

SECTION 4.3

REPORT SAMPLE 4.3.64: MAINLAND SECURITY DEPOSIT PAYABLE REPORT (SHANGHAI)

(1)CRMGF01	(2)B09874	HKSCC - CCASS	(4)RUN DATE : 30JUN16
(3)CCASS COMPANY	MAINLAND SECURITY DEPOSIT PAYABLE REPORT (SHANGHAI)		(5)RUN TIME : 20:32:32
			PAGE : 1
(6)DATE : 30JUN16			
(7)DAILY AVERAGE NET SETTLEMENT AMOUNT IN SH SECURITIES OF PREVIOUS 6 MONTHS	:	CNY	10,000,000.00
(8)MAINLAND SECURITY DEPOSIT RATE (SH) (%)	:		16.40
(9)MINIMUM AMOUNT	:	CNY	200,000.00
(10)MSCD REQUIREMENT	:	CNY	1,640,000.00
(11)MSCD ON HAND BEFORE OFFSETTING WITH MSCD EXCESS / (SHORTFALL) IN SZ MARKET	:	CNY	2,000,000.00
(12)MSCD (SHORTFALL) / EXCESS BEFORE OFFSETTING WITH MSCD EXCESS / (SHORTFALL) IN SZ MARKET	:	CNY	360,000.00
(13)MSCD ON HAND AFTER OFFSETTING WITH MSCD EXCESS / (SHORTFALL) IN SZ MARKET	:	CNY	1,800,000.00
(14)MSCD (SHORTFALL) / EXCESS AFTER OFFSETTING WITH MSCD EXCESS / (SHORTFALL) IN SZ MARKET	:	CNY	160,000.00
REMARKS:			
MSCD SHORTFALL AFTER OFFSETTING WITH MSCD IN SZ MARKET WILL BE COLLECTED VIA DAY-END DDI ON THE 1ST BUSINESS DAY OF EACH MONTH.			
EXCESS MSCD AFTER OFFSETTING WITH MSCD IN SZ MARKET WILL BE APPLICABLE FOR OFFSETTING THE MSTD SHORTFALL (IF ANY) IN SH AND/OR SZ MARKET(S). REMAINING EXCESS MSCD WILL BE REFUNDED VIA DAY-END DCI ON THE DAY DETERMINED BY HKSCC. PLEASE REFER TO STATEMENT OF MONEY LEDGER (CSEMS08) GENERATED ON THE MSCD REFUND DAY FOR THE ACTUAL AMOUNT OF MSCD REFUNDED BY HKSCC.			
*** END OF REPORT ***			