

**Section 4.3**

**REPORT SAMPLE 4.3.57 : TSF EXCEPTION HANDLING FEE REPORT**

(1) CSETF13	(2) B03456	HKSCC - CCASS		(4) RUN DATE : 15DEC11
CCASS COMPANY (3)		TSF EXCEPTION HANDLING FEE REPORT		(5) RUN TIME : 20:36:29
				PAGE : 1
(6) DATE: 15DEC11				
(7) EXCEPTION HANDLING FEE FOR FAILED PAYMENT BY 3 PM				
=====				
(8)	(9)	(10)	(11)	
FX TRANS PAYMENT CCY & AMT	FX TRANS TYPE	FEE CALCULATION AMT (HKD)	FEE AMT (HKD)	
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HKD 23,600.00	TSF FX TRANSACTION	23,600.00	118.00	
CNY 1,000.00	STOCK RELEASE REQUEST	1,180.00	5.90	
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			TOTAL:	123.90

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(1) CSETF13 CCASS COMPANY (3)	(2) B03456	HKSCC - CCASS TSF EXCEPTION HANDLING FEE REPORT			(4) RUN DATE : 15DEC11 (5) RUN TIME : 20:36:29 PAGE : 2
(6) DATE: 15DEC11					
(7) EXCEPTION HANDLING FEE FOR FAILED CNS SETTLEMENT =====					
(12) STOCK / ISIN	(13)/(14) TSF POS AMT (RMB) / TSF POS AMT (HKD)	(15)/(16) CNS POS AMT (RMB) / CNS SETTLED AMT (RMB)	(17) CNS POS FOR TSF (RMB)	(18) CNS POS FOR TSF (HKD)	(19) UNFULFILLED TSF AMT (RMB)
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87001 WINNER HLD HK0000000011	10,000.00 11,800.00	15,000.00 0.00	10,000.00	11,800.00	10,000.00
87002 WONDERFUL LTD HK0000000009	25,000.00 29,500.00	10,000.00 6,000.00	10,000.00	11,800.00	4,000.00
87003 GOOD FUND LTD HK0000000008	40,000.00 47,200.00	17,000.00 17,000.00	17,000.00	20,060.00	0.00
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		TOTAL:	37,000.00	43,660.00	14,000.00
		(10) FEE CALCULATION AMOUNT (HKD):	16,520.00		
		(11) FEE AMOUNT (HKD):	82.60		

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(12)	(20)	(14)	(21)	(22)	(10)	(11)
STOCK / ISIN	TSF POS QTY	TSF POS AMT (HKD)	TSF SHORTFALL QTY	CNS RECEIVING OUTSTAND QTY	FEE CALCULATION AMT (HKD)	FEE AMT (HKD)
87701 SUNSHINE LTD HK0000000015	20,000	37,000.00-	20,000	5,000	27,750.00	138.75
87715 SUPERSTAR LTD HK0000000016	18,000	24,000.00-	18,000	0	24,000.00	120.00
					TOTAL:	258.75

\*\*\* END OF REPORT \*\*\*