	(31)TOTAL NO. OF RECORDS CANCELLED(SYSTEM):	0

*** END OF REPORT ***