

SECTION 4.3

REPORT SAMPLE 4.3.58: MULTI-COUNTER TRANSFER INSTRUCTION BATCH INPUT CONTROL REPORT

(1)CCVTF03 (2)B03456
 (3)CCASS COMPANY

HKSCC - CCASS
 MULTI-COUNTER TRANSFER INSTRUCTION BATCH INPUT CONTROL REPORT
 (MARKET - HONG KONG MK)

(4)RUN DATE : 14NOV11
 (5)RUN TIME : 18:00:06
 PAGE : 1

(6)DATE : 14NOV11
 (7)

TRANSMISSION DATE : 14NOV11

(8)

PROCESSING TIME : 12:30:08

(9)

FILE REFERENCE : A1234522222223

-----EXCEPTIONS-----

DETAIL RECORD

(14)REC	(15) FROM STOCK CODE/ TYP FROM ISIN	(16) FROM A/C	(17) TO STOCK CODE/ TO ISIN	(18) TO A/C	(19) TRANSFER QTY	(20) REMARKS	(21) CONTROL TOTAL
1	0000X	1	0000Y	2	0000000000Z	NO HEADER INCORRECT PART ID	000000000000A

(13)ERROR MESSAGES : +7155 TRANSFER IS SUSPENDED

----- SUMMARY OF CONTROL TOTALS -----

	TOTAL NO. OF RECORDS	TOTAL TRANSFER QUANTITY
DETAIL RECORDS PROCESSED	4	8,000
DETAIL RECORDS ACCEPTED	1	2,000
DETAIL RECORDS REJECTED	3	6,000
TOTAL NO. OF FILES UPLOADED :	1	

*** END OF REPORT ***

The whole Multi-counter Transfer Instruction file rejected

(1)CCVTF03 (2)B03456 HKSCC - CCASS (4)RUN DATE : 14NOV11
 (3)CCASS COMPANY MULTI-COUNTER TRANSFER INSTRUCTION BATCH INPUT CONTROL REPORT (5)RUN TIME : 18:00:06
 (MARKET - HONG KONG MK) PAGE : 1

(6)DATE : 14NOV11 (7) (8) (9)
 TRANSMISSION DATE : 14NOV11 PROCESSING TIME : 12:30:08 FILE REFERENCE : A1234522222223

-----EXCEPTIONS-----

CONTROL HEADER

===== (11) (9) (7) (12)
 (10)RECORD TYPE PARTICIPANT ID FILE REFERENCE TRANSMISSION DATE FILE NAME

 0 B08888 A1234522222223 20111114 MCT BATCH INPUT

(13)ERROR MESSAGES : -5314 TRANSMISSION DATE DIFFERENT FROM CCASS DATE

DETAIL RECORD

===== (15) (16) (17) (18) (19) (20) (21)
 (14)REC FROM STOCK CODE/ FROM A/C TO STOCK CODE/ TO A/C TRANSFER QTY REMARKS CONTROL TOTAL
 TYP FROM ISIN TO ISIN

 1 0000X 1 0000Y 2 0000000000Z NO HEADER INCORRECT PART ID 000000000000A

(13)ERROR MESSAGES : +7137 FROM STOCK CODE NOT NUMERIC
 +7138 TO STOCK CODE NOT NUMERIC
 -5318 TRANSFER QUANTITY NOT NUMERIC
 -5319 CONTROL TOTAL NOT NUMERIC

CONTORL TRAILER

===== (22) (23) (24) (25) (26)
 (10)RECORD TYPE TOTAL NO. OF DETAIL RECORDS FROM STOCK CODE SUM TO STOCK CODE SUM TRANSFER QTY SUM CONTROL TOTAL SUM

(13)ERROR MESSAGES : -5096 TRAILER RECORD MISSING

(27)INVALID RECORD :12345678901234567890123456789012345678901234567890123456789012345678901234567890123456
 INVALID RECORD :12345678901234567890123456789012345678901234567890123456789012345678901234567890123456

-----SUMMARY OF CONTROL TOTALS-----

*** THE WHOLE INTERFACE FILE IS REJECTED ***

*** END OF REPORT ***