

SECTION 4.3
REPORT SAMPLE 4.3.59 INTRA-DAY MARGIN PAYABLE REPORT

(1) CRMMG01 (2)B01234
 (3) ABC SEC LTD

HKSCC - CCASS
 INTRA-DAY MARGIN PAYABLE REPORT

(4)RUN DATE : 24AUG12
 (5)RUN TIME : 14:52:35
 PAGE : 1

(6) DATE : 04JAN13 (7)SNAPSHOT TIME : 14:39:48

SECTION A FOR NON-MULTI-COUNTER SECURITIES & MULTI-COUNTER SECURITIES WITHOUT NETTING

(8)STOCK/ (9)CCY	(10)STM DATE : 07JAN13		(10)TM DATE : 08JAN13		(14)TOTAL (CROSS-DAY NET)
	(11)QTY / (13)MKT VALUE (BASE CCY)	(12)MKT PRICE (BASE CCY)	(11)QTY / (13)MKT VALUE (BASE CCY)	(12)MKT PRICE (BASE CCY)	QTY / (15)MKT VALUE (BASE CCY)
2 (CNY)	600,000 2,460,000.00	4.10000	0 0.00		600,000 2,460,000.00
4 (CNY)	40,000 160,000.00	4.00000	0 0.00		40,000 160,000.00
5 (CNY)	-2,000,000 -8,000,000.00	4.00000	0 0.00		-2,000,000 8,000,000.00
				(16)TOTAL CROSS-DAY NET LONG CNS POSITION : CNY	2,620,000.00
				(17)TOTAL CROSS-DAY NET SHORT CNS POSITION : CNY	8,000,000.00
9 (HKD)	150,000 300,000.00	2.00000	0 0.00		150,000 300,000.00
175 (HKD)	10,000 49,000.00	4.90000	0 0.00		10,000 49,000.00
178 (HKD)	400,000 2,040,000.00	5.10000	0 0.00		400,000 2,040,000.00
				(16)TOTAL CROSS-DAY NET LONG CNS POSITION : HKD	2,389,000.00
				(17)TOTAL CROSS-DAY NET SHORT CNS POSITION : HKD	0.00
7 (USD)	-4,000 -18,000.00	4.50000	0 0.00		-4,000 18,000.00
8 (USD)	-8,000,000 -32,000,000.00	4.00000	0 0.00		-8,000,000 32,000,000.00
121 (USD)	-1,000 -5,100.00	5.10000	0 0.00		-1,000 5,100.00
				(16)TOTAL CROSS-DAY NET LONG CNS POSITION : USD	0.00
				(17)TOTAL CROSS-DAY NET SHORT CNS POSITION : USD	32,023,100.00

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SECTION B FOR MULTI-COUNTER SECURITIES WITH NETTING

(18) STOCK / (19) CCY	(20) STM DATE : 07JAN13 (21) QTY / (22) MKT PRICE (BASE CCY) / (23) MKT VALUE (BASE CCY)	(20) STM DATE : 08JAN13 (21) QTY / (22) MKT PRICE (BASE CCY) / (23) MKT VALUE (BASE CCY)	(24) TOTAL (CROSS-DAY NET) QTY / (25) MKT VALUE (BASE CCY)
163	2,000 3.00000	0	2,000
(CNY)	6,000.00	0.00	6,000.00
19	-8,000 9.00000	0	-8,000
(HKD)	-72,000.00	0.00	-72,000.00
264	20,000 5.00000	0	20,000
(USD)	100,000.00	0.00	100,000.00

(26) STOCK NAME : STOCK 264 (27) AFTER NETTING ACROSS STOCK COUNTERS (28) / (29) USD 14,000 91,692.31

296	80,000 2.00000	0	80,000
(CNY)	160,000.00	0.00	160,000.00
27	25,000 8.00000	0	25,000
(HKD)	200,000.00	0.00	200,000.00
263	-3,000 4.00000	0	-3,000
(USD)	-12,000.00	0.00	-12,000.00

(26) STOCK NAME : EMPEROR (CHINA) (27) AFTER NETTING ACROSS STOCK COUNTERS (28) / (29) CNY 102,000 248,666.67

(30) TOTAL CROSS-DAY NET LONG CNS POSITION : CNY 248,666.67
 (31) TOTAL CROSS-DAY NET SHORT CNS POSITION : CNY 0.00
 (30) TOTAL CROSS-DAY NET LONG CNS POSITION : USD 91,692.31
 (31) TOTAL CROSS-DAY NET SHORT CNS POSITION : USD 0.00

SECTION C COMBINED CROSS-DAY CNS POSITION OF SECTION A TO B

(32) TOTAL CROSS-DAY NET LONG CNS POSITION : CNY 2,868,666.67
 (33) TOTAL CROSS-DAY NET SHORT CNS POSITION : CNY 8,000,000.00
 (32) TOTAL CROSS-DAY NET LONG CNS POSITION : HKD 2,389,000.00
 (33) TOTAL CROSS-DAY NET SHORT CNS POSITION : HKD 0.00
 (32) TOTAL CROSS-DAY NET LONG CNS POSITION : USD 91,692.31
 (33) TOTAL CROSS-DAY NET SHORT CNS POSITION : USD 32,023,100.00

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SECTION D PENDING POSITION COVERED BY SPECIFIC STOCK COLLATERAL (SSC)

SSC QUALIFIED FOR REDUCING MARGINING POSITION

(34) STM DATE	(35) ORIGINAL POS QTY	(36) QTY COVERED BY SSC	POS QTY (42)	POS AMT (43)	MKT VALUE (44)
(37) STOCK CODE: 121 07JAN13	(38) STOCK NAME: HK FORTUNE -1,000	(39) CCY: USD 1,000			
CROSS-DAY TOTAL (40)/(41)		-1,000	1,000	1,000	5,000.00
		(45) / (46)	TOTAL : USD	5,000.00	5,100.00

SECTION E PENDING POSITION COVERED BY SPECIFIC CASH COLLATERAL (SCC)

SCC QUALIFIED FOR REDUCING MARGINING POSITION

(47) STM DATE	(48) ORIGINAL POS QTY	(49) QTY COVERED BY SCC	POS QTY (55)	MKT VALUE (56)
(50) STOCK CODE: 175 07JAN13	(51) STOCK NAME: SOUTH CHINA I&T 10,000	(52) CCY: HKD 10,000		
CROSS-DAY TOTAL (53)/(54)		10,000	10,000	49,000.00
		(57) TOTAL : HKD		49,000.00

SECTION F MARGIN REQUIREMENT

MARGINING POSITION = HIGHER OF THE NET AMOUNT OF (I) OR (II) AS BELOW FOR RESPECTIVE CURRENCY:

(58) CURRENCY: CNY		
(I)	(59) TOTAL CROSS-DAY NET LONG POSITION	2,868,666.67
	LESS:	
	(60) SSC (POS AMT)	0.00
	(61) SCC (MKT VALUE)	0.00
	(62) NET AMOUNT	2,868,666.67
(II)	(63) TOTAL CROSS-DAY NET SHORT POSITION	8,000,000.00
	LESS:	
	(64) SSC (MKT VALUE)	0.00
	(65) NET AMOUNT	8,000,000.00

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SECTION F MARGIN REQUIREMENT			
(58) CURRENCY: HKD			
(I)	(59) TOTAL CROSS-DAY NET LONG POSITION		2,389,000.00
	LESS:		
	(60) SSC (POS AMT)		0.00
	(61) SCC (MKT VALUE)		49,000.00
	(62) NET AMOUNT		2,340,000.00
(II)	(63) TOTAL CROSS-DAY NET SHORT POSITION		0.00
	LESS:		
	(64) SSC (MKT VALUE)		0.00
	(65) NET AMOUNT		0.00
(58) CURRENCY: USD			
(I)	(59) TOTAL CROSS-DAY NET LONG POSITION		91,692.31
	LESS:		
	(60) SSC (POS AMT)		5,000.00
	(61) SCC (MKT VALUE)		0.00
	(62) NET AMOUNT		86,692.31
(II)	(63) TOTAL CROSS-DAY NET SHORT POSITION		32,023,100.00
	LESS:		
	(64) SSC (MKT VALUE)		5,100.00
	(65) NET AMOUNT		32,018,000.00
(66) MARGINING POSITION:		CNY :	8,000,000.00
		HKD :	2,340,000.00
		USD :	32,018,000.00
(67) MARGIN BEFORE MARKS OFFSET AND MARGIN CREDIT AT MARGIN RATE 5.00% & MARGIN MULTIPLIER OF 1.0000		CNY :	400,000.00
		HKD :	117,000.00
		USD :	1,600,900.00
(68) FAVORABLE MARKS FOR OFFSET MARGIN		CNY :	400,000.00
		HKD :	117,000.00
		USD :	259,032.78
(69) MARGIN CREDIT APPLIED		CNY :	0.00
		HKD :	0.00
		USD :	641,025.64
(70) MARGIN REQUIREMENT		CNY :	0.00
		HKD :	0.00
		USD :	700,841.58

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SECTION G MARGIN SHORTFALL

(71) MARGIN SHORTFALL IN RESPECTIVE CURRENCY**	USD :	700,841.58
(72) MARGIN SHORTFALL TO BE PAID IN CASH	USD :	700,841.58

REMARKS:

^ ABNORMAL POSITION WILL BE EXCLUDED FROM CALCULATION OF MARGINING POSITION.
** PLEASE REFER TO "POSTING / COLLATERALISATION RESULT REPORT" (CCMPY01) FOR THE DETAILS OF COLLATERALISATION.

NOTES:

THE SHORTFALL FOR RESPECTIVE CURRENCY OF MARKS AND MARGIN PROCESS EXECUTED AT 11:00 AM WILL BE WAIVED IF IT IS SMALLER THAN THE FOLLOWING AMOUNT:

I) HKD 10,000
II) CNY 10,000
III) USD 1,000

THE ABOVE WAIVER DOES NOT APPLY TO MARGIN PROCESS EXECUTED AT 2:00 PM, IF ANY.

(73) EXCHANGE RATE INFORMATION:
CNY 1 = HKD 1.2120000000 HKD 1 = HKD 1.0000000000 USD 1 = HKD 7.8390000000

*** END OF REPORT ***