

## SECTION 4.3

## REPORT SAMPLE 4.3.63: MONTHLY PORTFOLIO FEE COLLECTION REPORT OF CHINA CONNECT MARKETS

(1)CCDPC02 (2)B11668  
 (3)GOODWILL & COMPANY

HKSCC - CCASS  
 MONTHLY PORTFOLIO FEE COLLECTION REPORT  
 (CHINA CONNECT MARKETS)

(4)RUN DATE : 31MAY15  
 (5)RUN TIME : 22:30:00  
 PAGE : 1

(6)DATE : 31MAY15

(7) VALUE DATE	(8) MAMK PORTFOLIO VALUE	(9) SZMK PORTFOLIO VALUE	(10) MAMK + SZMK PORTFOLIO VALUE	(11) HIGHEST TIER LEVEL	(12) PORTFOLIO FEE
01MAY15	1,167,904,440.80	0.00	1,167,904,440.80	1	255.98
02MAY15	1,167,904,440.80	0.00	1,167,904,440.80	1	255.98
03MAY15	1,167,904,440.80	0.00	1,167,904,440.80	1	255.98
04MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
05MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
06MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
07MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
08MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
09MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
10MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
11MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
12MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
13MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
14MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
15MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
16MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
17MAY15	8,881,116,686.00	0.00	8,881,116,686.00	1	1,946.55
18MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
19MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
20MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
21MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
22MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
23MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
24MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
25MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
26MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
27MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
28MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
29MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
30MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02
31MAY15	95,890,750.00	0.00	95,890,750.00	1	21.02

(13) TOTAL:

28,313.92

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PAGE : 2

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(14)

TIER STRUCTURE AND RATE FOR REFERENCE:

TIER 1 - FROM	0	TO	50,000,000,000	(RATE: 0.0080 % PER ANNUM)
TIER 2 - FROM	50,000,000,000	TO	250,000,000,000	(RATE: 0.0070 % PER ANNUM)
TIER 3 - FROM	250,000,000,000	TO	500,000,000,000	(RATE: 0.0060 % PER ANNUM)
TIER 4 - FROM	500,000,000,000	TO	750,000,000,000	(RATE: 0.0050 % PER ANNUM)
TIER 5 - FROM	750,000,000,000	TO	1,000,000,000,000	(RATE: 0.0040 % PER ANNUM)
TIER 6 - FROM	1,000,000,000,000			(RATE: 0.0030 % PER ANNUM)

\*\*\* END OF REPORT \*\*\*

*Note: The tier structure and fee rates used in the report sample are for illustrative purpose only.*