

SECTION 4.3
REPORT SAMPLE 4.3.62: EIPO CONFIRMATION BATCH INPUT CONTROL REPORT

```
(1)CEPBV02      (2)B03456                      HKSCC - CCASS                      (4)RUN DATE : 25JUL12
(3)CCASS COMPANY                      EIPO CONFIRMATION BATCH INPUT CONTROL REPORT  (5)RUN TIME : 19:00:10
                                           PAGE      :      1

                (24)                (7)                (8)                (9)
(6)STOCK CODE : 1801 CURRENCY : HKD  TRANSMISSION DATE : 2012-07-25  PROCESSING TIME : 16:35:08  FILE REFERENCE : EIPOC1801003101
(15)ISIN : HK00000001801
-----EXCEPTIONS-----

DETAIL RECORD
=====
                (11)
(10)RECORD TYPE  APPLICATION INSTRUCTION NUMBER
-----
      1          121801809
(13)ERROR MESSAGES : +6607  EIPO INSTRUCTION NOT FOUND

-----SUMMARY OF CONTROL TOTALS-----

                (14)TOTAL NO OF RECORDS
                -----
(16)DETAIL RECORDS PROCESSED :           10
(17)DETAIL RECORDS ACCEPTED  :           9
(18)DETAIL RECORDS REJECTED  :           1

*** END OF REPORT ***
```

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The whole EIPO confirmation batch file rejected

```
(1)CEPBV02      (2)B03456                HKSCC - CCASS                (4)RUN DATE : 25JUL12
(3)CCASS COMPANY                EIPO CONFIRMATION BATCH INPUT CONTROL REPORT        (5)RUN TIME : 19:00:10
                                                PAGE          :          1

                (24)                (7)                (8)                (9)
(6)STOCK CODE : 1801 CURRENCY : HKD TRANSMISSION DATE : 2012-07-25 PROCESSING TIME : 16:35:08 FILE REFERENCE : EIPOC1801003102
(15)ISIN : HK0000001801
-----EXCEPTIONS-----

CONTROL HEADER
=====
(10)RECORD TYPE PARTICIPANT ID STOCK CODE ISIN FILE REFERENCE TRANSMISSION DATE FILE NAME
-----
0 B03456 1801 HK0000001801 EIPOC1801003102 2012-07-25 IPOCFILE
(13)ERROR MESSAGES : -5002 FILE NAME INVALID

-----SUMMARY OF CONTROL TOTALS-----

*** THE WHOLE INTERFACE FILE IS REJECTED ***

*** END OF REPORT ***
```

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The whole EIPO confirmation batch file rejected

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(1)CEPBV02      (2)B03456                HKSCC - CCASS                (4)RUN DATE : 25JUL12
(3)CCASS COMPANY                EIPO CONFIRMATION BATCH INPUT CONTROL REPORT        (5)RUN TIME : 19:00:10
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(6)STOCK CODE : 1801  CURRENCY : HKD  (24)      (7)      (8)      (9)
TRANSMISSION DATE : 2012-07-25  PROCESSING TIME : 16:35:08  FILE REFERENCE : EIPOC1801003101
(15)ISIN : HK00000001801
-----EXCEPTIONS-----

CONTROL TRAILER
=====
(10)RECORD TYPE  TOTAL NUMBER OF DETAIL RECORDS  (12)
-----
9                00000000010
(13)ERROR MESSAGES : -5382  TOTAL NO. OF RECORDS NOT MATCH

-----SUMMARY OF CONTROL TOTALS-----

*** THE WHOLE INTERFACE FILE IS REJECTED ***

*** END OF REPORT ***
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